

# Reproducing sensory induced visual hallucinations via neural fields

Dario Prandi Université Paris-Saclay, CNRS, CentraleSupélec

joint work with: C. Tamekue (L2S), Y. Chitour (L2S), E. Baspinar (NeuroPSI), M. Bertalmío (UPF), L. Calatroni (CNRS, I3S), V. Franceschi (UniPD), B. Franceschiello (FAA-LINE), A. Gomez-Villa (UPF)

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### Modelling the human visual system



Q: How can we model cortical activity in V1? Can this inform us on aberrant visual behavior (hallucinations, illusions, etc...) ?

- 1. Geometric hallucinations
- 2. Mesoscopic model of V1
- 3. A variational approach to cortical activity
- 4. Modelling the connectivity
- 5. Conclusions & Outlook

# **Geometric hallucinations**

Visual hallucination  $\leftrightarrows$  perception of an image which does not exist.

We focus on geometric visual hallucinations or form constants (Klüver, 1967).



Artist's depictions of geometric visual hallucinations. Reproducing from Oster (1970), Siegel(1977), Patterson (1992), Clottes & Lewis-Williams (1998).

### Retinotopic structure of V1



Adapted from (Tootell et al, 1982)

The retinal visual field is mapped in a non-trivial way on the surface of V1.

### Retino-cortical map (Schwartz 1977; Cowan 1977)

Using polar coordinates on the retinal plane, we have

$$\mathcal{R}: \quad \mathbb{R}^2 \quad \longrightarrow \mathbb{R}^2 re^{i\theta} \quad \longmapsto (x_1, x_2) = (\log r, \theta).$$
 (1)

## Retinotopic structure of V1



Visual illustration of the retino-cortical map. Reproduced from Billock and Tsou (PNAS, 2007).

# Neuronal Activity in V1

### Wilson-Cowan equation

The cortical activity a on the cortical surface evolves according to

$$\frac{\partial}{\partial t}a(x,t) = -\alpha a(x,t) + \mu \int_{\mathbb{R}^2} \omega(\|x-x'\|) f(a(x',t)) dx' + I_{\text{ext}}(x,t). \quad (\text{WC})$$

- $\alpha, \mu > 0$
- $f: \mathbb{R} \to \mathbb{R}$  non-linear response function
- +  $I_{\mbox{\scriptsize ext}}$  cortical representation of visual stimulus
- $\omega$  interaction kernel (typicalli a DoG)



Low-pass filter + interaction

### Remark

(WC) commutes with the natural action of  $E(2) := \mathbb{R}^2 \rtimes \mathcal{O}(2)$  when  $I_{ext} \equiv 0$ .

# Spontaneous Patterns (SP) and Hallucinatory Patterns (HP)

Spontaneous patterns ightarrow  $a\sim$  0 and I<sub>ext</sub> = 0

- 0 is stationary state of (WC) when  $I_{\mbox{\scriptsize ext}}=0$
- For  $\mu > \mu_c$  marginally stable stationary states appear (symmetry **E**(2))



$$SP(x) = \sum_{j=1}^{n} \cos(2\pi k_j \cdot x), \qquad k_j = (\cos \phi_j, \sin \phi_j).$$

• HP: "images" of SP by the inverse retino-cortical map

$$e^{i\theta} = (\exp(x_1), x_2)$$
<sup>7</sup>

# Spontaneous Patterns (SP) and Hallucinatory Patterns (HP)



SP in left and HP in the right. Ermentrout & Cowan (1979)

# "Mackay effects", and Billock and Tsou psychophysical tests

 $\rightarrow$  Complex hallucinatory-like patterns can arise also in normal state



**Figure 1:** The MacKay effect: the presentation of the stimulus to the left ("MacKay rays") induces the perception of the image (Artist depiction by Isia Léviant) on the right. Adapted from MacKay (Nature, 1957) and Zeki *et al* (Bio. Sci., 1993).



