

# Analysis of massive 3D data

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Materials available at <https://team.inria.fr/titane/teaching/>

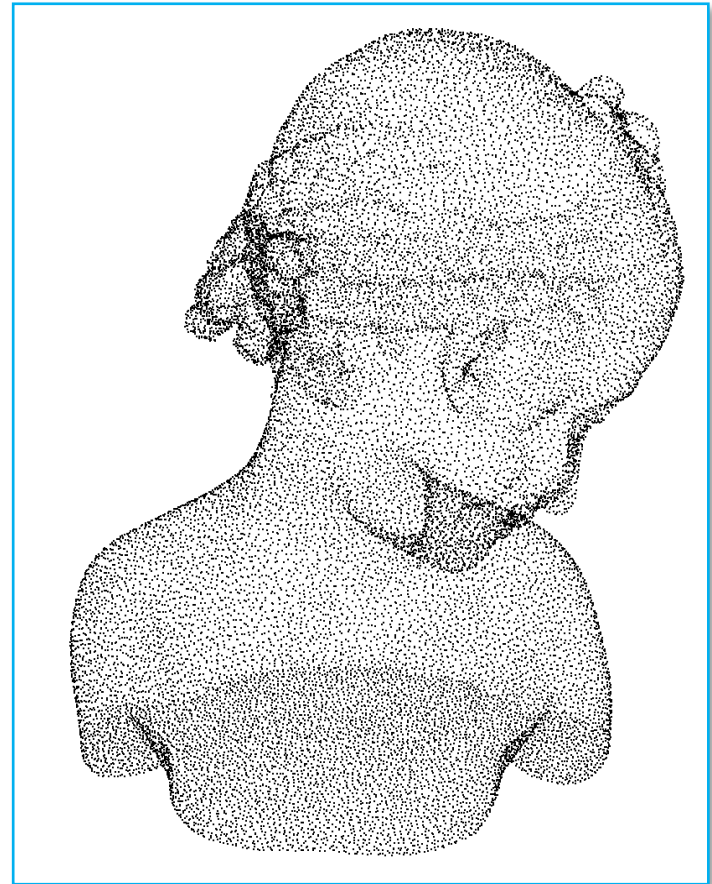
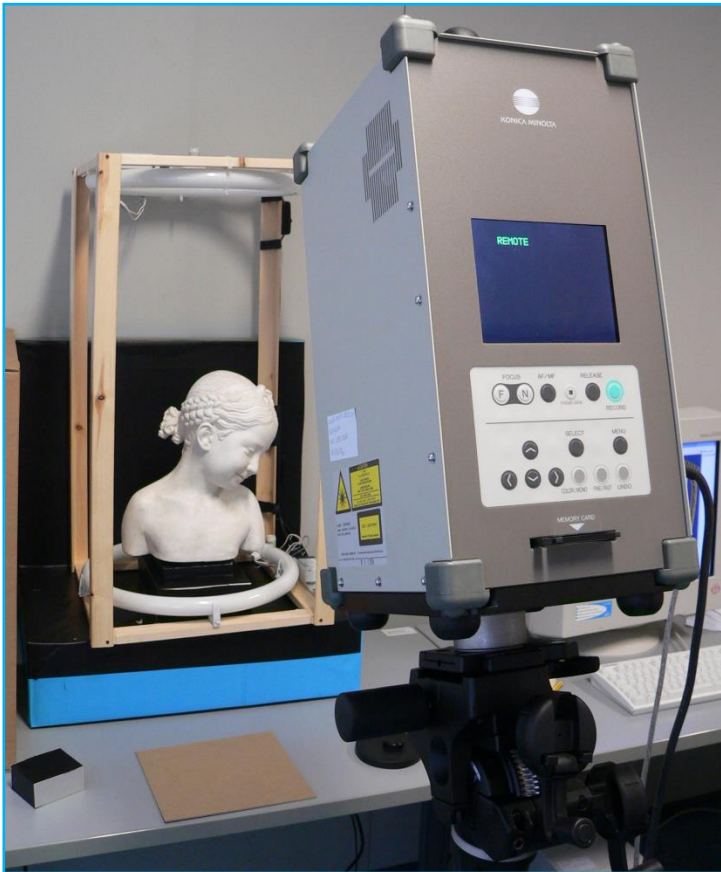
# Contents

- Types of 3D data
- Local analysis of 3D data
- Scene classification

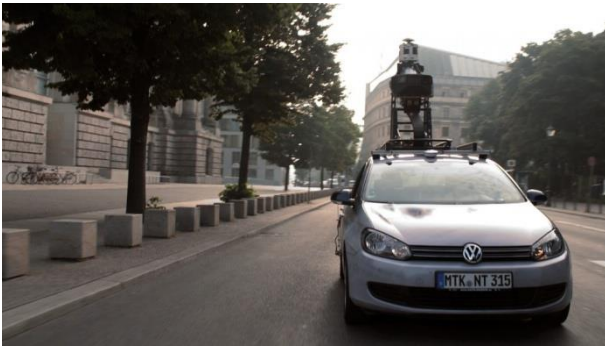
# Types of 3D data

- Point cloud
- Surface mesh

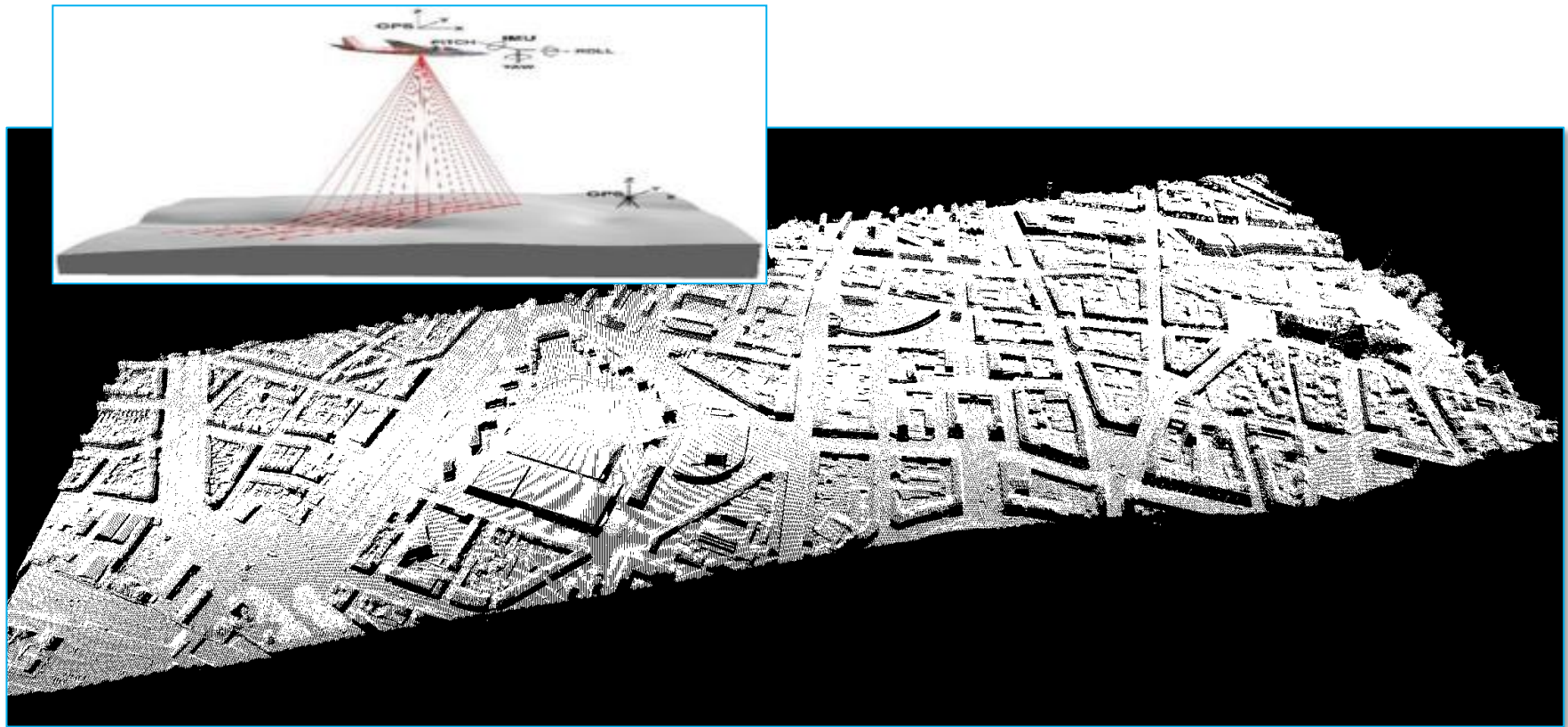
# Laser scanning



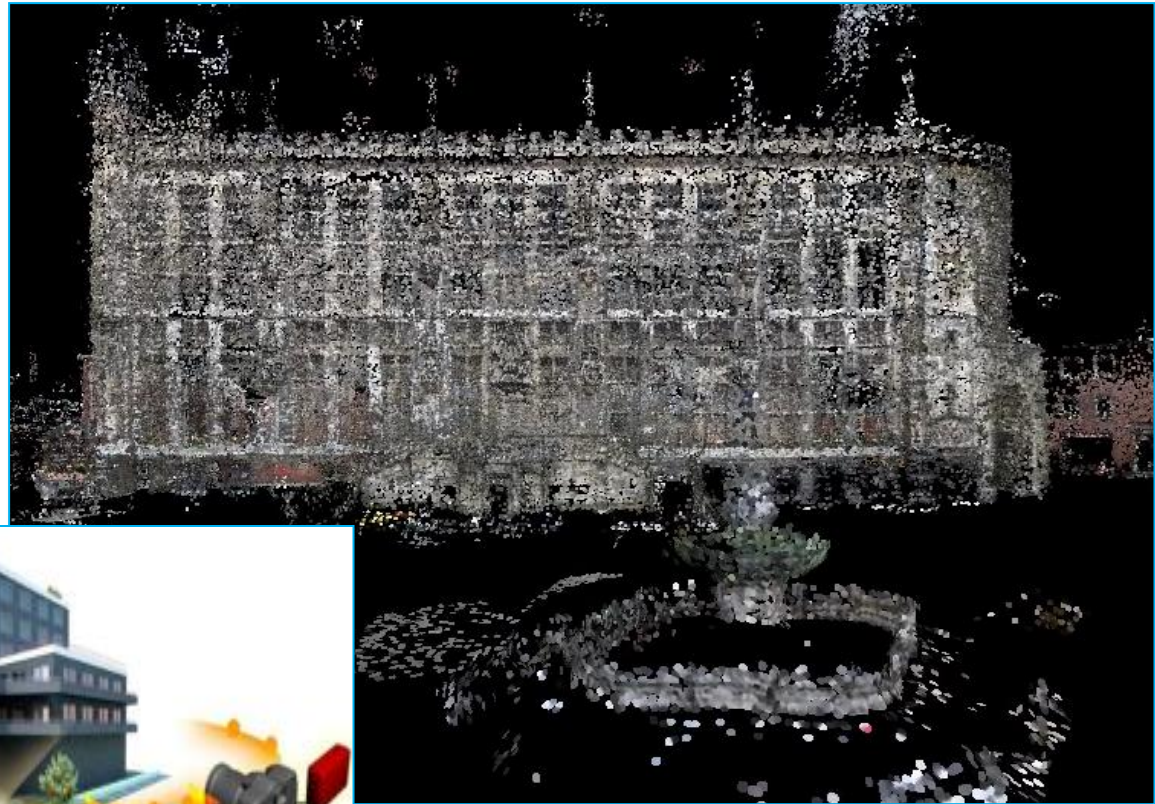
# Car-based Laser



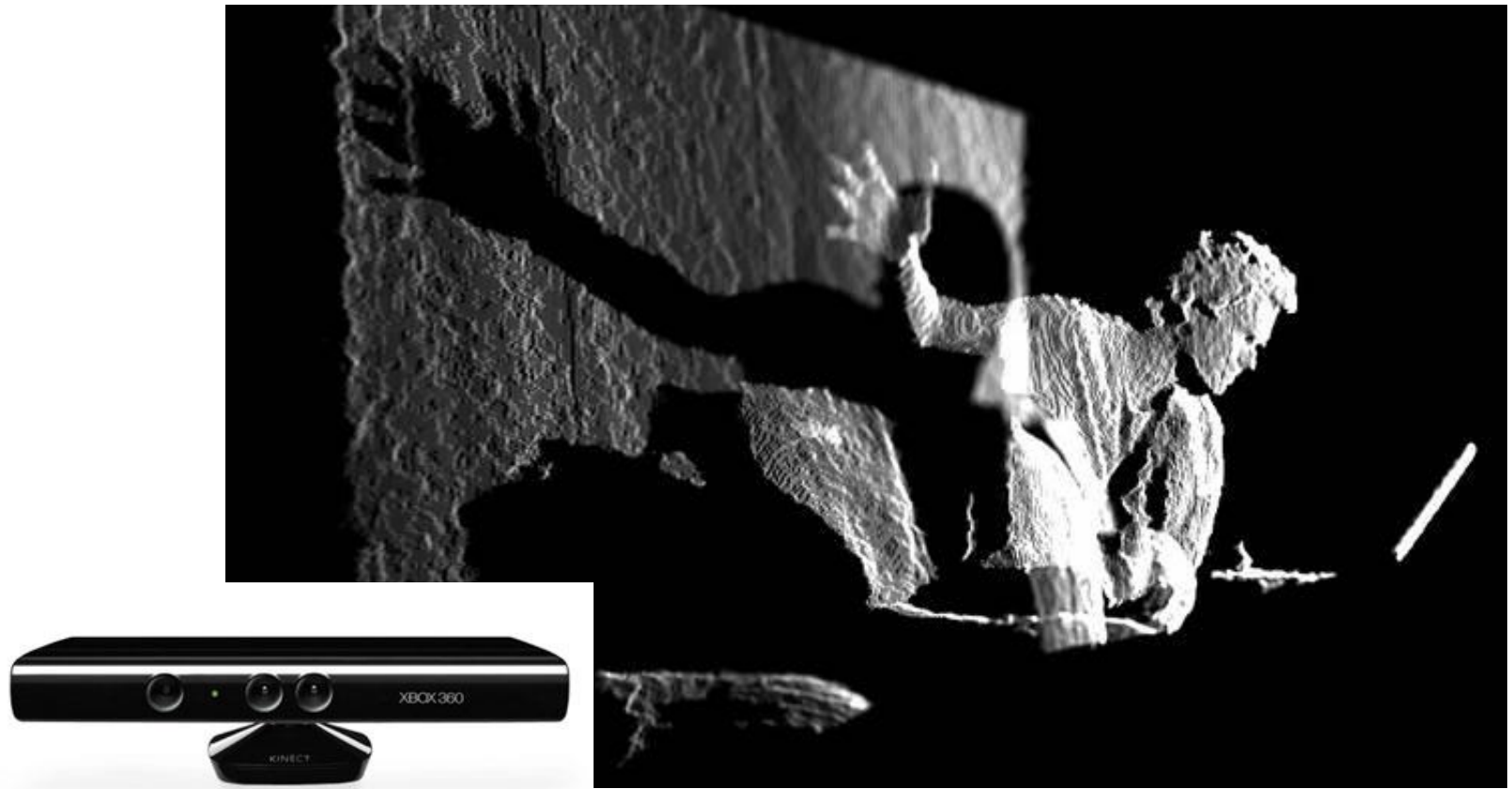
# Airborne Lidar



# Multi-View Stereo (MVS)



# RGB-D sensors





## Is a point just its spatial coordinates x-y-z ?

- Not necessarily: additional attributes can exist

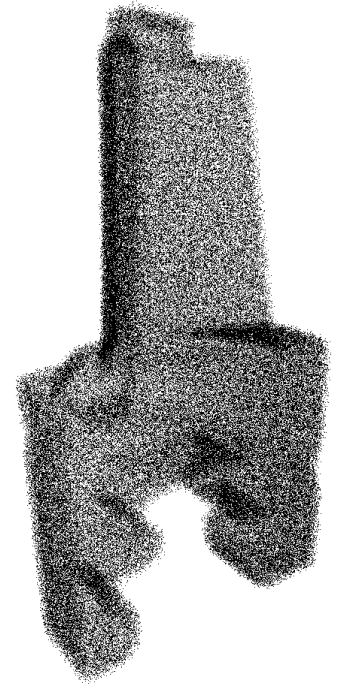
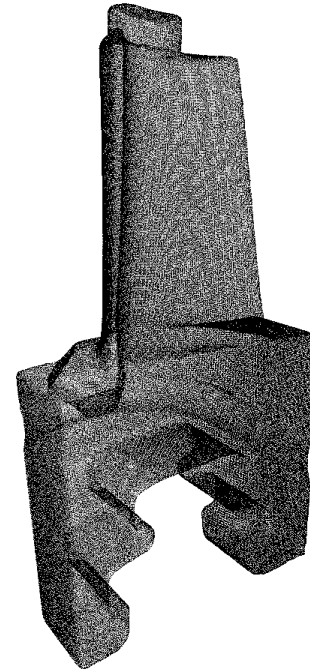
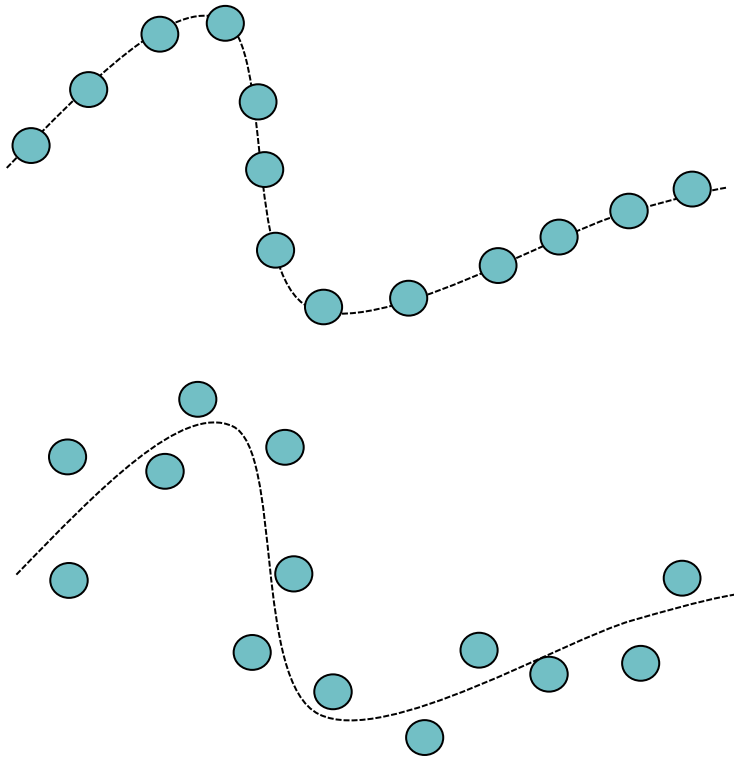
# Is a point just its spatial coordinates x-y-z ?

