

# Sensorless control of electric motors

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Joint work with P.Combes<sup>2</sup>, P.Martin<sup>1</sup> and P.Rouchon<sup>1</sup>

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# Outline

## 1. Introduction

Sensorless control of electric motors: principle  
(Exogenous) Signal injection technique

## 2. Higher-order averaging theory for exogenous signal injection

Higher-order generic averaging theory  
Third-order theory – Exogenous injection, numerical validation

## 3. Second-order averaging theory for endogenous signal injection

Computation of the PWM-induced ripple  
Averaging theorem, numerical validation

## 4. Synchronous detection over analog and $\Sigma\Delta$ outputs

Design of the reconstruction kernels  
 $\mathcal{A}_k$ -property, result and numerical validation  
Demodulation over  $\Sigma\Delta$  modulators

## 5. Sensorless position estimation of electric motors

Virtual measurement extraction  
Numerical/Experimental recovery of the rotor position

## 6. Conclusion

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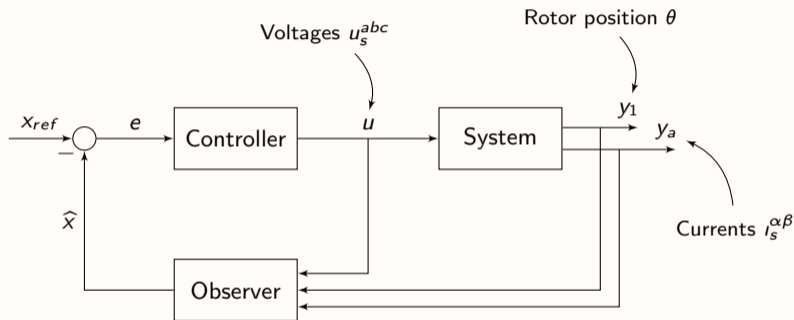
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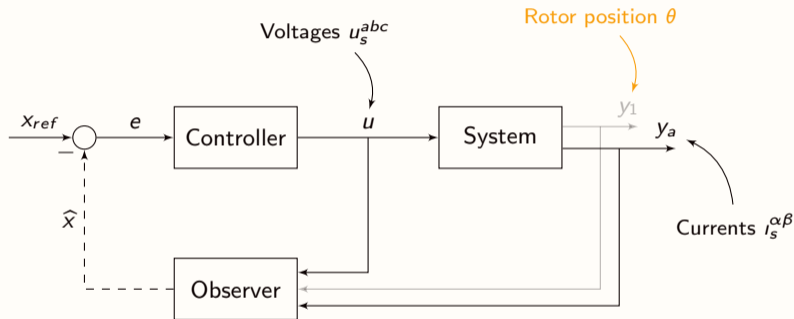
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- System is observable with both currents and rotor position measurements

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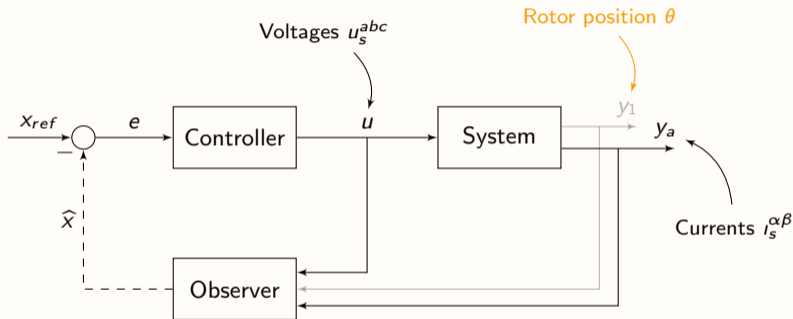
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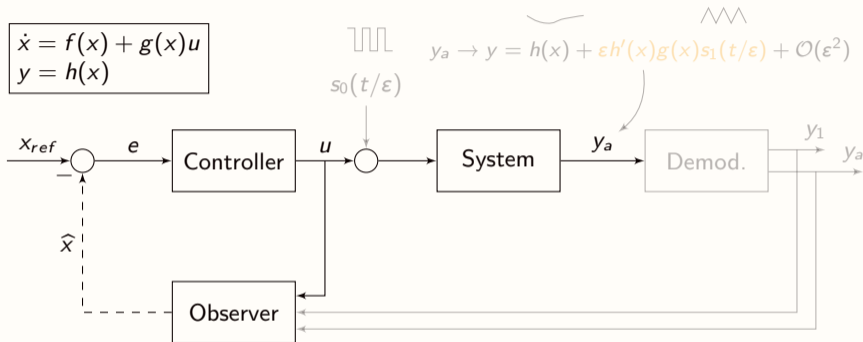
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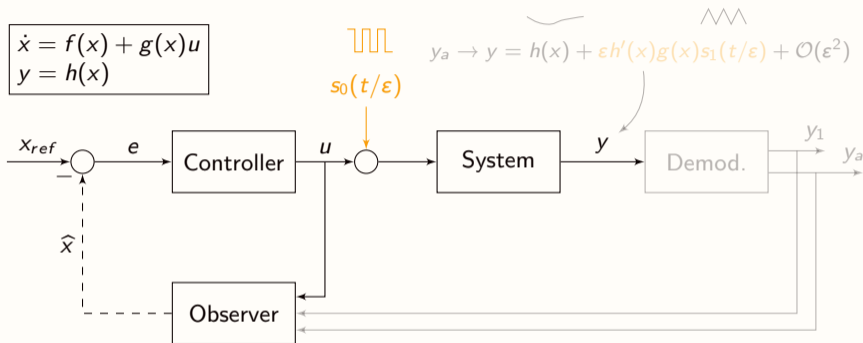