Figure 2: Artist's depictions of some subjects report biasing stimuli and hallucinatory percepts. Reproduced from Billock & Tsou (PNAS, 2007).

## Complex Patterns (CP) via controllability of (WC) equation



#### Meaning of Controllability

Let  $\mu < \mu_c$  and  $T \gg 1$ . Given two states  $a_0$  and  $a_1$ , is there a control  $I_{ext}$  such that the solution a of the above Cauchy problem satisfies

 $a(\cdot, T) pprox a_1(\cdot)$  ?

### SP can not induce CP in linear regime

It is established since Ermentrout and Cowan (1979) that

$$\mu_{c} := \frac{\alpha}{f'(0) \max_{r \ge 0} \widehat{\omega}(r)}$$

### Theorem (Tamekue, Chitour, P)

Consider the linear equation

$$\begin{cases} \partial_t a(x,t) &= -\alpha a(x,t) + \mu \int_{\mathbb{R}^2} \omega(\|x-x'\|) a(x',t) dx' + SP(x), \\ a(x,0) &= a_0(x). \end{cases}$$

Let  $a_0\in L^\infty(\mathbb{R}^2)$  and  $I_{ext}=SP.$  Then the unique solution of the above equation satisfies

$$a(\cdot, t) \xrightarrow[t \to \infty]{} \frac{1}{\alpha} \frac{\mu_c}{\mu_c - \mu} SP(\cdot), \quad exponentially in \quad L^{\infty}(\mathbb{R}^2),$$

provided that

$$\mu < \mu_0 := \frac{\alpha}{f'(\mathbf{0}) \|\omega\|_{L^1(\mathbb{R}^2)}} \qquad (\leq \mu_c)$$

 $\implies$  There is no MacKay effect in the linear regime via SP

### **Complex Patterns in nonlinear regime**

Theorem (T, Chitour, Prandi) Consider the (WC) equation  $\begin{cases}
\partial_t a(x,t) = -\alpha a(x,t) + \mu \int_{\mathbb{R}^2} \omega(||x - x'||) f(a(x',t)) dx' + I_{ext}(x), \\
a(x,0) = a_0(x).
\end{cases}$ Let  $1 \le p \le \infty$ ,  $a_0 \in L^p(\mathbb{R}^2)$  and  $I_{ext} \in L^p(\mathbb{R}^2)$ . If  $\mu < \mu_0$ , then the solution  $a_{i,xt}(\cdot)$  in  $L^p(\mathbb{R}^2)$  when  $t \longrightarrow \infty$ .

We introduce for every  $1 \leq p \leq \infty$ , the map

$$\Psi(\mathsf{I}_{\mathsf{ext}}) = \frac{\mu}{\alpha} \int_{\mathbb{R}^2} \omega(\|x - y\|) f\left(\Psi(\mathsf{I}_{\mathsf{ext}})(y)\right) dy + \frac{1}{\alpha} \mathsf{I}_{\mathsf{ext}} \,.$$

We let  $P_T(x) = \cos(\lambda x_1)$  and  $P_F(x) = \cos(\lambda x_2)$ ,  $x = (x_1, x_2) \in \mathbb{R}^2$  and  $\lambda > 0$ .

### Theorem

Under the assumption  $\mu < \mu_0/2$ , the zeros of  $P_T$  (resp.  $P_F$ ) coincide with those of  $\Psi(P_T)$ . (resp.  $\Psi(P_F)$ )

### $\implies$ There is no MacKay effect in the non-linear regime via $P_T$ and $P_F$

### Mackay effects with "MacKay rays"



• Due to above Theorem, we have to take

$$I_{ext} = SP + \varepsilon \mathbb{1}_{\Omega} u, \qquad \varepsilon > 0$$

where  $\Omega$  is a neighbourhood of the fovea and u is a control function;

• The goal is then to find a convenient control function *u* such that *a*<sub>lext</sub> will be the superposition of patterns, say,

$$a_{I_{ext}} = SP + \varepsilon \widetilde{SP_j}, \qquad \varepsilon > 0, \ i \neq j.$$

 $\rightarrow$  We want to obtain a global complex patterns with a local control

Up to now, only partial theoretical results in this direction. However, numerical implementation yields the desired results.