- Not necessarily: additional attributes can exist
- For each point :
  - Normal
  - Color
  - Confidence (MVS)
  - Camera index (MVS)

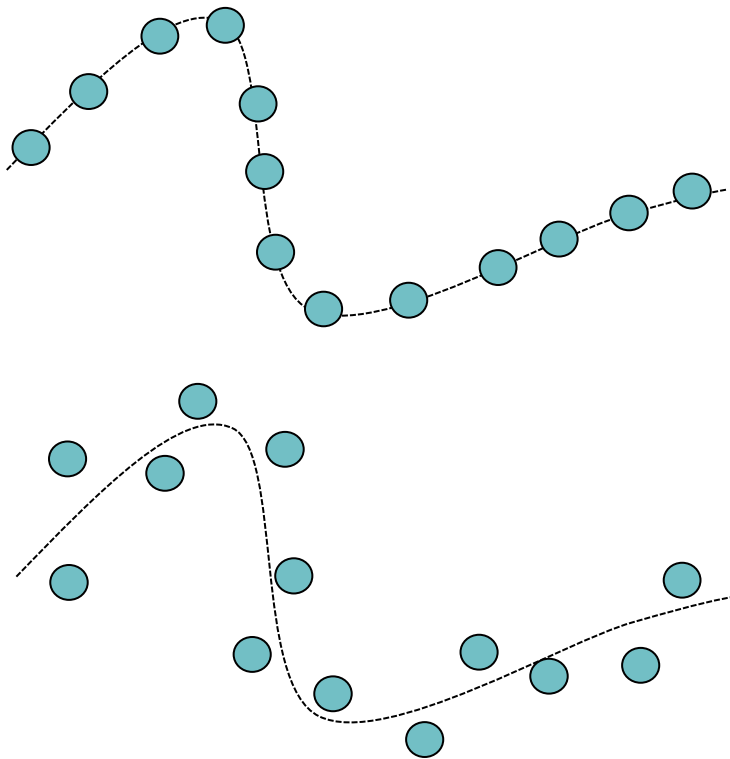
# Defects in the point sets

- noise
- outliers
- heterogeneous sampling
- missing data
- ..

# Noise

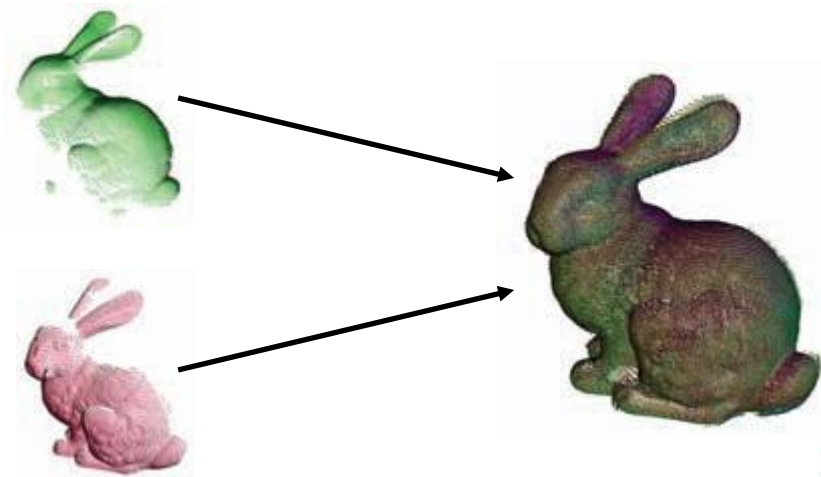


# Noise

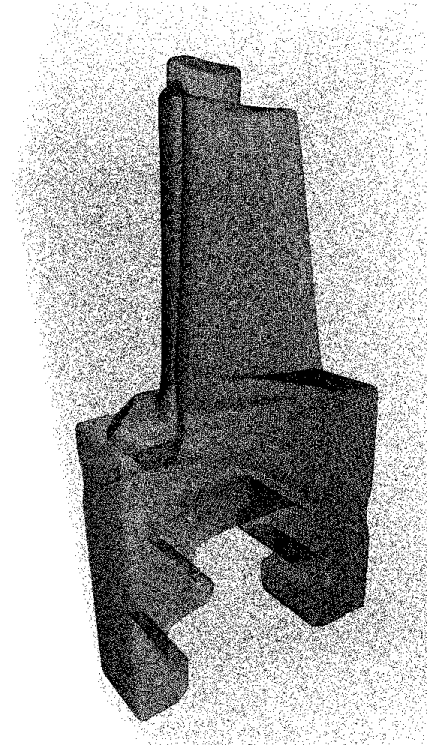
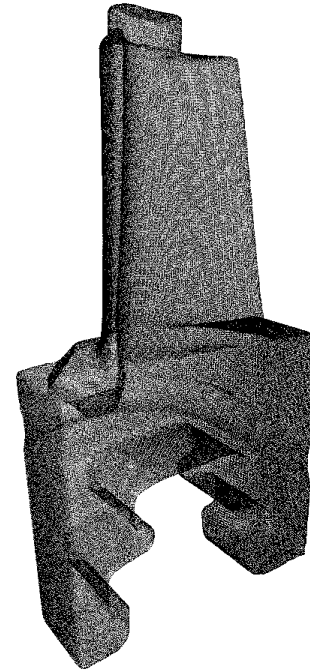
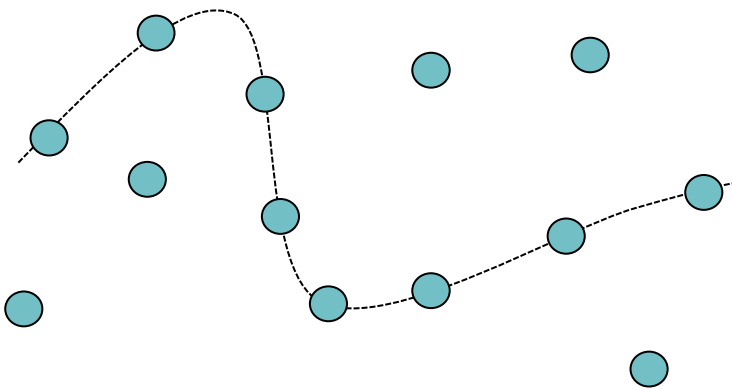
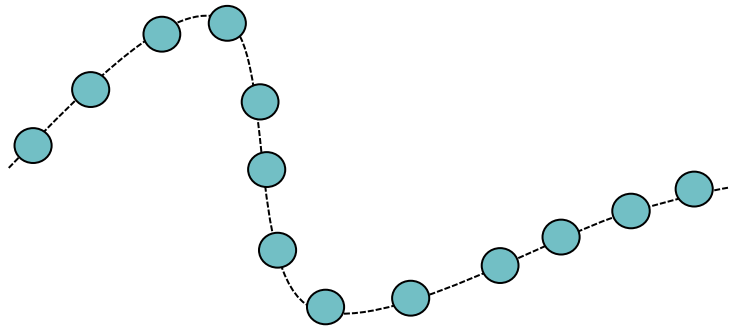


## Inaccuracy

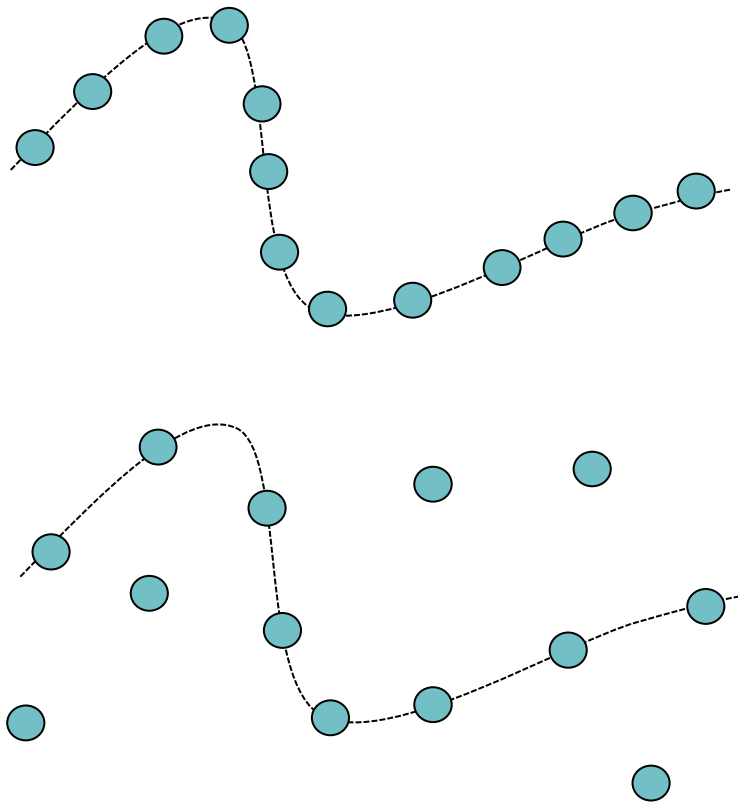
- acquisition system
- registration
- ..



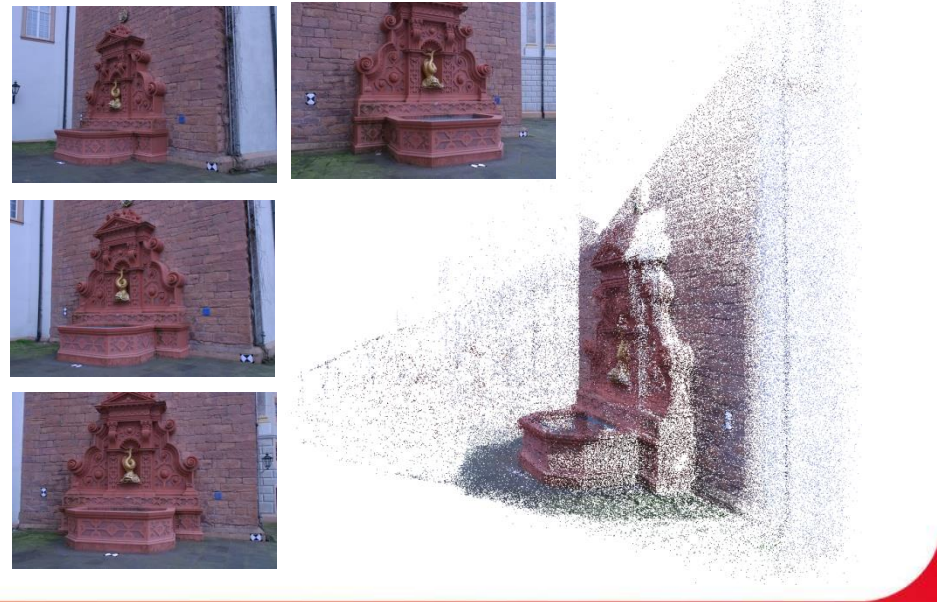
# Outliers



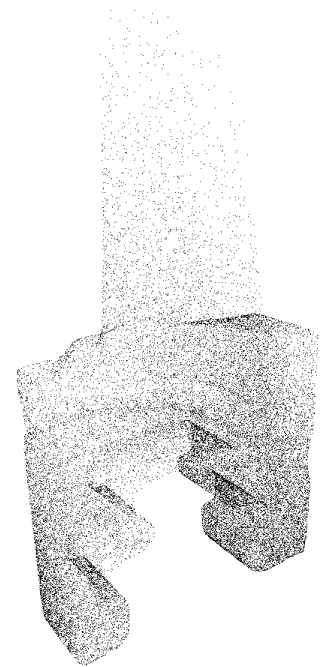
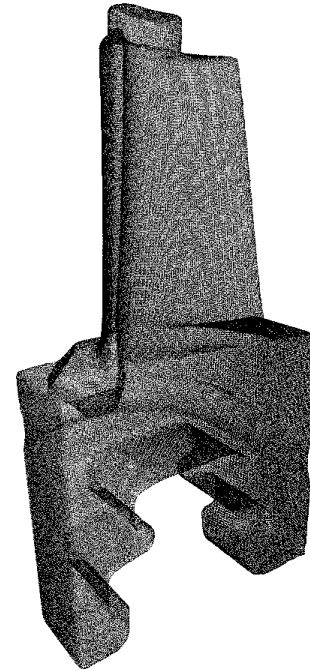
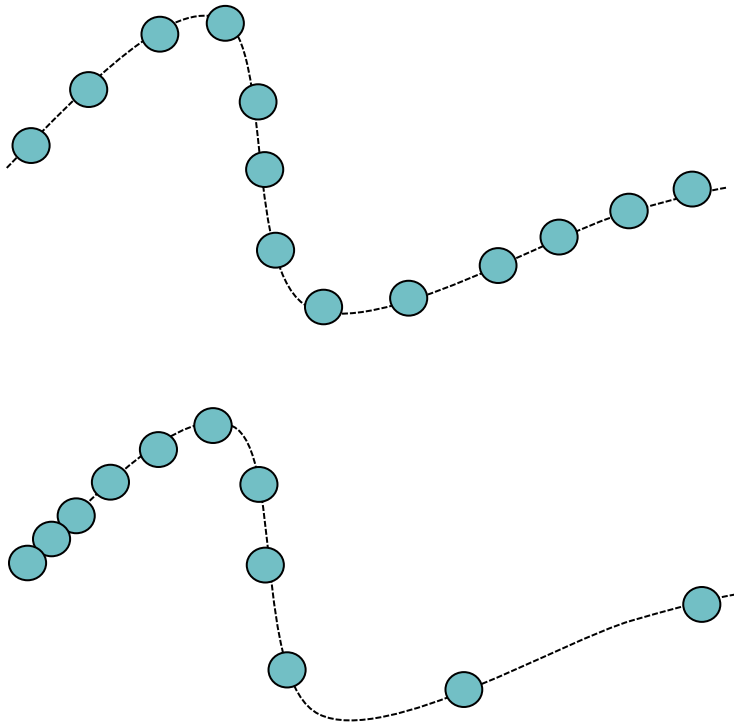
# Outliers