- System is observable with both currents and rotor position measurements
- Without mechanical sensor: degeneracy of observability at low speed
- To bypass this issue: **signal injection technique**

# Introduction — Signal injection technique and position estimation



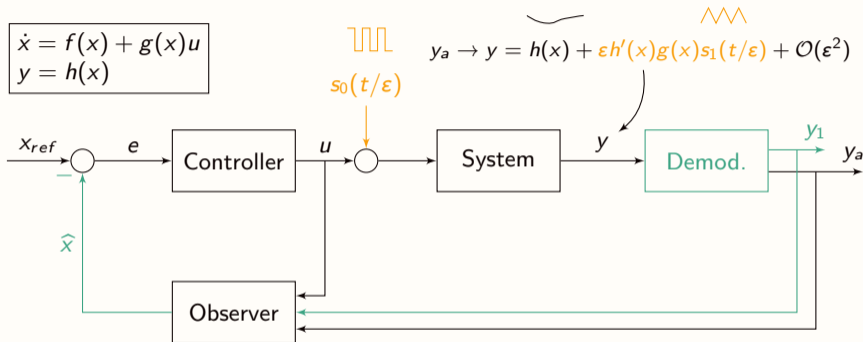
## Introduction — Signal injection technique and position estimation



- Injection of a high-frequency signal  $s_0(t/\epsilon)$
- Perturbation of the measurement  $y$



## Introduction — Signal injection technique and position estimation



- Injection of a high-frequency signal  $s_0(t/\epsilon)$
- Perturbation of the measurement  $y$
- Demodulation procedure for extracting  $y_a$  and  $y_1$
- System is now observable with those “virtual measurements”

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# Periodic averaging = Near-identity transformation + Comparison result

System with injection

$$\begin{aligned}\dot{x} = & \varepsilon f^1(x, t) + \dots + \varepsilon^k f^k(x, t) \\ & + \varepsilon^{k+1} f^{[k+1]}(x, t, \varepsilon)\end{aligned}$$

# Periodic averaging = Near-identity transformation + Comparison result

Near-identity transformation

$$\check{x} = x + \varepsilon \varphi^1(x, t) + \dots + \varepsilon^k \varphi^k(x, t)$$

with  $g^1(x) = \int_0^1 f(x, \sigma) d\sigma$

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Dropping the last term  
 $g^{[k+1]}$  (instationary)

Truncated averaged state

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Dropping the last term  
 $g^{[k+1]}$  (instantaneous)

Truncated averaged state

$$\dot{\bar{x}} = \varepsilon g^1(\bar{x}) + \dots + \varepsilon^k g^k(\bar{x})$$

$h^k(x, t)$  periodic  
with zero mean

$$\dot{\tilde{x}} = \varepsilon f^1(\tilde{x}, t) + \dots + \varepsilon^k (f^k(\tilde{x}, t) + h^k(\tilde{x}, t)) + \varepsilon^{k+1} \tilde{f}^{[k+1]}(\tilde{x}, t, \varepsilon)$$