Inputs in left and steady states in the right.

# Billock & Tsou psychophysical test, numerical results



Inputs in left and steady states in the right.

# Mesoscopic model of V1



In 1981 Hubel and Wiesel won the Nobel Prize observing that:

Neurons in V1 are sensitive to both spatial locations AND local orientations



From stimulus to V1

Tree shrew orientation sensitivity

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From stimulus to V1

Hypercolumn structure

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How to build up orientation-dependent models?

- Neurons in V1  $\leftrightarrow$  points in the Lie group  $SE(2) = \mathbb{R}^2 \rtimes \mathbb{S}^1$ ;
- "Lift" of the 2D image to a 3D object via the operator<sup>1</sup>

 $L: L^2(\mathbb{R}^2) \to L^2(SE(2))$ 

<sup>&</sup>lt;sup>1</sup>Mathematical framework: Petitot, '94, Citti, Sarti, '06, Duits, '05, Boscain, Gauthier, P, '13-'19.

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No crossing! (Image from: Bekkers, Duits, Berendschot, ter Haar Romeny, '14)

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For  $w \in \mathbb{R}^2$  in the **retinal plane**, the **receptive profile**  $\psi_{(z,\theta)}(w), w \in \mathbb{R}^2$ models the cortical activation of  $(z, \theta)$  when a stimulus is applied in location w.



Gabor RP's Daugman, '85

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Given a visual stimulus u, the cortical output (lift, orientation score) is defined as:

$$U(z, heta) = Lu(z, heta) = \int_{\mathbb{R}^2} u(w)\psi_{(z, heta)}(w) \, dw$$

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Cortical-inspired extension of WC to space of positions and orientations:

 $\frac{\partial}{\partial t}U(x,\theta,t) = -\alpha U(x,\theta,t) + \mu \int_{SE(2)} \omega(x,\theta||y,\theta') f(U(y,\theta',t)) \, dy \, d\theta' + I_{ext}(x,\theta)$ 

- Allowed to recover some missing geometric hallucitations<sup>2</sup>
- Could not reproduce satisfactorily some common visual illusions





Tilt illusion

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Tilt illusion

**Issue : WC does not admit a variational counterpart!** 

<sup>2</sup>Bressloff, Cowan, 2002

# A variational approach to cortical activity

Efficient representation<sup>3</sup>: encoding visual information in the most efficient way

 Ecological viewpoint: optimisation problem <sup>4</sup> involving natural image statistics & biological constraints → minimise redundancy of resources

$$\min_{u} \mathcal{E}(u) \tag{3}$$

• Neuro-physiology viewpoint: transmission, diffusion & interaction phenomena of stimuli in the visual cortex  $^5 \rightarrow$  stationary states

$$\begin{cases} \frac{\partial u}{\partial t} &= F(u)\\ u(0) &= u_0 \end{cases}$$
(4)

<sup>3</sup>Attneave, '54, Barlow, '61

- <sup>4</sup>Olshausen, '00, Atick, '92
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Via gradient descent, it is always true that (3) $\Rightarrow$ (4) with  $F = -\delta \mathcal{E}$ .

However, there exist evolution processes not minimising any  $\mathcal{E}$ 

 $\rightarrow$  they are sub-optimal in reducing redundancy!

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## A WC variant from imaging: Local Histogram Equalisation (LHE)

The following variation of WC has been  $proposed^6$  for contrast/colour enhancement:

$$\frac{\partial u(x,t)}{\partial t} = -\alpha u(x,t) + \mu \int_{\mathbb{R}^2} \underbrace{\omega(x,y) f(u(x,t) - u(y,t))}_{\omega(x,y) f(u(y,t))} dy + I_{ext}$$

 $\rightarrow$  Non-linear behaviour on local contrast, **NOT** on local activation!