- Typical problem from multi-view stereo

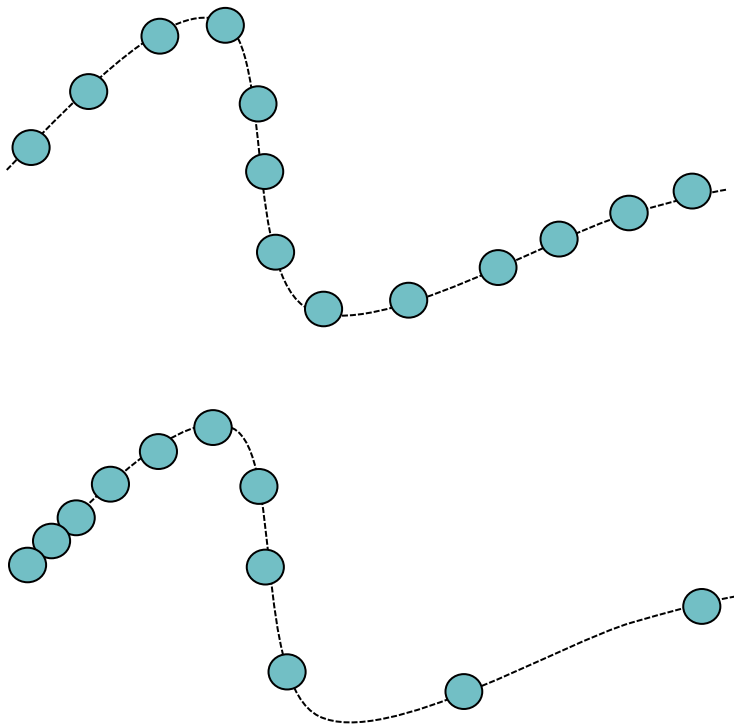


# Heterogeneous sampling

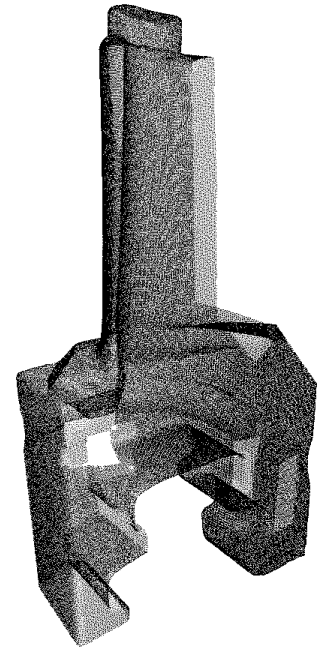
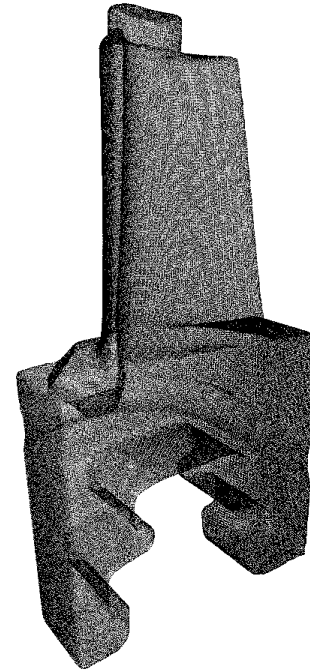
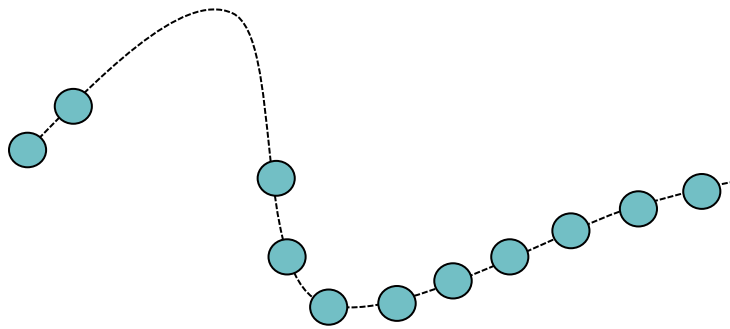
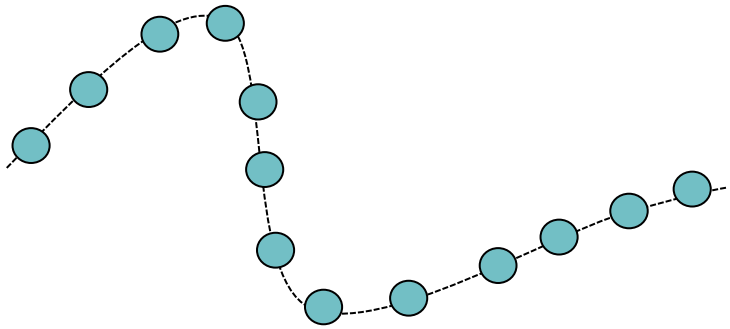




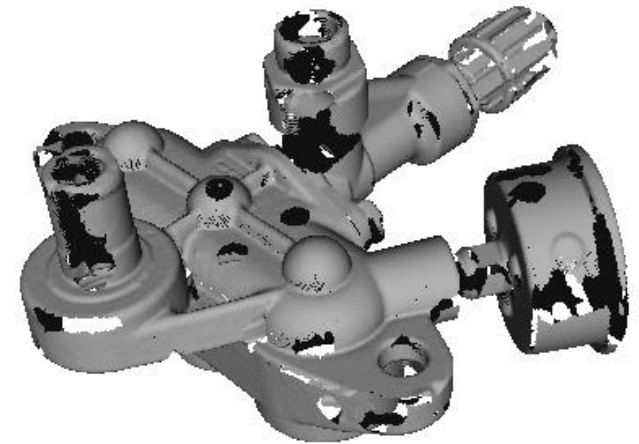
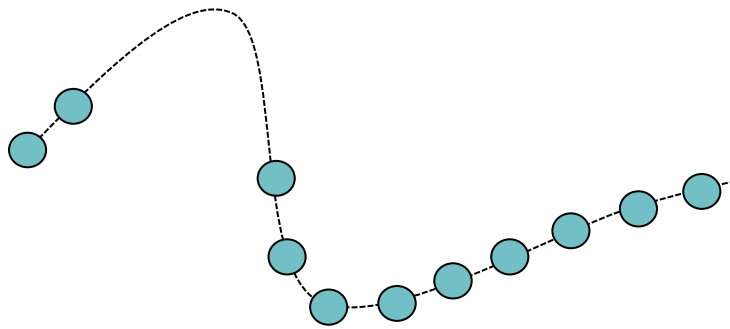
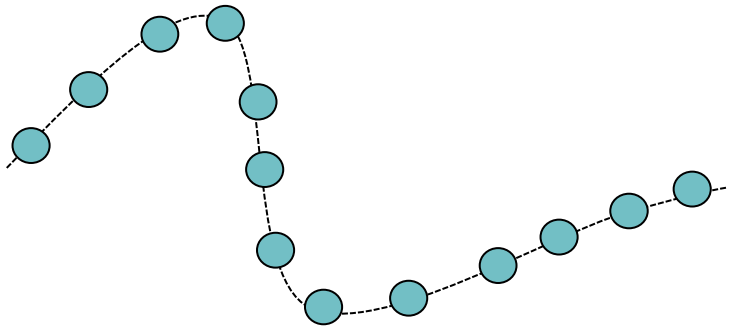
# Heterogeneous sampling



# Missing data

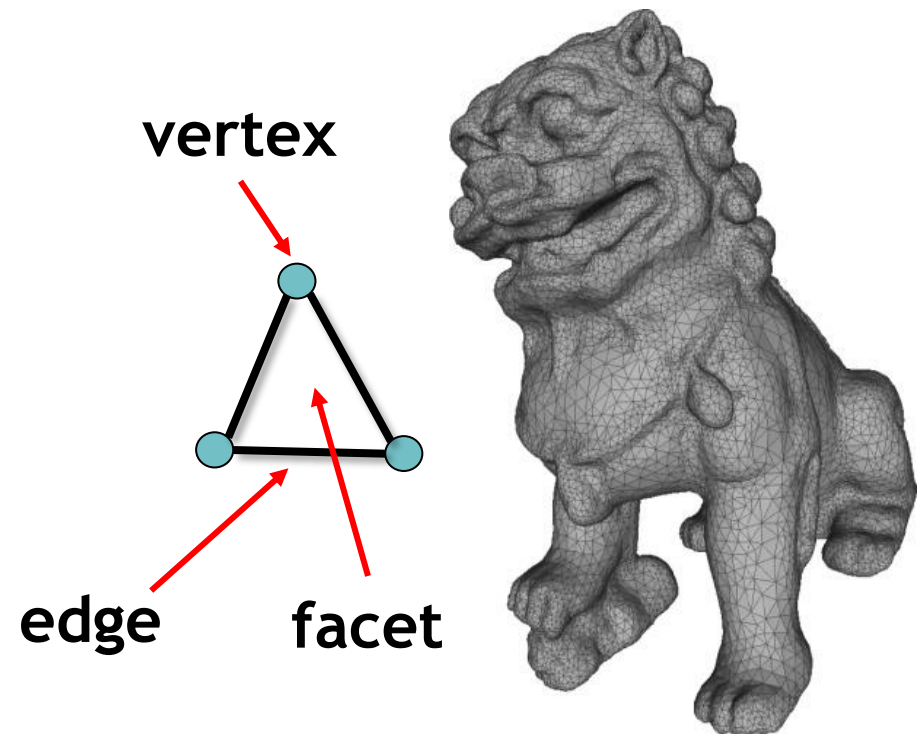


# Missing data



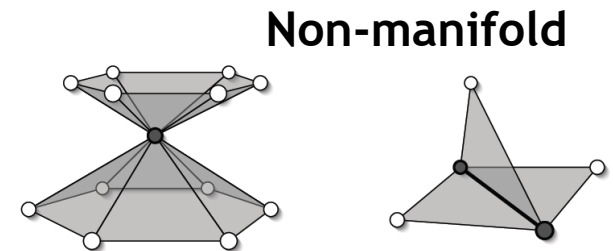
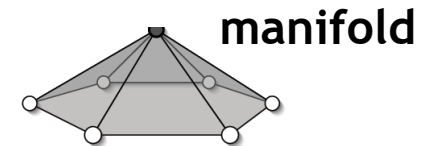
## 3D data as a surface mesh

- the most common is the triangular mesh



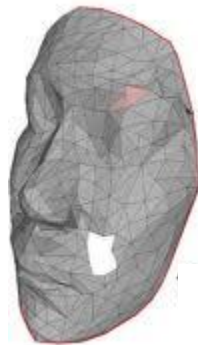
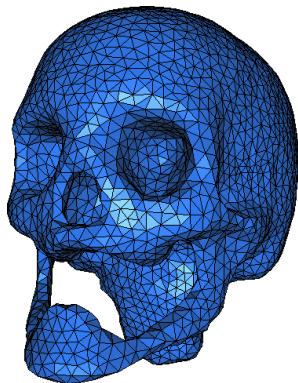
# Properties of surfaces

- Manifold / Non-manifold



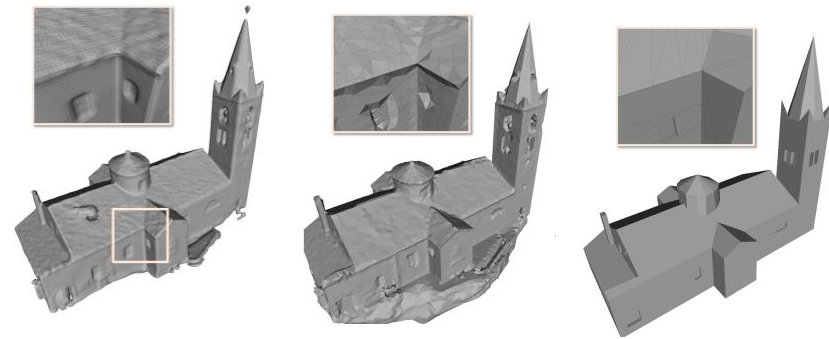
# Properties of surfaces

- Manifold / Non-manifold
- Watertight / with boundary



# Properties of surfaces

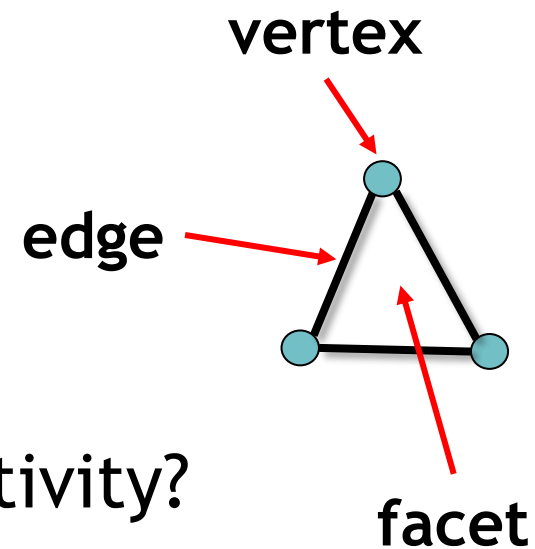
- Manifold / Non-manifold
- Watertight / with boundary



- Smooth/piecewise smooth/primitive-based

## Mesh data structure

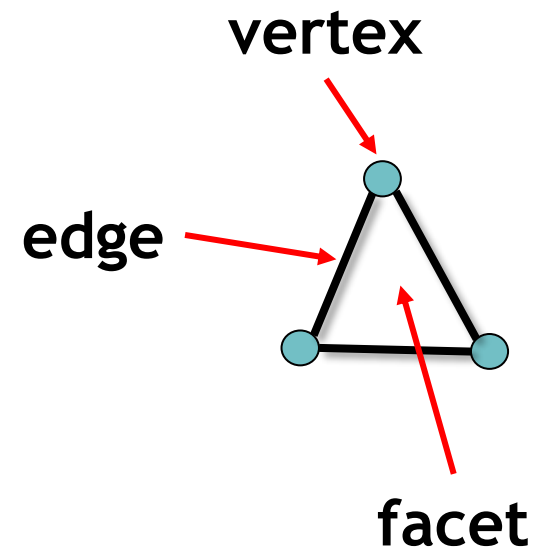
- How to store geometry and connectivity?
- Compact storage (File formats)
- Efficient algorithms on meshes
  - Identify time-critical operations
  - All vertices/edges of a face
  - All incident vertices/edges/faces of a vertex





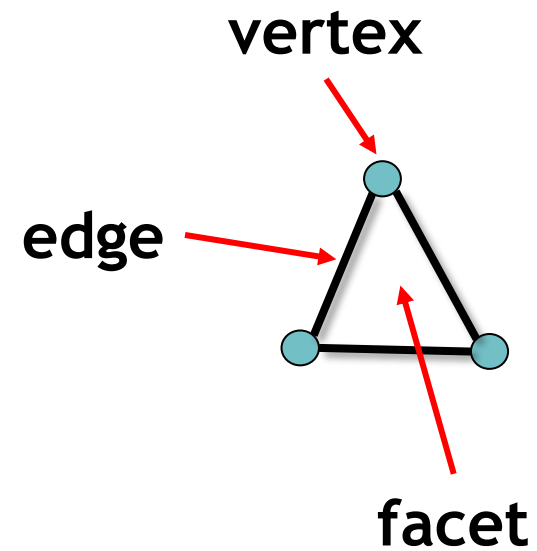
# Mesh data structure

- What should be stored?
  - Geometry: 3D coordinates
  - Attributes
    - e.g. normal, color, texture coordinate
    - Per vertex, per face, per edge
  - Connectivity: What is adjacent to what



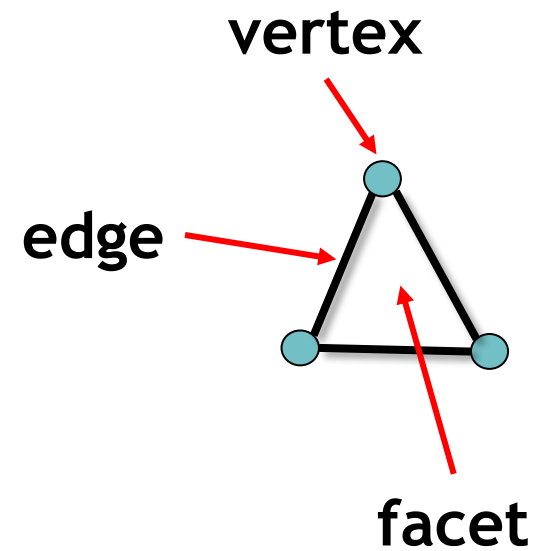
## Mesh data structure

- What should it support?
  - Rendering
  - Queries
    - What are the vertices of face #3?
    - Is vertex #6 adjacent to vertex #12?
    - Which faces are adjacent to face #7?
  - Modifications
    - Remove/add a vertex/face, vertex split..



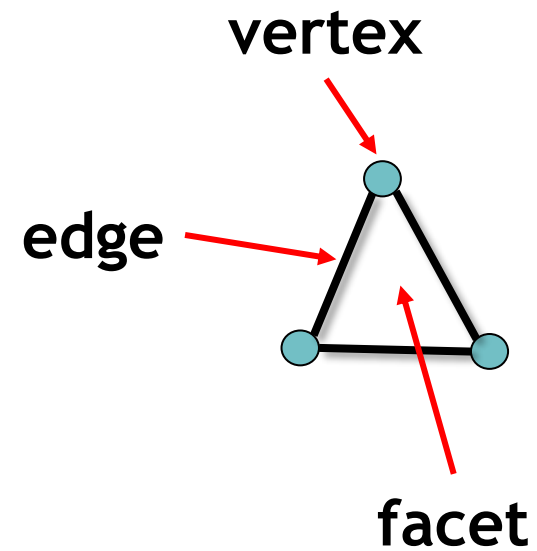
# Mesh data structure

- How good is a data structure?
  - Time to construct (preprocessing)
  - Time to answer a query
  - Time to perform an operation



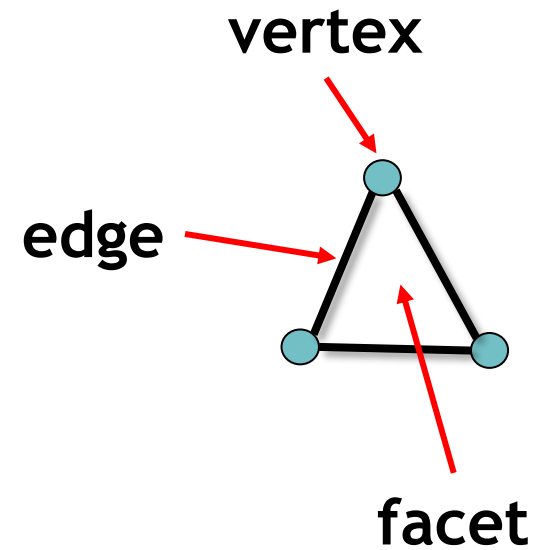
## Facet set (STL)

- Face:
  - 3 positions
- 9 float / facets
- no connectivity!