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Comparison result:  $x(t) = \tilde{x}(t) + \mathcal{O}(\varepsilon^k)$   
on a timescale  $1/\varepsilon$

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## Third-order averaging theory – Exogenous signal injection – Linear dynamics

SISO system, linear dynamics, nonlinear measurement

$$\dot{x} = Ax + Bu, \quad y = h(x) \quad \text{Exogenous injection: } u \rightarrow u + s_0\left(\frac{t}{\varepsilon}\right)$$

Set of **virtual measurements**

$$H(x) = \left( h(x) \quad \varepsilon h'(x)B \quad \frac{\varepsilon^2}{2} h''(x)(B, B) \quad \varepsilon^2 h'(x)AB \right).$$

We assume that, with these additional measurements, the original system is observable

### Non-perturbed system

$$\begin{aligned} \dot{\bar{x}} &= A\bar{x} + B\alpha(\bar{\eta}, H(\bar{x}), t) \\ \dot{\bar{\eta}} &= a(\bar{\eta}, H(\bar{x}), t) \end{aligned}$$

### System with signal injection

$$\begin{aligned} \dot{x} &= Ax + B\alpha(\eta, \bar{H}(x), t) + Bs_0\left(\frac{t}{\varepsilon}\right) \\ \dot{\eta} &= a(\eta, \bar{H}(x), t) \\ \bar{H}(x) &= H\left(x - \varepsilon Bs_1\left(\frac{t}{\varepsilon}\right) - \varepsilon^2 ABs_2\left(\frac{t}{\varepsilon}\right)\right) \end{aligned}$$

- $s_0$  : 1-periodic signal with zero mean.
- $s_{i+1}$  is the primitive of  $s_i$  with zero mean.

## Third-order averaging theorem – Exogenous signal injection

### Theorem (Third-order averaging for exogenous signal injection, SCMR, 2019)

Let  $x(t)$  (resp.  $\bar{x}(t)$ ) be the solution of the perturbed (resp. non-perturbed) system, with  $x(0) = \bar{x}(0) + \varepsilon B s_1(0) + \varepsilon^2 A B s_2(0)$ . Assume the original system is locally exponentially stable. Then for  $t \geq 0$ ,

$$\begin{aligned}
 x(t) &= \bar{x}(t) + \varepsilon B s_1\left(\frac{t}{\varepsilon}\right) + \varepsilon^2 A B s_2\left(\frac{t}{\varepsilon}\right) + \mathcal{O}(\varepsilon^3) \\
 \eta(t) &= \bar{\eta}(t) + \mathcal{O}(\varepsilon^3) \\
 y(t) &= \underbrace{h(\bar{x}(t))}_{Y_0(t)} + \underbrace{\varepsilon h'(\bar{x}(t)) B s_1\left(\frac{t}{\varepsilon}\right)}_{Y_1(t)} \\
 &\quad + \underbrace{\frac{\varepsilon^2}{2} h''(\bar{x}(t)) (B, B) s_1\left(\frac{t}{\varepsilon}\right)^2}_{Y_3(t)} + \underbrace{\varepsilon^2 h'(\bar{x}(t)) A B s_2\left(\frac{t}{\varepsilon}\right)}_{Y_2(t)} + \mathcal{O}(\varepsilon^3)
 \end{aligned}$$

- Locally exponentially stability hypothesis: for the extension to infinity of the result (otherwise only valid on a timescale  $1/\varepsilon$ )
- Idea of the proof: change of coordinates (the so-called *near-identity transformation*), then use of a comparison theorem

## Third-order averaging theory for exogenous signal injection – Numerical example

### Dynamic system

$$\dot{x}_1 = x_2$$

$$\dot{x}_2 = x_3$$

$$\dot{x}_3 = u + d$$

$$y = x_1 x_2 + \frac{x_3^3}{3}$$

- $u$ : input
- $d$ : (unknown) disturbance
- **Objective**: controlling  $x_1$  while rejecting  $d$

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We (momentarily) assume we can extract  $Y_i$  with an accuracy in  $\epsilon^3$ !