<sup>&</sup>lt;sup>6</sup>Bertalmío, Caselles, Provenzi, Rizzi, '07, Pierre, Aujol, Bugeau, Steidl, Ta, '17

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→ Non-linear behaviour on local contrast, NOT on local activation!
 Theorem (Calatroni, Franceschi, P, et al. '20)

LHE complies with a variational principle, WC doesn't.

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APPLICATION: understanding contrast perception phenomena



RECONSTRUCTION = PERCEPTION in this context! <sup>6</sup>Bertalmío, Caselles, Provenzi, Rizzi, '07, Pierre, Aujol, Bugeau, Steidl, Ta, '17

## Variational LHE

LHE model proposed originally 7:

$$\min_{u} \|u - \frac{1}{2}\|_{2}^{2} + \frac{\lambda}{2} \|u - u_{0}\|_{2}^{2} - \frac{1}{4M} \int_{Q} \int_{Q} \omega(x, y) \Sigma(u(x) - u(y)) \, dx \, dy$$

- Gray World principle: models the 'reference' mean for  $u \in [0, 1]$
- Fidelity:  $\lambda > 0$ ,  $u_0$  is the given initial image
- Local contrast perception measure: inspired by the neurophysiology of the Human Visual System
- $\Sigma$  is an even convex primitive function of a non-linear (odd) sigmoid  $\sigma$

<sup>&</sup>lt;sup>7</sup>Bertalmío, Caselles, Provenzi, Rizzi, '11

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 $|u(x, \cdot) - u(y, \cdot)| < \frac{1}{\alpha}$ : contrast increasing  $|u(x, \cdot) - u(y, \cdot)| \ge \frac{1}{\alpha}$ : saturation

 $\sigma(x) = \min\{1, \max\{\alpha x, -1\}\}$ 

<sup>7</sup>Bertalmío, Caselles, Provenzi, Rizzi, '11



NOTE: hard to compare model performance quantitatively. Look at line profiles!

## Orientation-independent illusions: White illusion



## Orientation-independent illusions: Luminance illusion



## Orientation-independent illusions: Chevreul



## Orientation-independent illusions: Chevreul cancellation



Chevreul cancellation

	WC-2D				LHE-2D				WC-3D				LHE-3D			
Illusion	$\sigma_{\mu}$	$\sigma_{\omega}$	$\lambda$	M	$\sigma_{\mu}$	$\sigma_{\omega}$	$\lambda$	M	$\sigma_{\mu}$	$\sigma_{\omega}$	$\lambda$	M	$\sigma_{\mu}$	$\sigma_{\omega}$	$\lambda$	M
White	10	20	.7	1.4	10	50	.7	1	20	30	.7	1.4	2	50	.7	1
Brightness	2	10	.7	1.4	2	10	.7	1	2	10	.7	1.4	2	10	.7	1
Checkerboard	10	70	.7	1.4	10	70	.7	1	10	70	.7	1.4	10	70	.7	1
Chevreul	2	5	.7	1	2	10	.7	1	2	40	.5	1	5	7	.7	1
Chevreul canc.	2	2	.9	1	5	3	.9	1	2	20	.5	1.4	5	3	.9	1
Dungeon	6	10	.7	1.4	5	40	.7	1	2	50	.7	1.4	5	50	.7	1
Gratings	2	6	.7	1	2	6	.7	1	2	6	.7	1	2	6	.7	1
Hong-Shevell	5	20	.7	1	5	.5	.7	1	10	30	.7	1	10	30	.7	1
Luminance	2	6	.7	1	2	6	.7	1	2	6	.7	1	2	6	.7	1
Poggendorff	X	X	X	X	X	X	X	X	X	×	X	X	3	10	.5	1
Tilt	X	X	X	×	X	X	X	X	X	×	X	×	15	20	.7	1

Replication results with parameters used in the tests

### Discussion

All models can replicate simple illusions, but LHE shows **better efficient representation** than WC for orientation-dependent examples.