Triangles								
X <sub>11</sub>	Y <sub>11</sub>	Z <sub>11</sub>	X <sub>12</sub>	Y <sub>12</sub>	Z <sub>12</sub>	X <sub>13</sub>	Y <sub>13</sub>	Z <sub>13</sub>
X <sub>21</sub>	Y <sub>21</sub>	Z <sub>21</sub>	X <sub>22</sub>	Y <sub>22</sub>	Z <sub>22</sub>	X <sub>23</sub>	Y <sub>23</sub>	Z <sub>23</sub>
...	...	...	...	...	...	...	...	...
X <sub>F1</sub>	Y <sub>F1</sub>	Z <sub>F1</sub>	X <sub>F2</sub>	Y <sub>F2</sub>	Z <sub>F2</sub>	X <sub>F3</sub>	Y <sub>F3</sub>	Z <sub>F3</sub>

# Shared vertex (PLY,OBJ,OFF)



- Indexed Face List
  - Vertex: position
  - Face: vertex indices

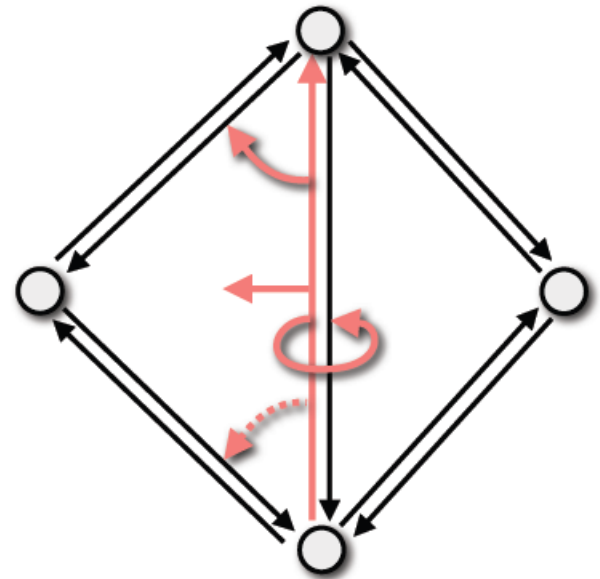
Vertices
$x_1$ $y_1$ $z_1$
...
$x_v$ $y_v$ $z_v$

Triangles
$i_{11}$ $i_{12}$ $i_{13}$
...
...
...
...
$i_{F1}$ $i_{F2}$ $i_{F3}$

- 3 float/vertex + 3 int/facet
- no neighborhood info

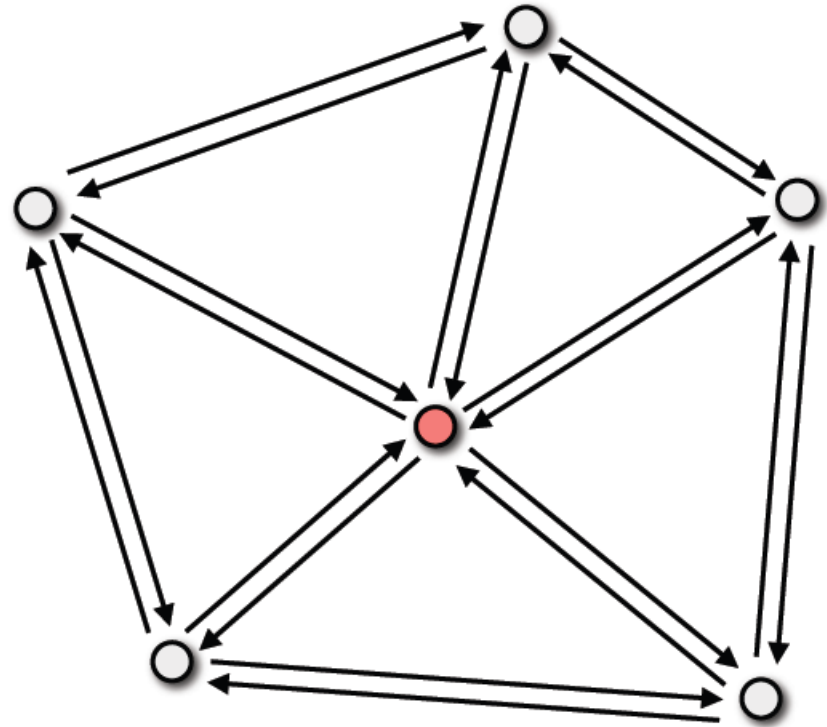
# Halfedge-based connectivity

- Vertex:
  - position
  - 1 halfedge
- Halfedge:
  - 1 vertex
  - 1 facet
  - 1,2 or 3 halfedges
- Facet
  - 1 halfedge



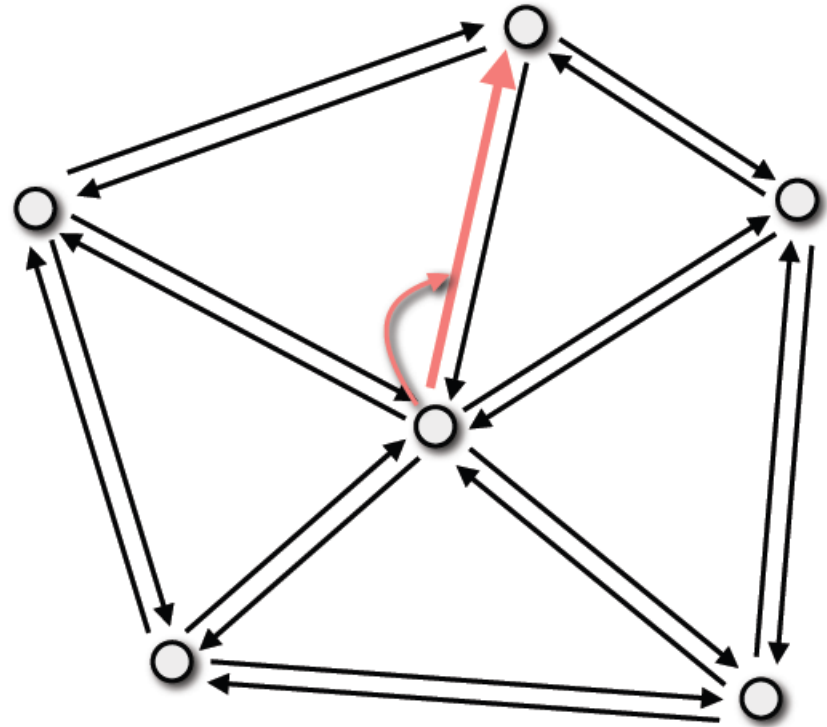
# One-ring traversal

1. Start at vertex



# One-ring traversal

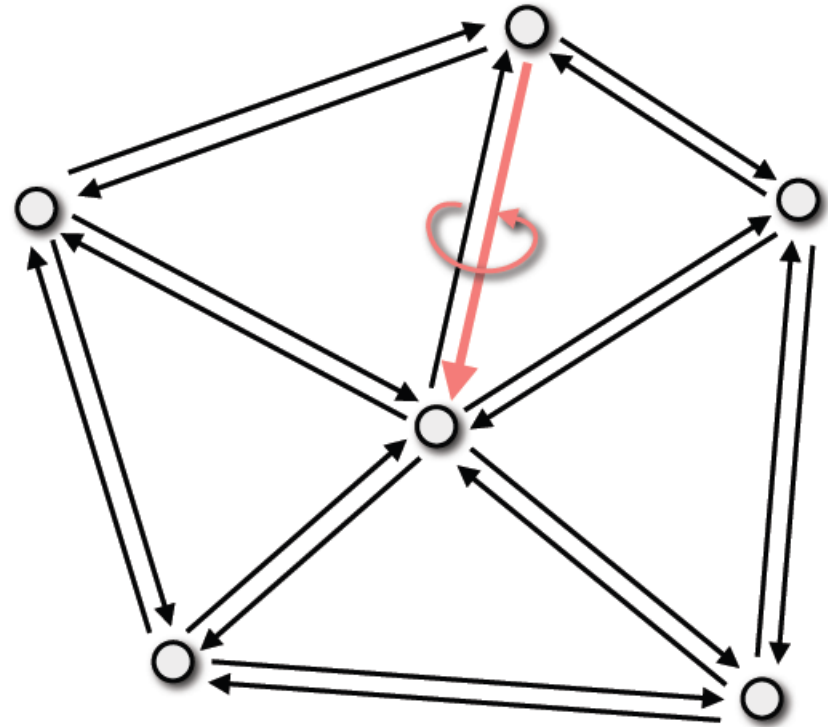
1. Start at vertex
2. Outgoing halfedge





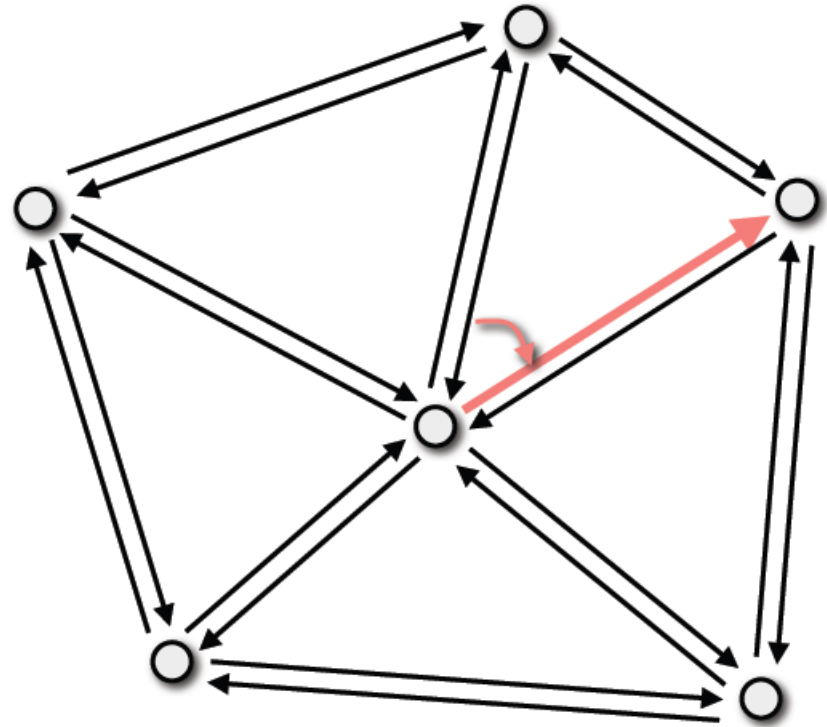
# One-ring traversal

1. Start at vertex
2. Outgoing halfedge
3. Opposite halfedge



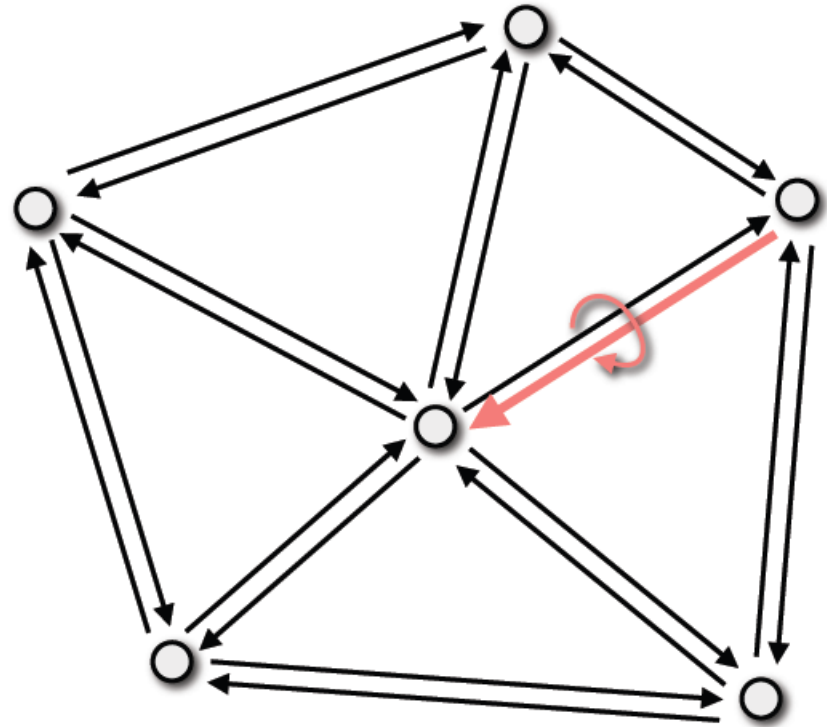
# One-ring traversal

1. Start at vertex
2. Outgoing halfedge
3. Opposite halfedge
4. Next halfedge



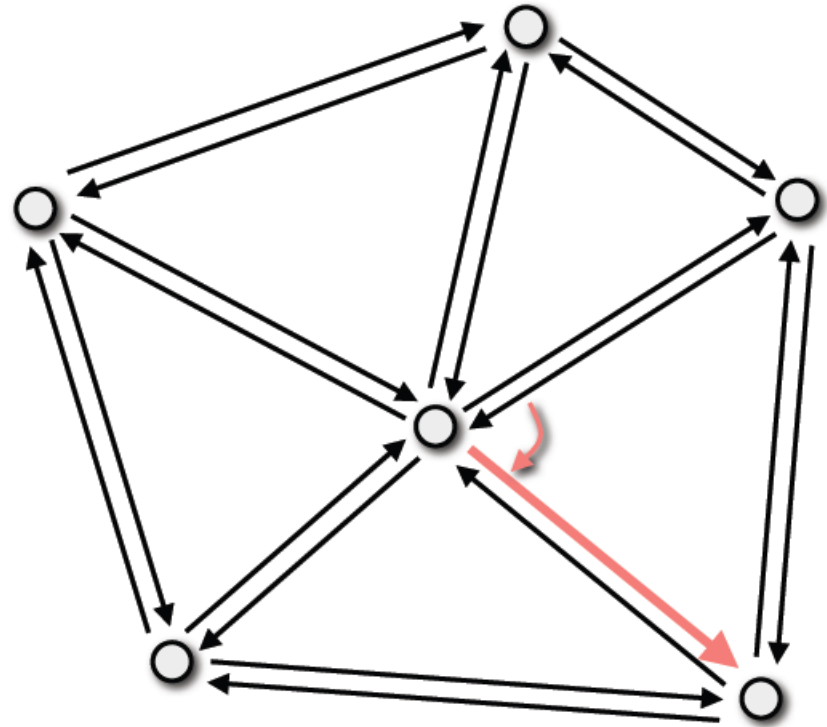
# One-ring traversal

1. Start at vertex
2. Outgoing halfedge
3. Opposite halfedge
4. Next halfedge
5. Opposite



# One-ring traversal

1. Start at vertex
2. Outgoing halfedge
3. Opposite halfedge
4. Next halfedge
5. Opposite
6. Next
7. ...



## Halfedge-based libraries

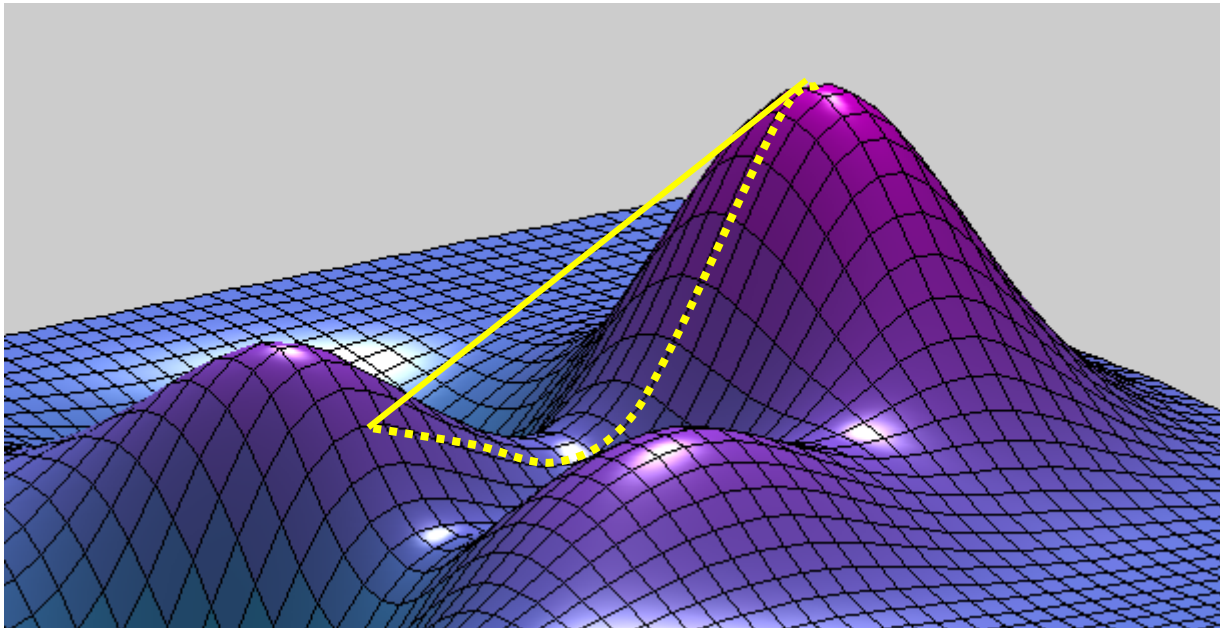
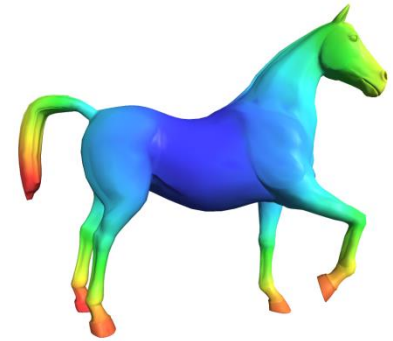
- CGAL
  - [www.cgal.org](http://www.cgal.org)
  - Computational geometry
  - Free for non-commercial use
  