Virtual measurements

$$Y_1 := h'(x)B = x_3^2$$

$$Y_2 := \frac{1}{2}h''(x)(B, B) = x_3$$

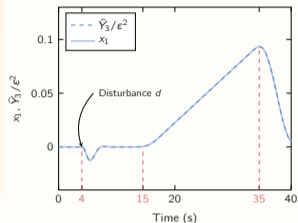
$$Y_3 := h'(x)AB = x_1$$

- Second-order averaging theory is not enough here
- With  $Y_3$ , the system is controllable with a linear controller
- **Third-order averaging theory is paramount**

## Third-order averaging theory for exogenous signal injection – Scenario

### Scenario

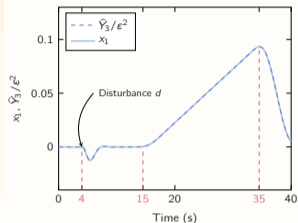
- System at rest at  $t = 0$  ; step  $d = -0.25$  at  $t = 4$
- Filtered ramp (slope  $5 \times 10^{-2}$ ) for  $15 \leq t \leq 35$
- At  $t = 35$  : filtered step to go back to 0
- **Injection**: square wave with  $\varepsilon = 10^{-3}$



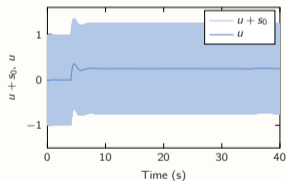
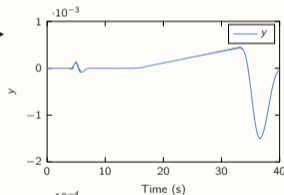
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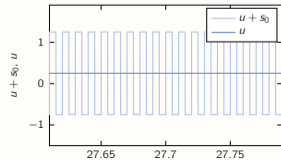
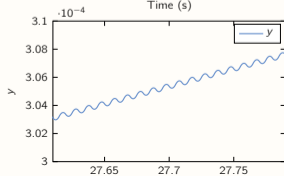
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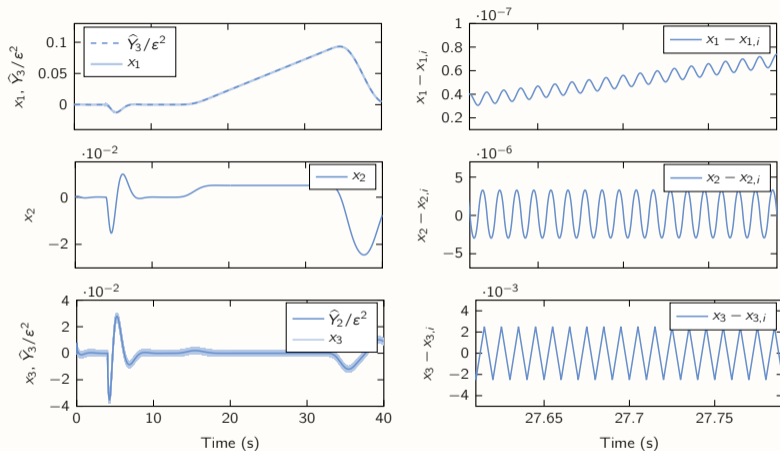
Measured output  $y$



Input  $u$



## Exogenous injection – Simulation, results



States  $x_1$ ,  $x_2$ ,  $x_3$ , Virtual measurements  $Y_2$ ,  $Y_3$  (left); zoom on the error  $x - x_i$  (right)

→ Control with the virtual measurements as effective as with the actual state  $x_1$