Modelling the connectivity

Consider now orientation dependent phenomena.



Poggendorff illusion

Consider now orientation dependent phenomena.



Poggendorff illusion

The central surface induces a misaligned perception of the black line.<sup>8</sup>

<sup>&</sup>lt;sup>8</sup>Day and Dickinson, '76; Weintraub and Krantz, '71, Westheimer '08.

# **Poggendorf illusion + grating**

**GOAL**: reproducing the **false** perceived connection ( $\neq$  inpainting!)



Poggendorff illusion over grating background

Where do the black bottom lines connect?

**GOAL**: reproducing the **false** perceived connection ( $\neq$  inpainting!)



Zoom of the predicted completion for Poggendorff illusion

Not very satisfying, we need a better model

# **Poggendorf illusion + grating**

**GOAL**: reproducing the **false** perceived connection ( $\neq$  inpainting!)



LHE-3D result

Not very satisfying, we need a better model

Connections in V1 are of two types<sup>9</sup>:

- Intra-cortical (short range) between neurons sensitive to the same orientation
- Long range connectivity between neurons sensitive to different orientations



## Connectivity between neurons II



Modelled by the vector fields on SE(2):

 $\Theta = \partial_{\theta}$  and  $X = \cos \theta \partial_x + \sin \theta \partial_y$ 

Lie bracket generating family (span{ $\Theta, X, [\Theta, X]$ } =  $\mathbb{R}^3$ ).

### Connectivity between neurons II



Modelled by the vector fields on SE(2):

 $\Theta = \partial_{\theta}$  and  $X = \cos \theta \partial_x + \sin \theta \partial_y$ 

Lie bracket generating family  $(\text{span}\{\Theta, X, [\Theta, X]\} = \mathbb{R}^3)$ .

Variational principle: Neural activity strive to minimize the associated energy

$$E(u) = \int_{SE(2)} |Xu|^2 + |\Theta u|^2 dp.$$

 $\implies$  neural activities are modelled via the kernel  $w_{\tau}$  of the sub-Riemannian heat equation

$$\partial_{\tau}f=(X^2+\Theta^2)f.$$

# Poggendorff illusion revisited

Implementing this kernel in LHE allows to (almost) recover the Poggendorff illusion.



# Inpainting vs perceptual completion

Changing the size of the kernel  $w_{ au}$  changes the strength of the interactions.







(b)  $\tau = 2.5$ 

### Inpainting vs perceptual completion

Changing the size of the kernel  $w_{\tau}$  changes the strength of the interactions.



(a)  $\tau = 0.5$ 

(b)  $\tau = 2.5$ 

This allows to pass from an inpainting-like reconstruction to a perceptual completion :



# **Conclusions & Outlook**

### Take-home messages

- Goal: unify vision models with image processing (somehow new...)
- Cortical-inspired architecture for WC and LHE models.
- Toolbox for replicating visual perception by neural dynamics (codes in Julia, GitHub page: https://github.com/dprn/WCvsLHE)
- Better efficient representation of LHE for <u>orientation</u>-dependent illusions, in agreement with theory.



• Explain hallucinatory-like effects



MacKay effect and flickering wheeel illusion



• Explain hallucinatory-like effects



MacKay effect and flickering wheeel illusion

• Predictive control of hallucinatory states



• Explain hallucinatory-like effects



MacKay effect and flickering wheeel illusion

- Predictive control of hallucinatory states
- LHE as the basis for a new framework for Intrinsically Non-Linear Receptive fields



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- Predictive control of hallucinatory states
- LHE as the basis for a new framework for Intrinsically Non-Linear Receptive fields
- Psycho-physical experiments for validation!

E. Baspinar, L. Calatroni, V. Franceschi, D. Prandi, *A cortical-inspired sub-Riemannian model for Poggendorff-type visual illusions*, Journal of Imaging (2021).

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# Thank you for your attention!

**Questions?** 

dario.prandi@centralesupelec.fr https://dprn.github.io