- OpenMesh
  - [www.openmesh.org](http://www.openmesh.org)
  - Mesh processing
  - Free, LGPL licence

# Local analysis of 3D data

- Geometric attributes
- Advanced 3D descriptors

# Attributes

- Distance and Geodesic distance



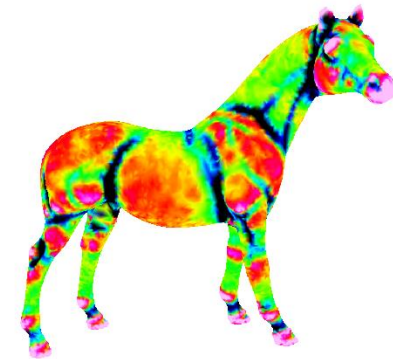
# Attributes

- Distance and Geodesic distance
- Planarity, normal direction



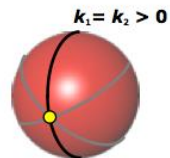
# Attributes

- Distance and Geodesic distance
- Planarity, normal direction
- Smoothness, curvature

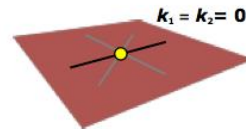


## Isotropic

Equal in all directions



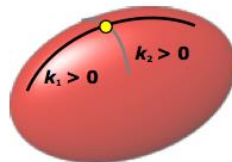
spherical



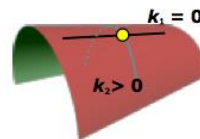
planar

## Anisotropic

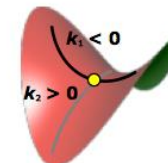
Distinct principal directions



elliptic  
 $K > 0$



parabolic  
 $K = 0$   
developable



hyperbolic  
 $K < 0$

# Attributes

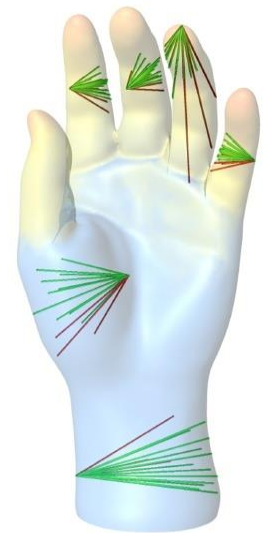
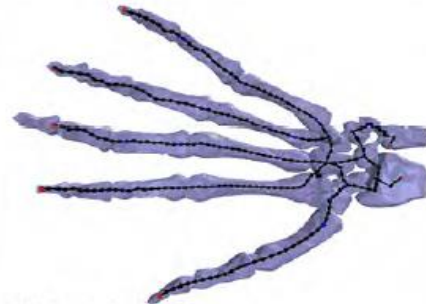
- Distance and Geodesic distance
- Planarity, normal direction
- Smoothness, curvature
- Distance to complex geometric primitives

# Attributes

- Distance and Geodesic distance
- Planarity, normal direction
- Smoothness, curvature
- Distance to complex geometric primitives
- Symmetry

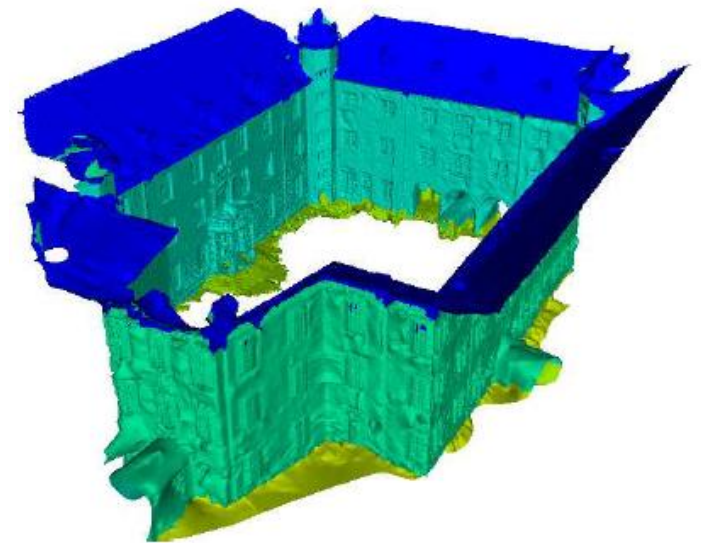
# Attributes

- Distance and Geodesic distance
- Planarity, normal direction
- Smoothness, curvature
- Distance to complex geometric primitives
- Symmetry
- Medial Axis, Shape diameter

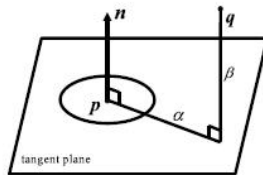


# Attributes

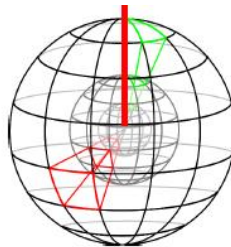
- Distance and Geodesic distance
- Planarity, normal direction
- Smoothness, curvature
- Distance to complex geometric primitives
- Symmetry
- Medial Axis, Shape diameter
- Texture
- ...



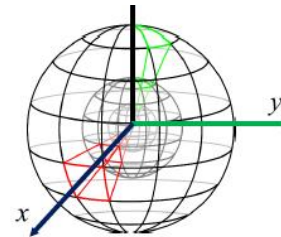
# 3D descriptors



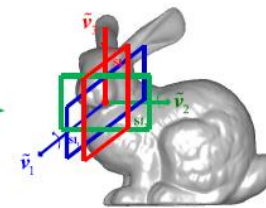
(a) SI



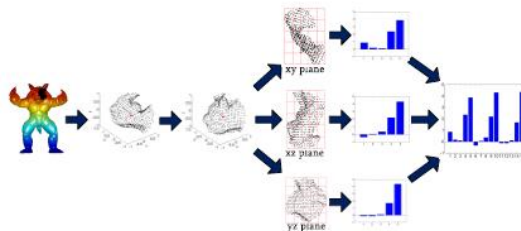
(b) 3DSC



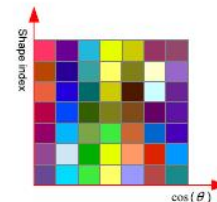
(c) USC



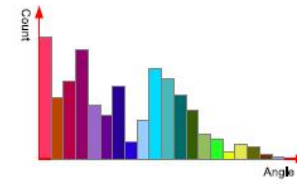
(d) TriSI



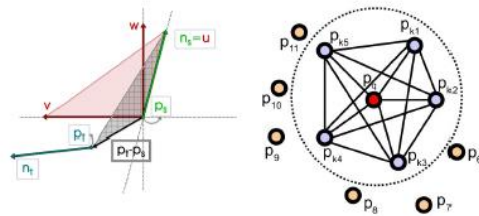
(e) RoPS



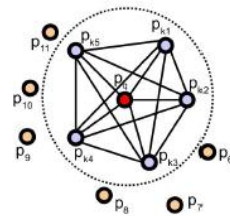
(f) LSP



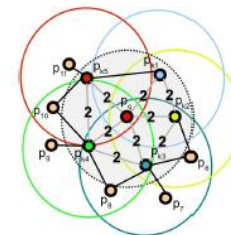
(g) THRIFT



(h) PFH



(i) FPFH



(j) SHOT

# Scene classification

- Unsupervised (MRF)
- Machine learning (Random Forest)
- Deep learning (PointNet)

# Markov Random Fields (MRF)

*set of random variables having a Markov property described by an undirected graph*

Let  $V$  be the set of nodes in the graph

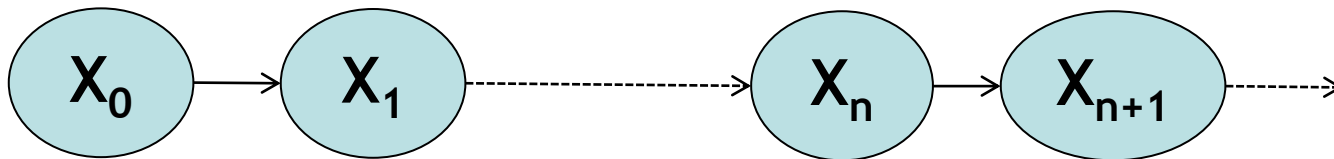
$\text{Card}(V)$  = number of random variables in the MRF



# Markov property

- in 1D (Markov chain)

$$\Pr(X_{n+1} = x | X_1 = x_1, X_2 = x_2, \dots, X_n = x_n) = \Pr(X_{n+1} = x | X_n = x_n).$$

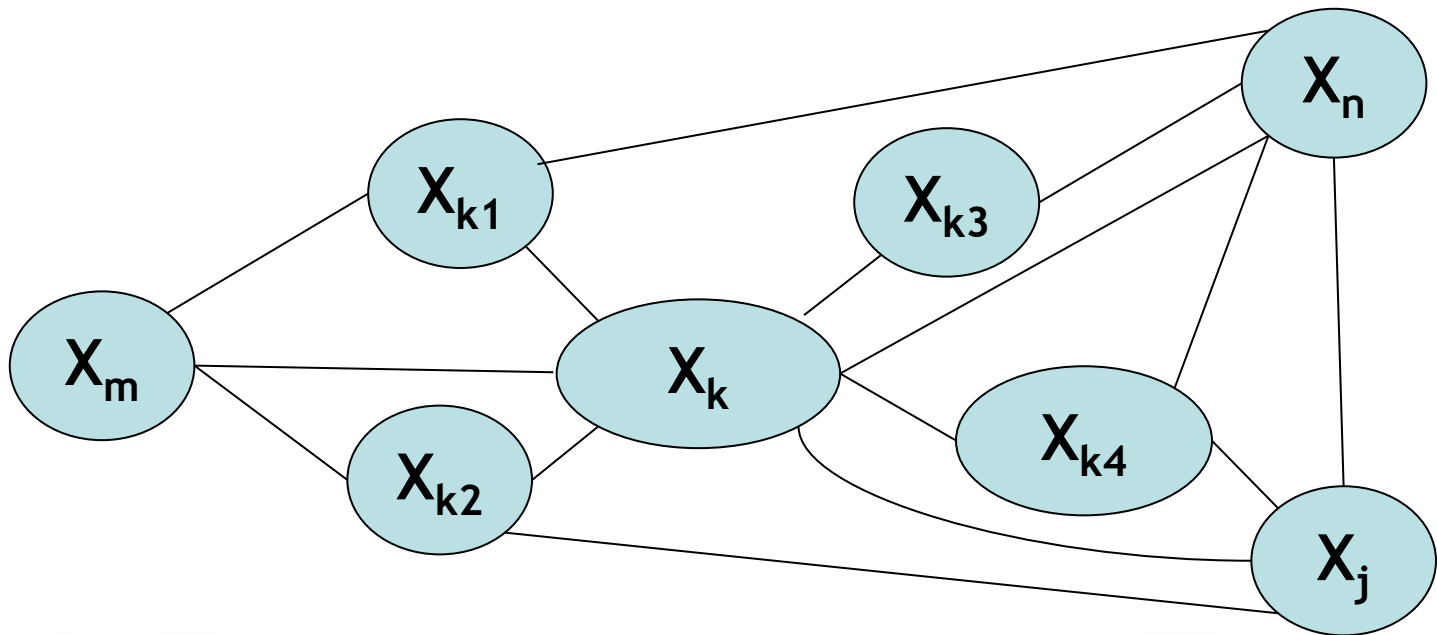


$n$  usually corresponds to time

# Markov property

- in 2D or on a manifold in 3D (Markov field)

$$P[X_k | X_{-\{k\}}] = P[X_k | (X_{n(k)})] \quad \text{with } n(k) \text{ neighbors of } k$$

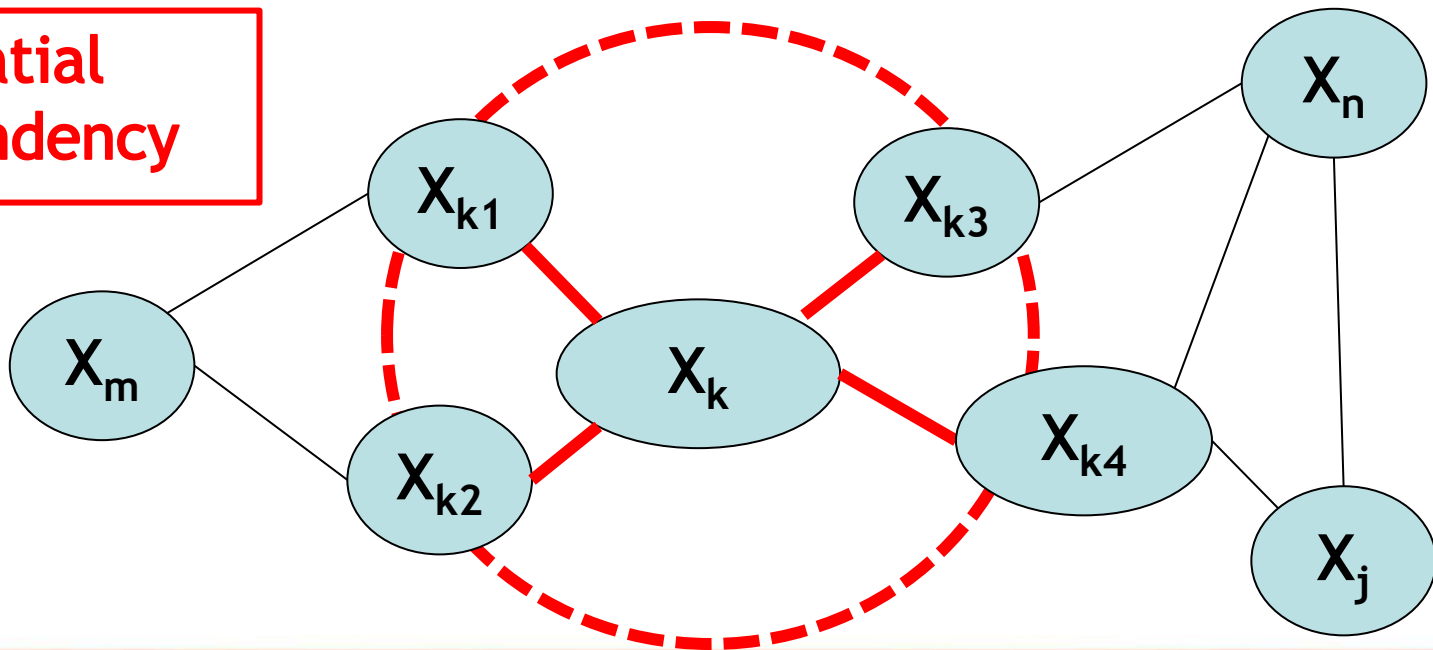


# Markov property

- in 2D or on a manifold in 3D (Markov field)

$$P[X_k | X_{-\{k\}}] = P[X_k | (X_{n(k)})] \quad \text{with } n(k) \text{ neighbors of } k$$

Spatial  
dependency



# Notion of neighborhood

$\mathcal{N} = \{n(i) \mid i \in V\}$  is a neighborhood system if

- (a)  $i \notin n(i)$
  - (b)  $i \in n(j) \Leftrightarrow j \in n(i)$
- 
- a MRF is always associated to a neighborhood system defining the dependency between graph nodes

## MRF as an energy

- Gibbs energy (Hammersley-Clifford theorem)
- Let  $X$  be a MRF so that for all  $x \in \Omega$ ,  $P(X=x) > 0$ , Then  $P(X)$  is a Gibbs distribution of the form

$$P(X=x) = \frac{\exp -U(x)}{Z}$$

- $U$  is called a Gibbs energy
- $Z = \sum_{X \in \Omega} \exp -U(X)$

# Markov property

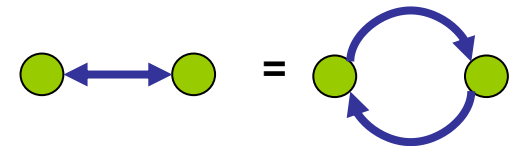
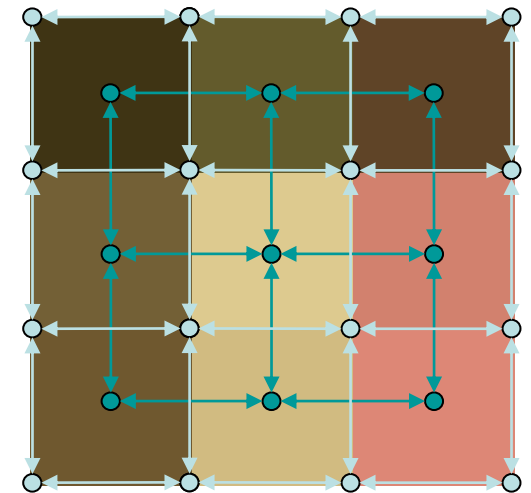
- Why is the markovian property important ?
  - graph with 1M nodes
  - if each node is adjacent to every other nodes:  
 $1M \cdot (999,999) / 2$  edges ~ 500 G edges
  - each random variable cannot be dependent to all the other ones  
⇒ complexity needs to be reduced by spatial considerations