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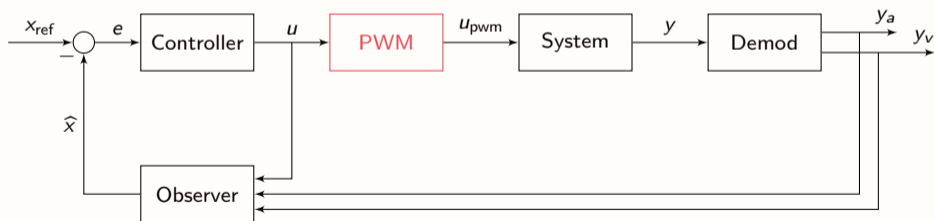
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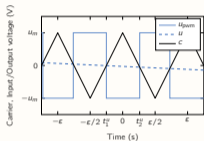
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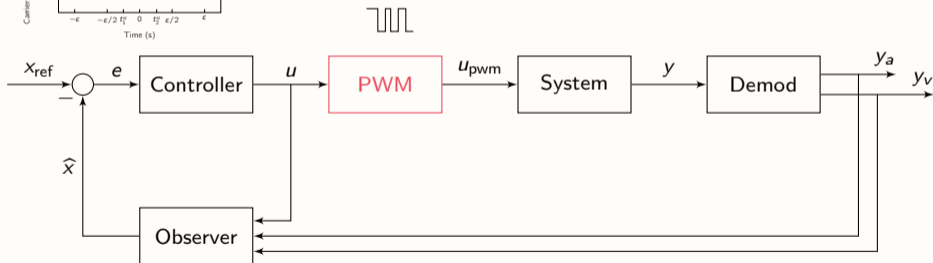
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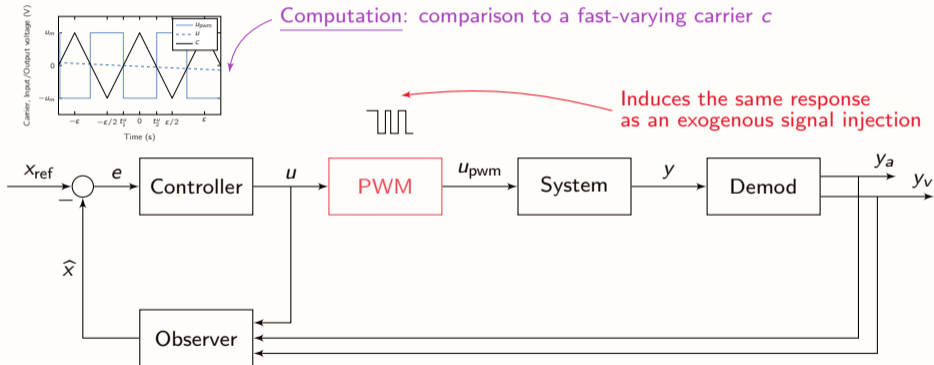
Computation: comparison to a fast-varying carrier  $c$



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- For nonlinear systems, this perturbation may carry additional information...
- ...**just as in the exogenous case!**

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## Endogenous signal injection – PWM operating principle

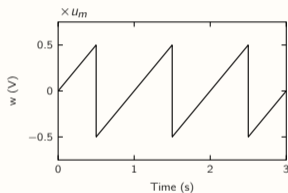
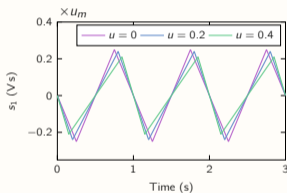
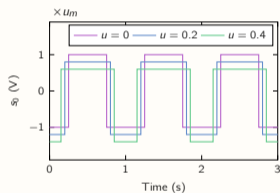
Principle: the input  $u$  is compared to an  $\varepsilon$ -periodic carrier  $c$  to produce a PWM signal  $u_{pwm}$  (with  $\varepsilon \ll 1$  is a small parameter)

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**Expression for  $u_{pwm}$** , with  $s_0 =:$  endogenous injection

$$u_{pwm}(t) = u(t) + s_0(u(t), \frac{t}{\varepsilon}),$$



$s_0$  (top),  $s_1$  (middle),  $w$  (right)

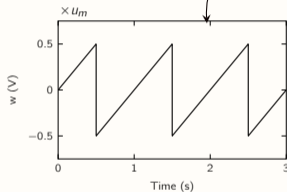
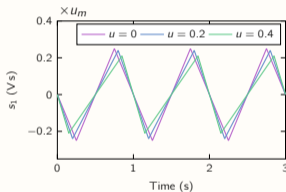
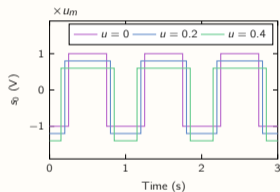
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**Expression for  $u_{pwm}$ , with  $s_0$  := endogenous injection**

$$u_{pwm}(t) = u(t) + s_0\left(u(t), \frac{t}{\varepsilon}\right),$$

$$w(\sigma) = u_m \operatorname{mod}\left(\sigma + \frac{t}{2}, 1\right) - u_m/2$$



$s_0$  (top),  $s_1$  (middle),  $w$  (right)