# Markov Random Fields for images

- two common graphs

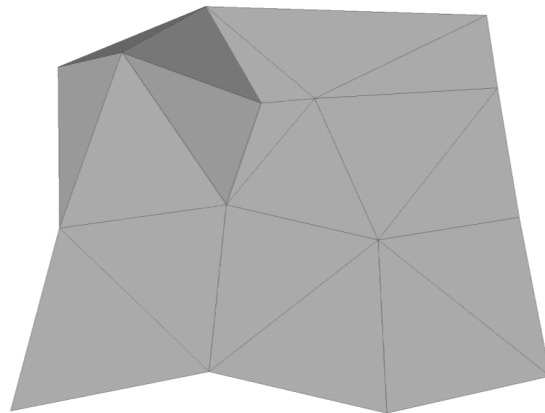
— nodes = pixel centers  
edges = adjacent pixels (4-connectivity)

— nodes = pixel corners  
edges = pixel borders



# Markov Random Fields for meshes

- Graph nodes = vertices & graph edges = edges
- Graph nodes = facets & graph edges = edges
- Graph nodes = edges & graph edges = facets





# Bayesian formulation

Let  $y$ , the data (attributes)  
 $x$ , the label

we want to model the probability of having  $x$  knowing  $y$

$$\Pr(X = x / Y = y) = \frac{\Pr(Y = y / X = x) \cdot \Pr(X = x)}{\Pr(Y = y)} \quad \text{Bayes law}$$

$\Pr(X = x / Y = y) \propto$	$\Pr(Y = y / X = x)$	$\cdot$	$\Pr(X = x)$
$\downarrow$	$\downarrow$		$\downarrow$
Posterior probability	Likelihood		Prior probability

# Standard assumptions

- conditional independence of the observation

$$P(Y=y|X=x) = \prod_{i \in V} P(y_i | x_i)$$

- $X$  is an MRF

# From probability to energy

- data term : local dependency hypothesis ( $l=x$ )
- regularization : soft constraints

$$U(l) = \underbrace{\sum_{i \in V} D_i(l_i)}_{\text{Data term}} + \beta \underbrace{\sum_{\{i,j\} \in E} V_{ij}(l_i, l_j)}_{\text{Regularisation term}}$$

Data term

= -log (likelihood)  
when Bayesian

Regularisation term

= - log (pairwise interaction  
prior) when Bayesian

# Optimal configuration

We search for the label configuration  $x$  that maximizes  $P(X=x \mid Y=y)$

$$\begin{aligned} \rightarrow x^* &= \arg \max_x \Pr(X=x \mid Y=y) \\ &= \arg \min_x U(x) \end{aligned}$$

# exercise: binary segmentation

## Graph structure

Graph nodes = facets

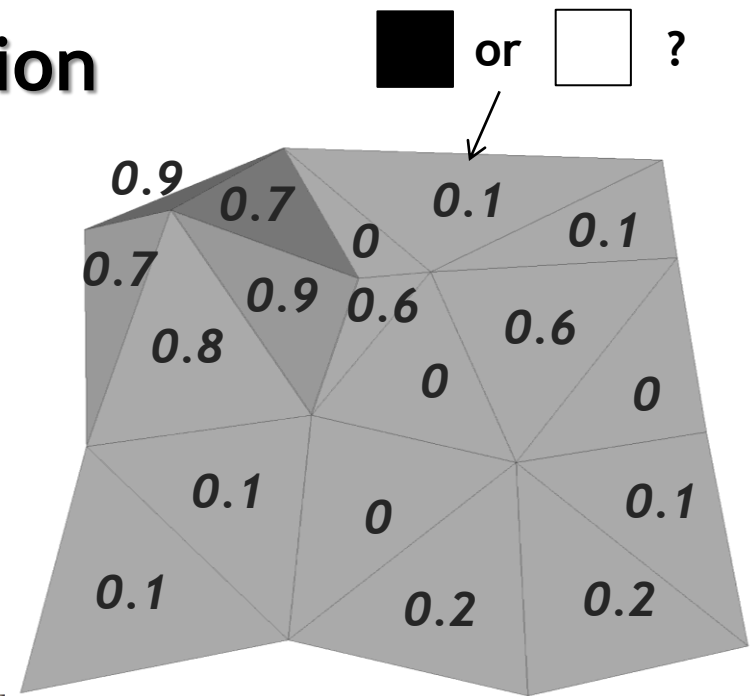
Graph edges = common edges

Attributes on facet:  $[0, 1]$  ( $y$ )

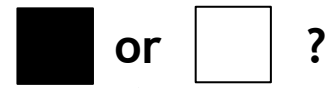
labels:  $\{white, black\}$  ( $l$ )

Energy:  $U(l) = \sum_{i \in V} D_i(l_i) + \beta \sum_{\{i,j\} \in E} V_{ij}(l_i, l_j)$

with  $D_i(l_i) = \begin{cases} y_i & \text{if } l_i = 'white' \\ 1 - y_i & \text{otherwise} \end{cases}$

$$V_{i,j}(l_i, l_j) = \begin{cases} 0 & \text{if } l_i = l_j \\ 1 & \text{otherwise} \end{cases}$$


# exercise: binary segmentation



## Graph structure

Graph nodes = facets

Graph edges = common edges

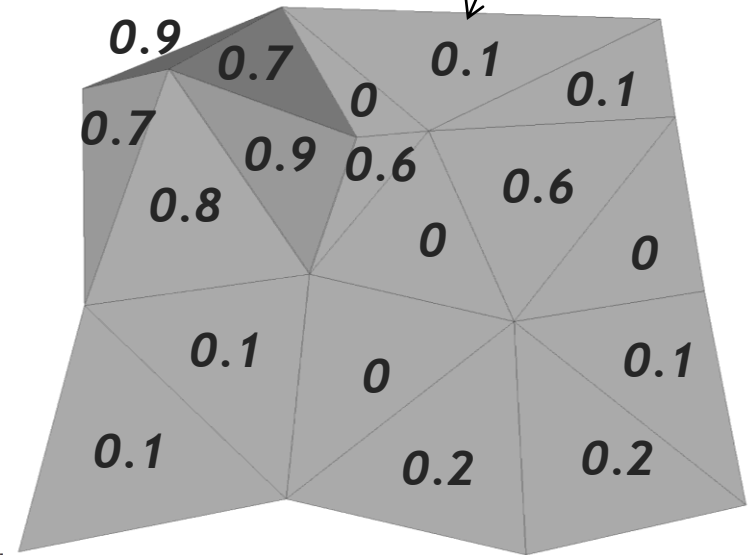
Attributes on facet:  $[0, 1]$  ( $y$ )

labels:  $\{white, black\}$  ( $l$ )

**Energy:** 
$$U(l) = \sum_{i \in V} D_i(l_i) + \beta \sum_{\{i,j\} \in E} V_{ij}(l_i, l_j)$$

with 
$$D_i(l_i) = \begin{cases} y_i & \text{if } l_i = 'white' \\ 1 - y_i & \text{otherwise} \end{cases}$$

$$V_{i,j}(l_i, l_j) = \begin{cases} 0 & \text{if } l_i = l_j \\ 1 & \text{otherwise} \end{cases}$$



**Q1:** what is the optimal configuration  $l$  if  $\beta = 0$  ? What is its energy ?

**Q2:** what is the optimal configuration if  $\beta \rightarrow \inf$  ?

**Q3:** what are the other possible optimal configurations in function of  $\beta$  ?



# Finding the optimal configuration of labels

Graph-cut based approaches

fast but restrictions on energy formulation

Monte Carlo sampling

slow but no restriction



# Example: mesh segmentation with principal curvature attributes & soft geometric constraints

Multi-label energy model of the form

$$U(l) = \sum_{i \in V} D_i(l_i) + \beta \sum_{\{i,j\} \in E} V_{ij}(l_i, l_j)$$

with  $V$ , set of vertices of the input mesh

$E$ , set of edges in the mesh

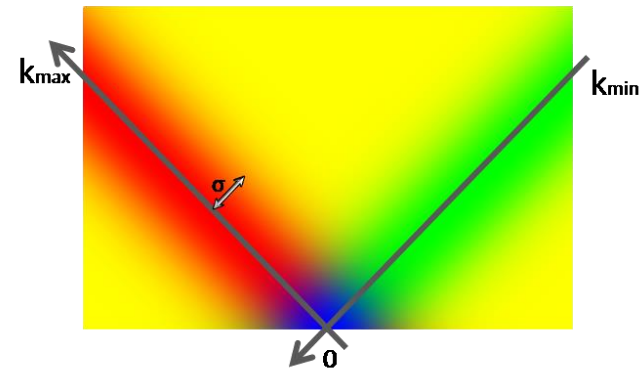
$l_i$ , the label of the vertex  $i$  among : *planar* (1),  
*developable convex* (2), *developable concave* (3)  
and *non developable* (4)

# Data term

$$D_i(l_i) = 1 - Pr(l_i | k_{min}^{(i)}, k_{max}^{(i)})$$

with

$$Pr(l_i | k_{min}^{(i)}, k_{max}^{(i)}) = \begin{cases} G_\sigma(k_{min}^{(i)})G_\sigma(k_{max}^{(i)}) & \text{if } l_i = 1 \\ G_\sigma(k_{min}^{(i)})(1 - G_\sigma(k_{max}^{(i)})) & \text{if } l_i = 2 \\ (1 - G_\sigma(k_{min}^{(i)}))G_\sigma(k_{max}^{(i)}) & \text{if } l_i = 3 \\ (1 - G_\sigma(k_{min}^{(i)}))(1 - G_\sigma(k_{max}^{(i)})) & \text{if } l_i = 4 \end{cases}$$



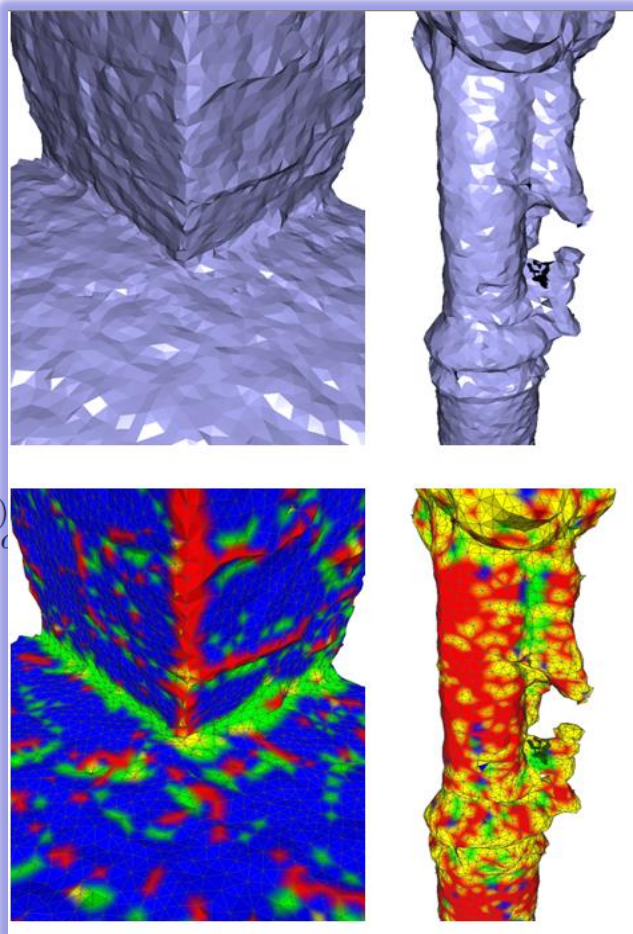
$$G_\sigma(k) = \exp(-k^2/2\sigma^2)$$

# Data term

with

$$Pr(l_i | k_{min}^{(i)}, k_{max}^{(i)})$$

$$G_\sigma(k) =$$



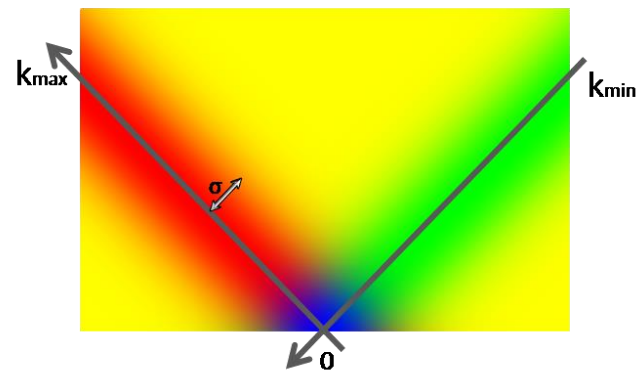
$$r(l_i | k_{min}^{(i)}, k_{max}^{(i)})$$

if  $l_i = 1$

if  $l_i = 2$

if  $l_i = 3$

if  $l_i = 4$



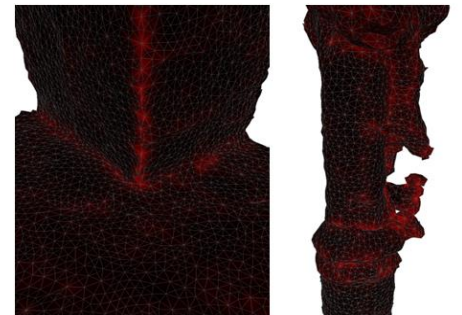
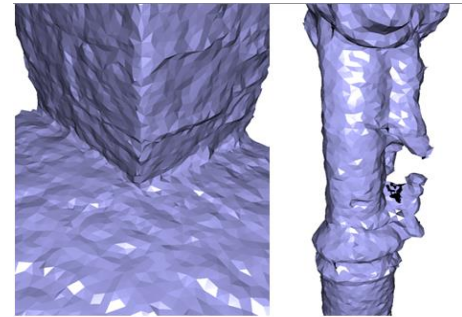
# Soft constraints

Label smoothness

Edge preservation

$$V_{ij}(l_i, l_j) = \begin{cases} 1 & \text{if } l_i \neq l_j \\ \min(1, a \|\mathbf{W}_i - \mathbf{W}_j\|_2) & \text{otherwise} \end{cases}$$

with 
$$\mathbf{W} = \begin{pmatrix} k_{min} \cdot \mathbf{W}_{min} \\ k_{max} \cdot \mathbf{W}_{max} \end{pmatrix}$$

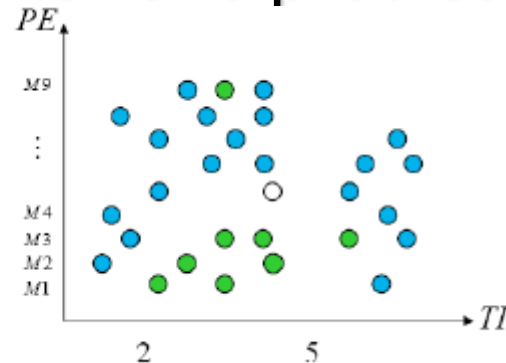


# Classification by Machine learning (Random Forest)

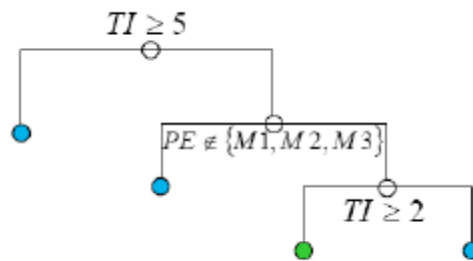
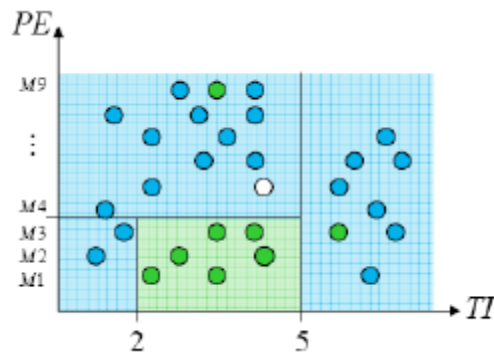
# Decision trees involve greedy, recursive partitioning

- Simple dataset with two predictors

$TI$	$PE$	Response
1.0	$M2$	good
2.0	$M1$	bad
...	...	...
4.5	$M5$	?