$s_0$  1-periodic with zero mean in the second argument

$$s_0(u, \sigma) = u_m - u + u_m \operatorname{sign}\left(\frac{u-u_m}{4} - w(\sigma)\right) + u_m \operatorname{sign}\left(\frac{u-u_m}{4} + w(\sigma)\right)$$

$s_1$  := zero-mean primitive of  $s_0$  in the second argument

$$s_1(u, \sigma) := \left(1 - \frac{u}{u_m}\right) w(\sigma) - \left|\frac{u-u_m}{4} - w(\sigma)\right| + \left|\frac{u-u_m}{4} + w(\sigma)\right|$$

## Second-order averaging theorem for endogenous signal injection – 1

SISO nonlinear system

$$\begin{aligned}\dot{x} &= f(x) + g(x)u \\ y &= h(x)\end{aligned}$$

Set of **virtual measurements**

$$H(x) = (h(x) \quad \varepsilon h'(x)g(x))$$

We assume that, with this additional measurement  $(\varepsilon h'(x)g(x))$ , the original system is observable

Non-perturbed system

$$\begin{aligned}\dot{\bar{x}} &= f(\bar{x}) + g(\bar{x})u(t) \\ \dot{\bar{\eta}} &= a(\bar{\eta}, H(\bar{x}), t) \\ u(t) &= \alpha(\bar{\eta}, H(\bar{x}), t)\end{aligned}$$

PWM-controlled system

$$\begin{aligned}\dot{x} &= f(x) + g(x)(u + s_0(u(t), \frac{t}{\varepsilon})) \\ \dot{\eta} &= a(\eta, \bar{H}(x, \eta, \frac{t}{\varepsilon}, t), t) \\ u &= \alpha(\eta, \bar{H}(x, \eta, \frac{t}{\varepsilon}, t), t) \\ \bar{H}(x, \eta, \sigma, t) &:= H\left(x - \varepsilon g(x)s_1\left(\alpha(\eta, H(x), t), \sigma\right)\right)\end{aligned}$$

- $s_0(u, \tau)$ : 1-periodic signal with zero mean in  $\tau$ .
- $s_1(u, \tau)$  is the primitive wrt.  $\tau$  of  $s_0$  with zero mean.



## Second-order averaging theorem for endogenous signal injection – 2

### Theorem (Second-order averaging for PWM-controlled systems, SCMR20)

Let  $(x(t), \eta(t))$  be the solution of the PWM-controlled system starting from  $(x_0, \eta_0)$ , and define  $u(t) := \alpha(\eta(t), H(x(t)), t)$  and  $y(t) := H(x(t))$ ; let  $(\bar{x}(t), \bar{\eta}(t))$  be the solution of the original system starting from  $(x_0 - \varepsilon g(x_0) s_1(u(0), 0), \eta_0)$ , and define  $\bar{u}(t) := \alpha(\bar{\eta}(t), H(\bar{x}(t)), t)$ . Then, for all  $t \geq 0$ ,

$$x(t) = \bar{x}(t) + \varepsilon g(\bar{x}(t)) s_1(\bar{u}(t), \frac{t}{\varepsilon}) + \mathcal{O}(\varepsilon^2)$$

$$\eta(t) = \bar{\eta}(t) + \mathcal{O}(\varepsilon^2)$$

$$y(t) = \underbrace{h(\bar{x}(t))}_{:=y_a(t)} + \underbrace{\varepsilon h'(\bar{x}(t))g(\bar{x}(t)) s_1(\bar{u}(t), \frac{t}{\varepsilon})}_{:=y_v(t)} + \mathcal{O}(\varepsilon^2).$$

- Idea of the proof: change of coordinates (the so-called *near-identity transformation*), then use of a comparison theorem (similar to the Lipschitz case)
- The proof requires a slight adaptation of the classic averaging theorem for systems with state-discontinuities

## Endogenous signal injection – Virtual measurements

Dynamic system

$$\dot{x}_1 = x_2$$

$$\dot{x}_2 = x_3$$

$$\dot{x}_3 = u + d$$

$$y = x_2 + x_1 x_3$$

- $u$ : input
- $d$ : (unknown) disturbance
- Objective: controlling  $x_1$  while rejecting  $d$

Still assume  $y_a$  and  $y_v$   
are known with a  $\mathcal{O}(\epsilon^2)$  accuracy