- Greedy, recursive partitioning along  $TI$  and  $PE$

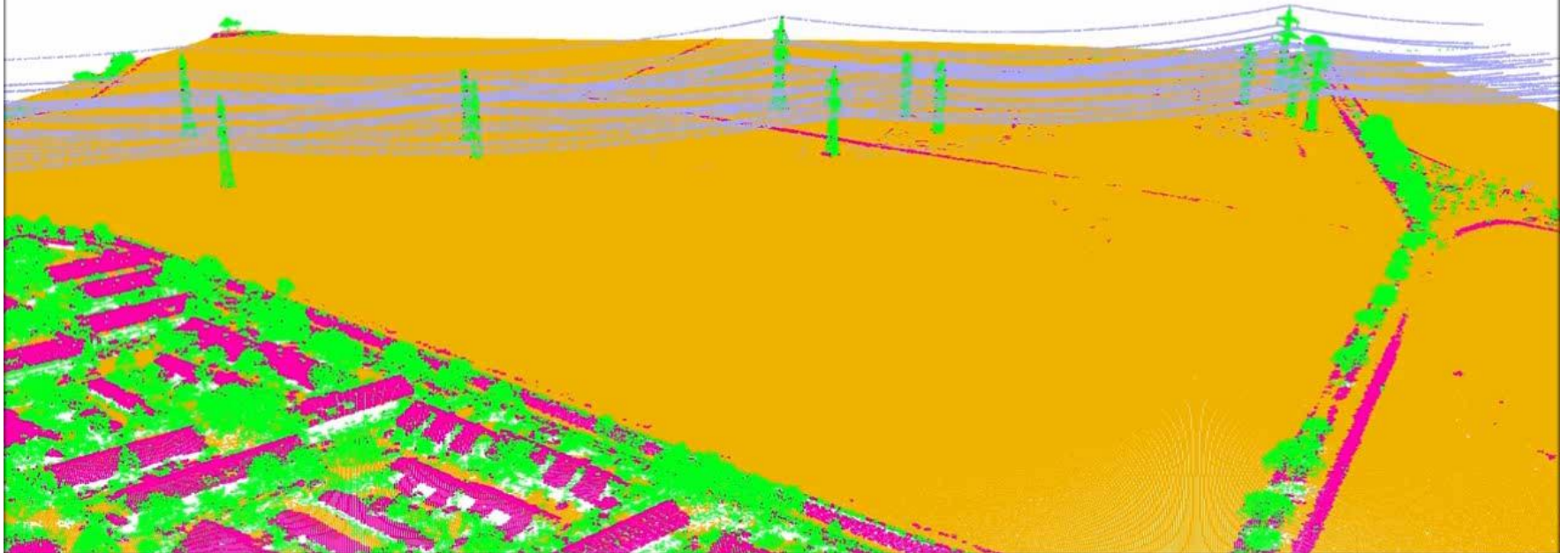


# Example with cgal



**Geometry  
Factory**

**Point Cloud  
Classification  
in CGAL**

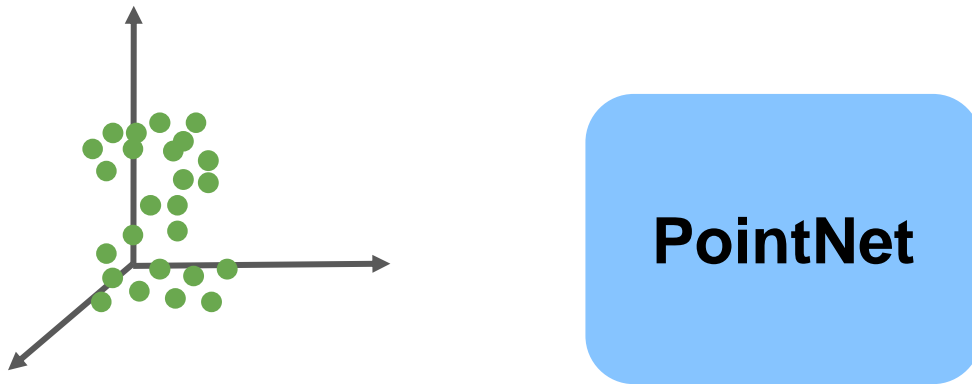


# Classification by Deep learning (PointNet)



# PointNet

## End-to-end learning for irregular point data

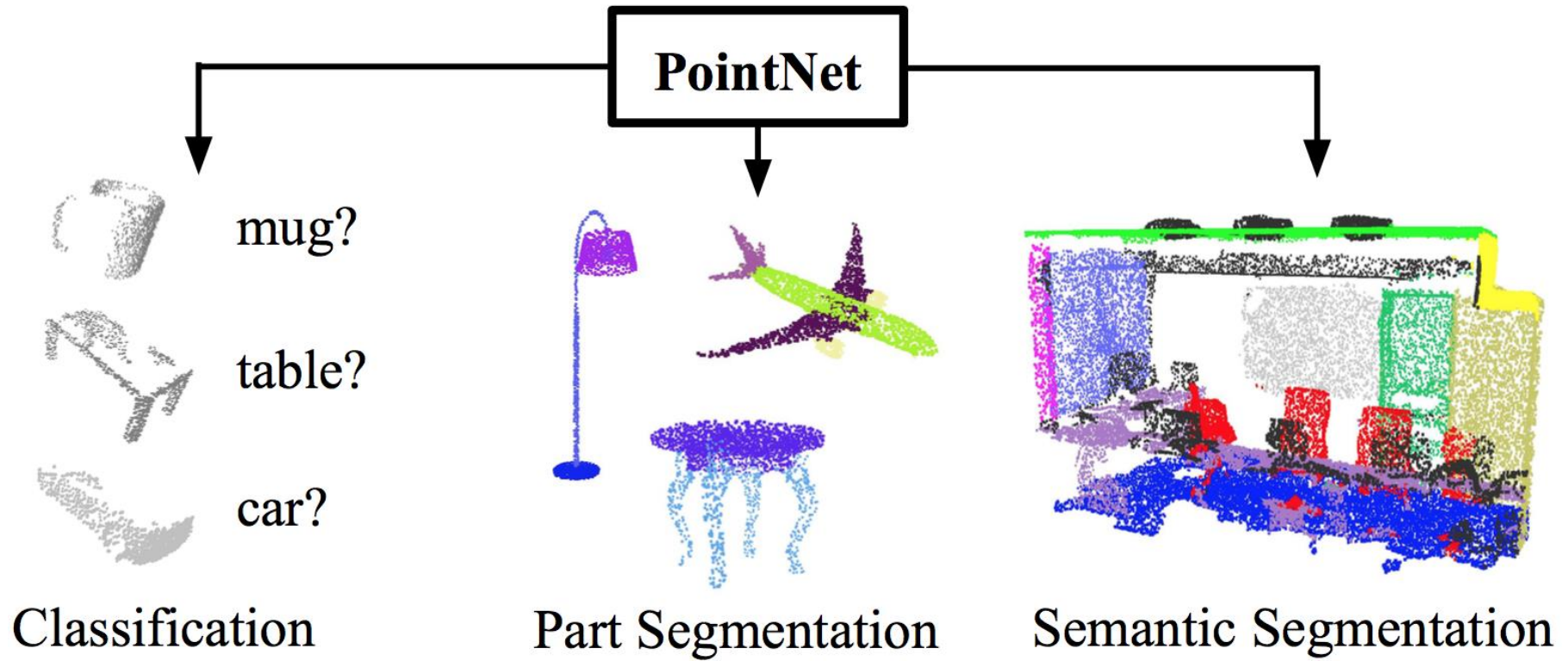


***Charles R. Qi, Hao Su, Kaichun Mo, Leonidas J. Guibas. PointNet: Deep Learning on Point Sets for 3D Classification and Segmentation. (CVPR'17)***

# PointNet

## End-to-end learning for irregular point data

## Unified framework for various tasks



# PointNet: challenges

*The model has to respect key properties of point clouds:*

## **Point Permutation Invariance**

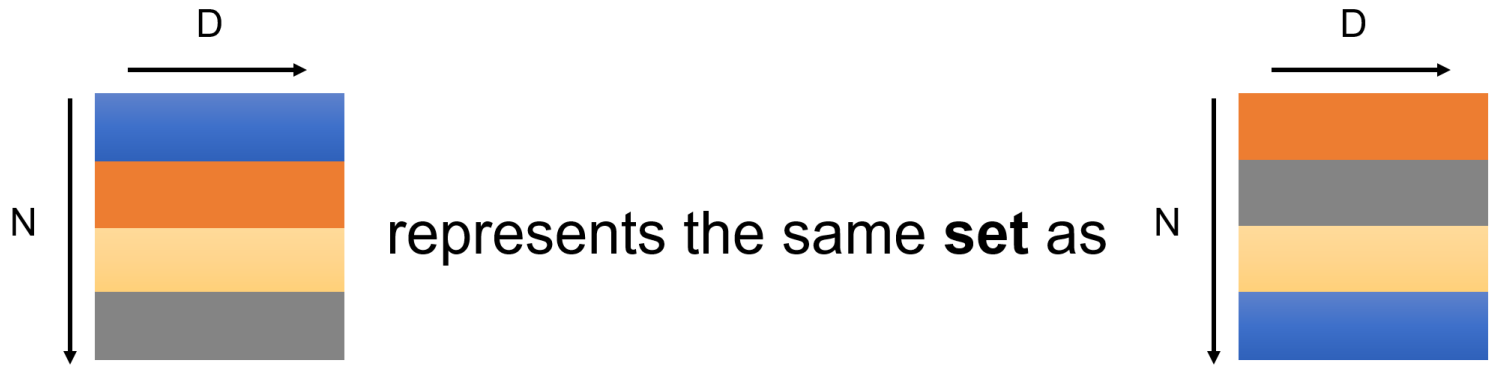
Point cloud is a set of **unordered** points

## **Spatial Transformation Invariance**

Point cloud **rigid motions** should not alter classification results

# First property: point permutation invariance

Point cloud: set of  $N$  **unordered** points, each represented by a  $D$  dim vector



**Model needs to be invariant to  $N!$  permutations**

# First property: point permutation invariance

$$f(x_1, x_2, \dots, x_n) \equiv f(x_{\pi_1}, x_{\pi_2}, \dots, x_{\pi_n}), \quad x_i \in \mathbb{R}^D$$

## Examples:

$$f(x_1, x_2, \dots, x_n) = \max\{x_1, x_2, \dots, x_n\}$$

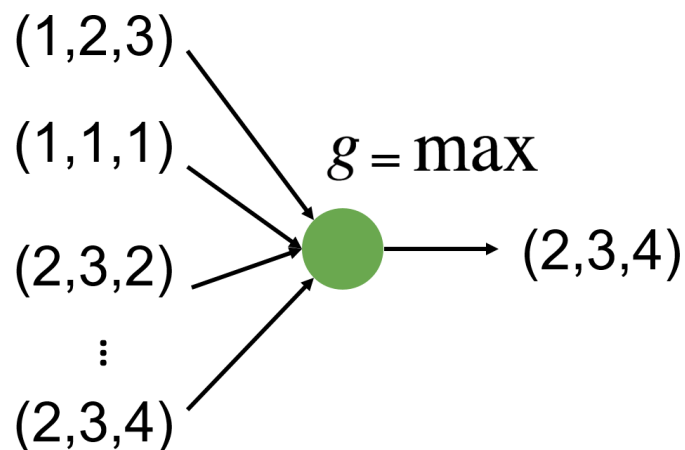
$$f(x_1, x_2, \dots, x_n) = x_1 + x_2 + \dots + x_n$$

...

**How can we construct a universal family of symmetric functions by neural networks?**

# First property: point permutation invariance

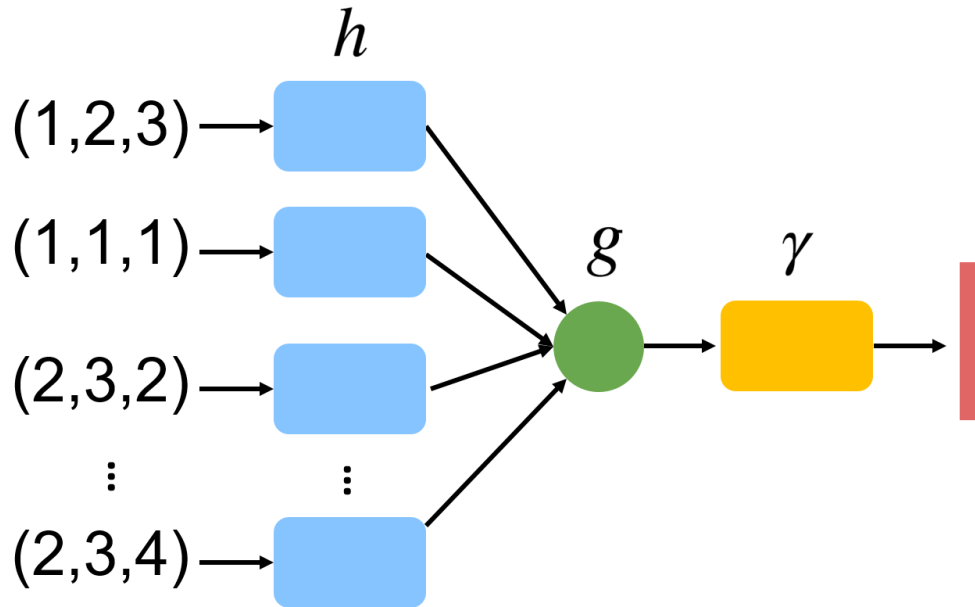
Simplest form: directly aggregate all points with a symmetric operator  $g$   
**Just discovers simple extreme/aggregate properties of the geometry**



# First property: point permutation invariance

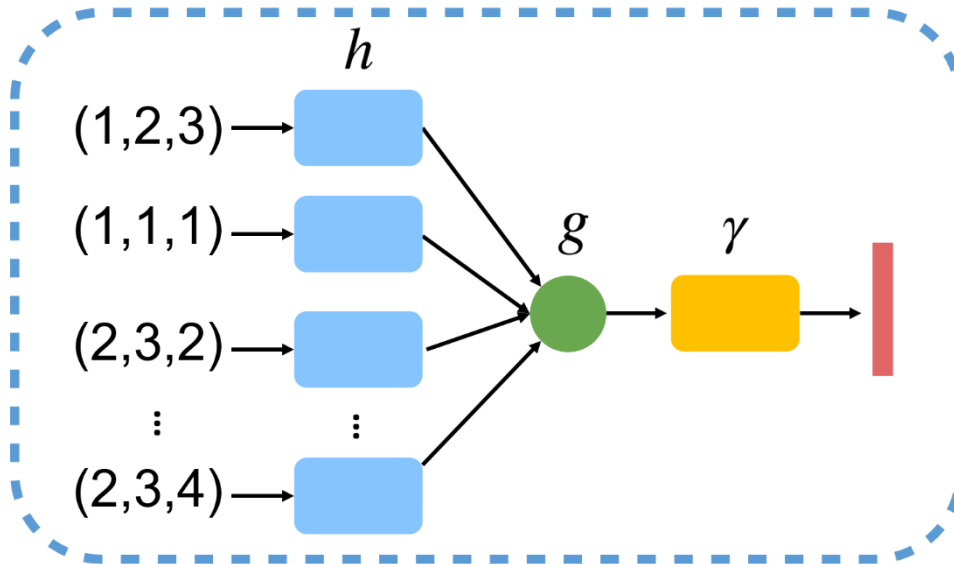
Embed points to a high-dim space before aggregation.

**Aggregation in the (redundant) high-dim space encodes more interesting properties of the geometry.**



# First property: point permutation invariance

$f(x_1, x_2, \dots, x_n) = \gamma \circ g(h(x_1), \dots, h(x_n))$  is symmetric if  $g$  is symmetric

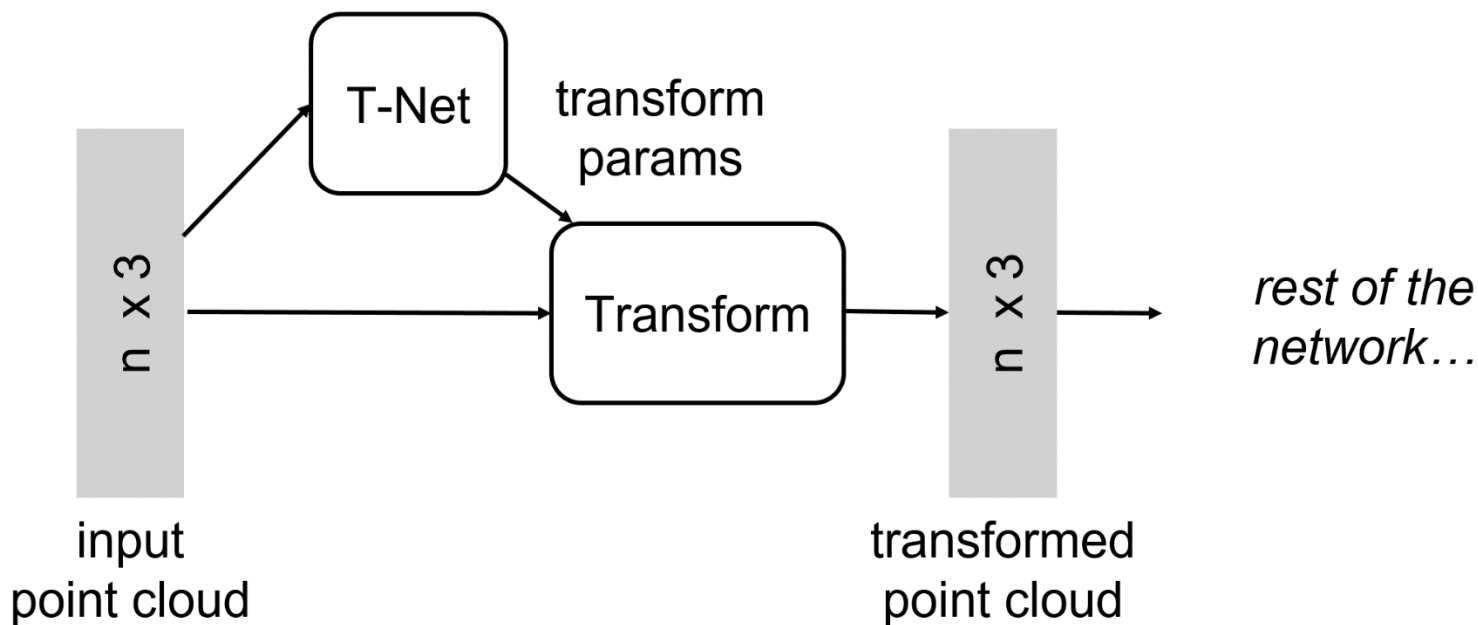


**PointNet (vanilla)**



# Second property: spatial transformation invariance

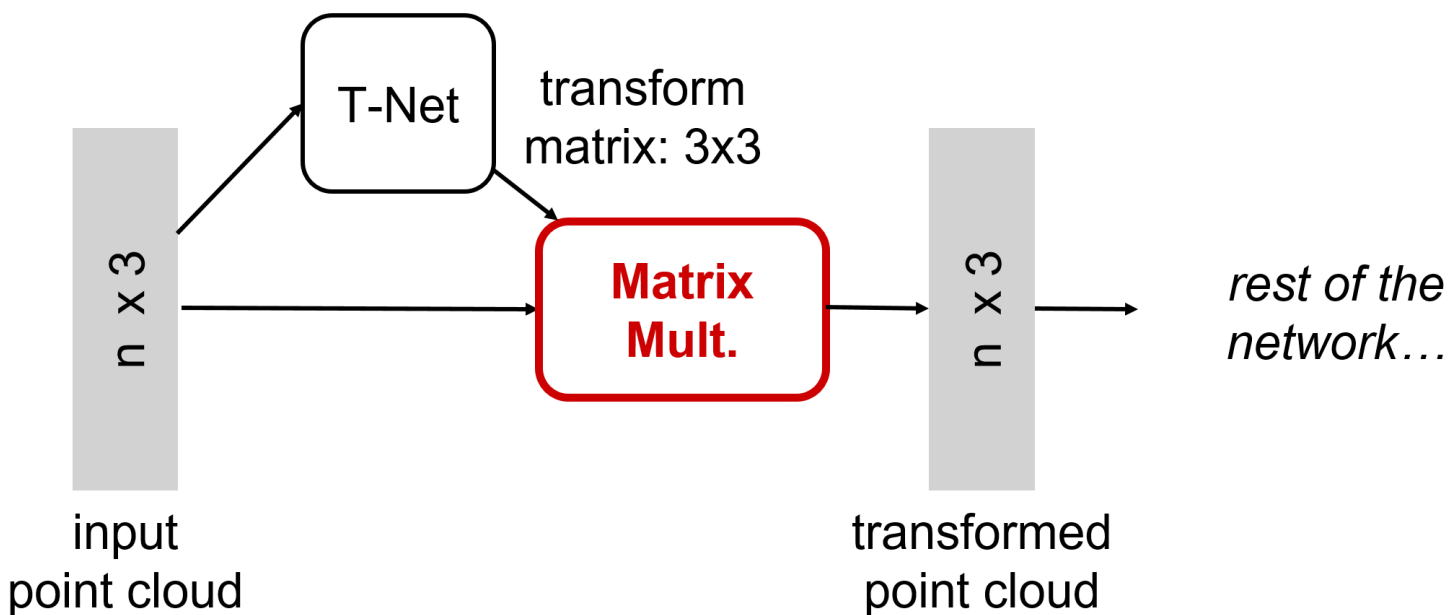
Idea: Data dependent transformation for automatic alignment



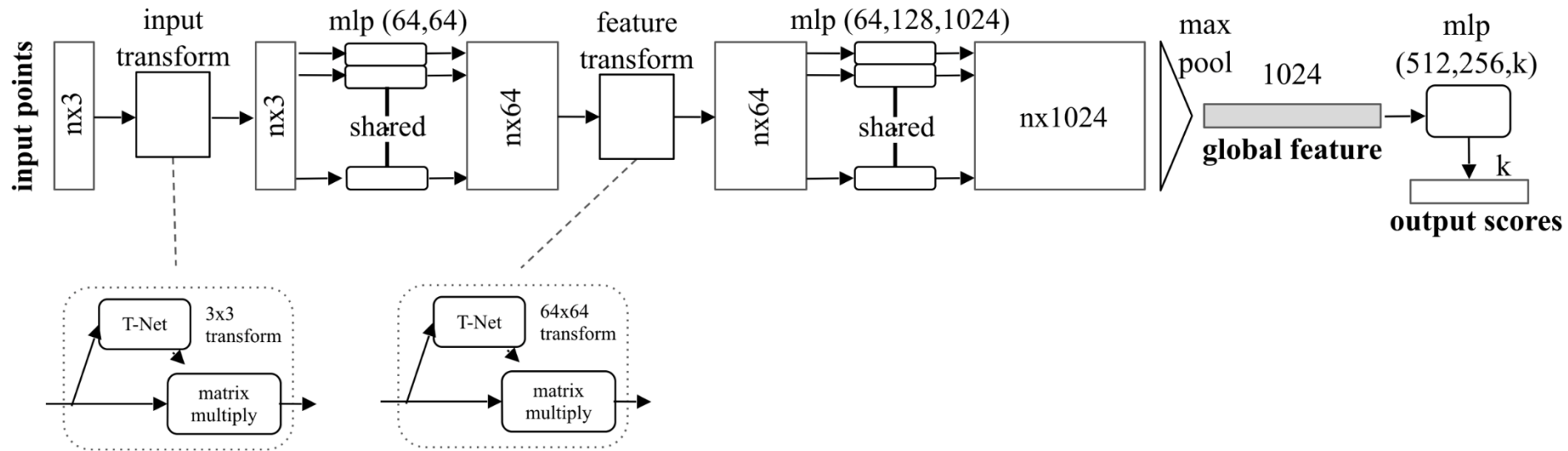
# Second property: spatial transformation invariance

Idea: Data dependent transformation for automatic alignment

The transformation is just matrix multiplication!



# PointNet architecture for classification tasks

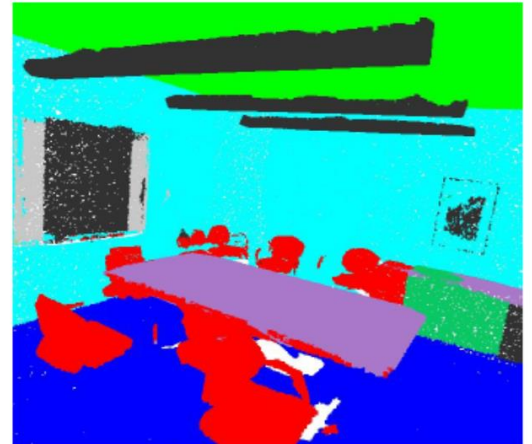
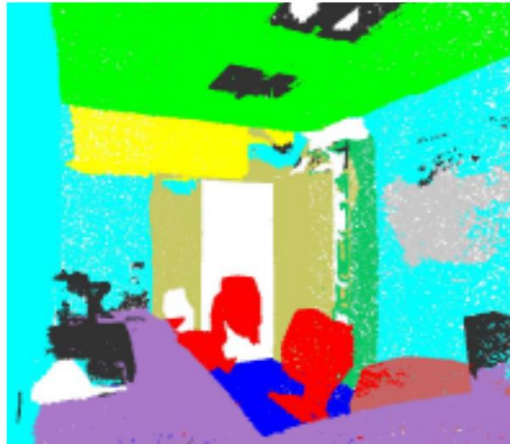
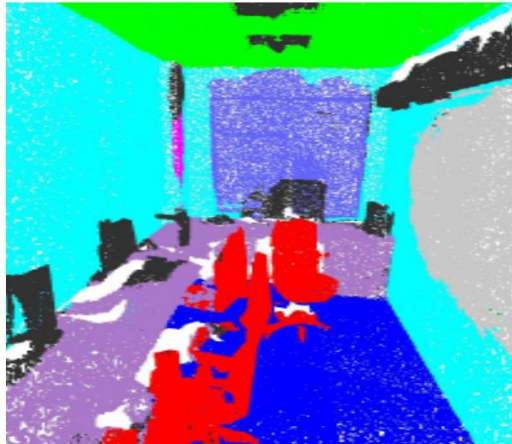


# Results on indoor scene classification

Input



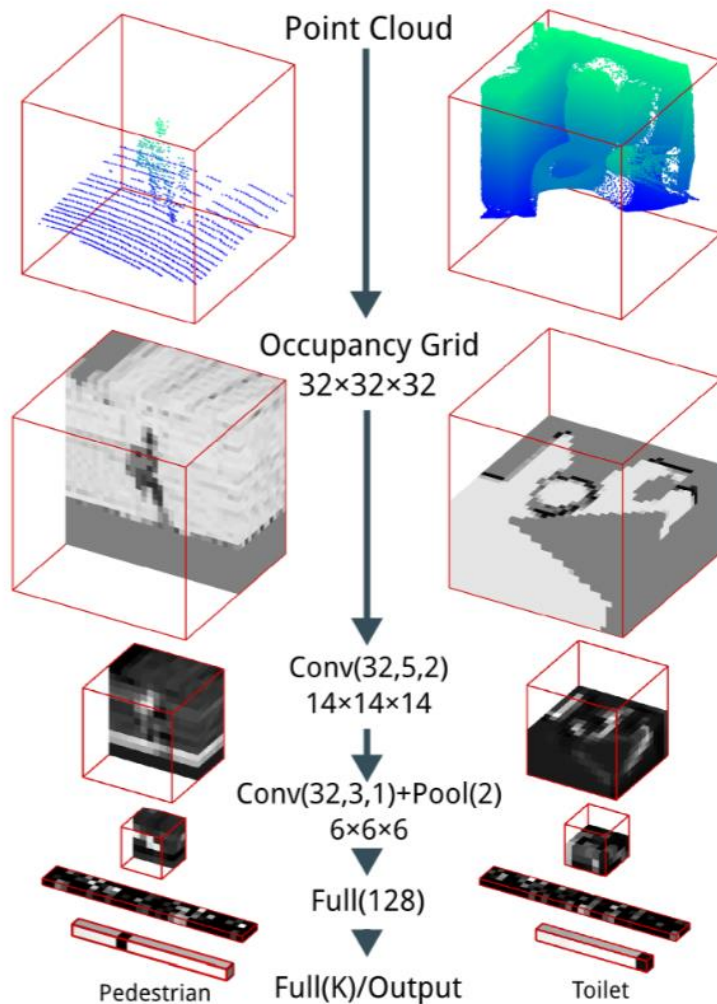
Output



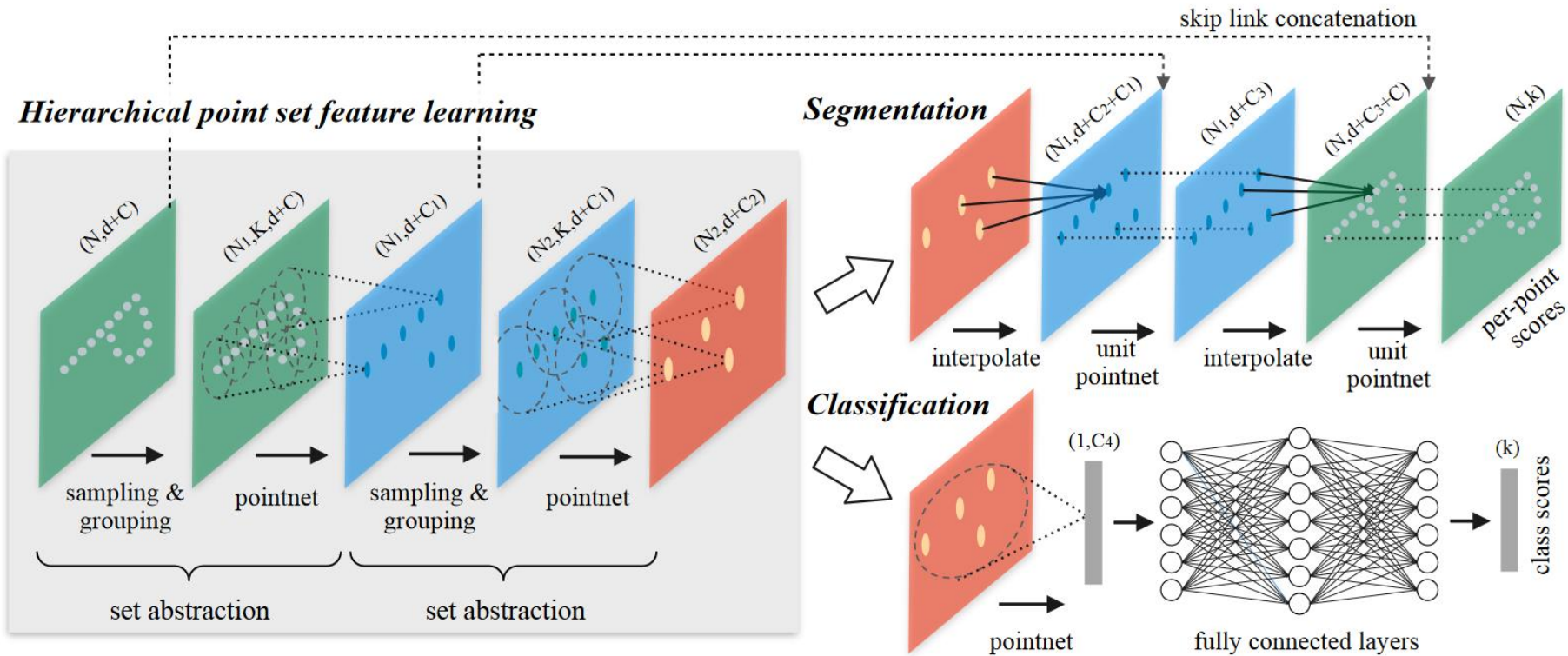
# Other deep learning architectures for point cloud classification

- VoxNet (2015)
- PointNet++ (2017)
- Superpoint graph (2018)
- DG-CNN (2019)
- Point Cloud Transformer (2021)
- ...

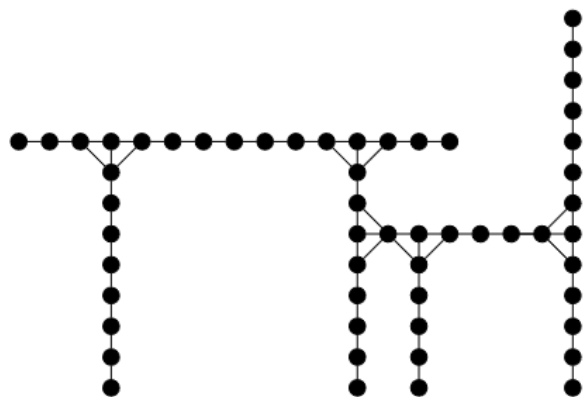
# VoxNet (Maturana et al, VoxNet: A 3D Convolutional Neural Network for Real-Time Object Recognition. In IROS, 2015)



# PointNet++ (Qi et al, PointNet++: Deep hierarchical feature learning on point sets in a metric space. In NIPS, 2017)

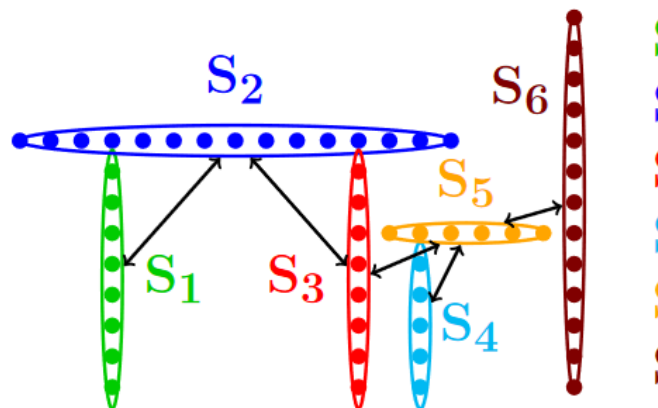


# Superpoint graph (Landrieu et al, Large-scale point cloud semantic segmentation with superpoint graphs. In CVPR, 2018)



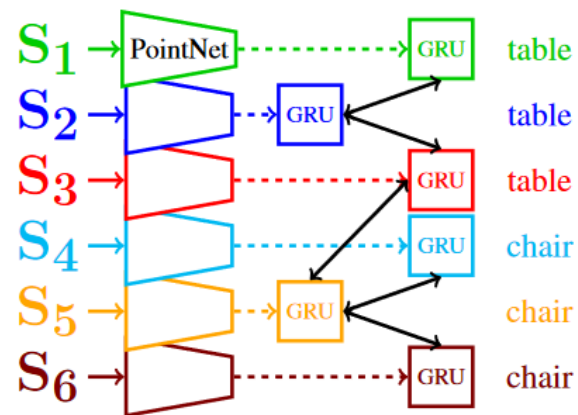
● point — edge of  $E_{vor}$

(a) Input point cloud



●● superpoint ↔ superedge

(b) Superpoint graph



- - → embedding

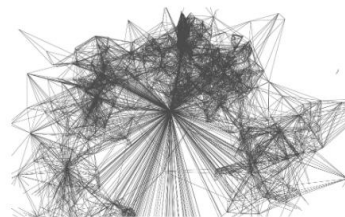
(c) Network architecture



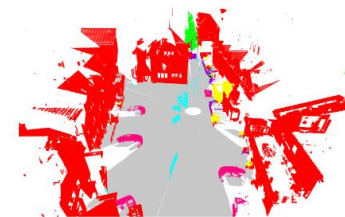
(a) RGB point cloud



(b) Geometric partition



(c) Superpoint graph



(d) Semantic segmentation



# DG-CNN (Wang et al., Dynamic graph cnn for learning on point clouds. TOG, 2019)