Virtual measurements

$$y_a := x_2 + x_1 x_3$$

$$y_v := \epsilon h'(x)g(x) = \epsilon x_1$$

- Controlling the system with PWM gives access to  $y_v = \epsilon x_1$
- With  $y_v$ , the system is controllable with a linear controller

## Endogenous signal injection – Scenario, results

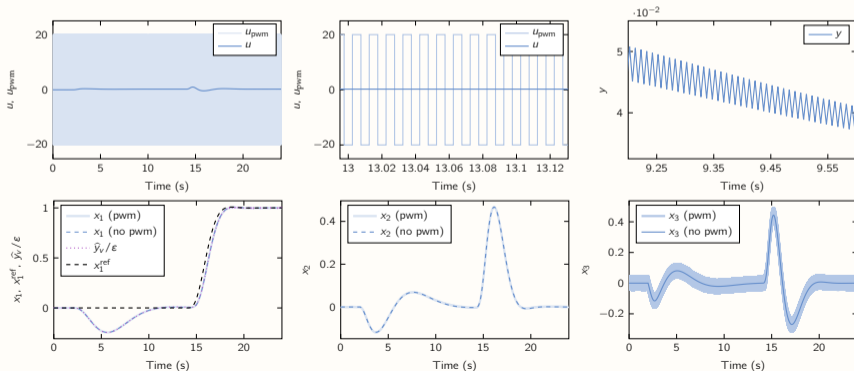
### Scenario

- System at rest at  $t = 0$  ; step  $d = -0.25$  at  $t = 2$  s
- Filtered unit step at  $t = 14$  s
- PWM period  $\varepsilon = 10^{-3}$  s

# Endogenous signal injection – Scenario, results

## Scenario

- System at rest at  $t = 0$  ; step  $d = -0.25$  at  $t = 2$  s
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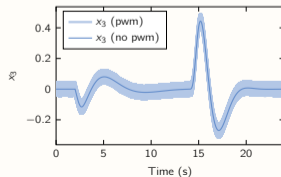
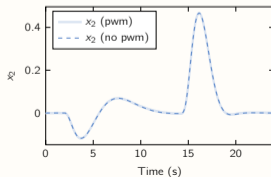
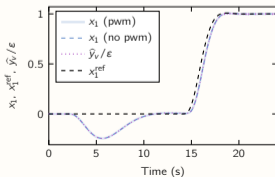
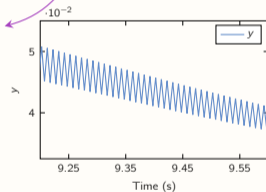
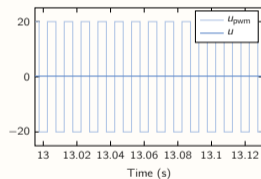
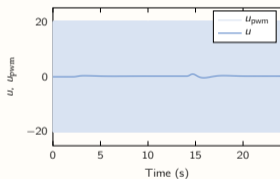


# Endogenous signal injection – Scenario, results

## Scenario

- System at rest at  $t = 0$  ; step  $d = -0.25$  at  $t = 2$  s
- Filtered unit step at  $t = 14$  s
- PWM period  $\varepsilon = 10^{-3}$  s

Recovery as good as in the exogenous scenario!



# Outline

## 1. Introduction

Sensorless control of electric motors: principle  
(Exogenous) Signal injection technique

## 2. Higher-order averaging theory for exogenous signal injection

Higher-order generic averaging theory  
Third-order theory – Exogenous injection, numerical validation

## 3. Second-order averaging theory for endogenous signal injection

Computation of the PWM-induced ripple  
Averaging theorem, numerical validation

## 4. Synchronous detection over analog and $\Sigma\Delta$ outputs

Design of the reconstruction kernels  
 $\mathcal{A}_k$ -property, result and numerical validation  
Demodulation over  $\Sigma\Delta$  modulators

## 5. Sensorless position estimation of electric motors

Virtual measurement extraction  
Numerical/Experimental recovery of the rotor position

## 6. Conclusion

## Demodulation procedure of multiplexed signals

Extraction of the coordinates  $z$  from the physical measurement  $y$

$$y = \sum_{i=1}^N z_i s_i, \quad z_i \in \mathbb{R}, s_i \in \mathbb{R}^N$$

→ **Objective:** Recovery of each  $z_i$  with an arbitrary accuracy in  $\mathcal{O}(\varepsilon^k)$

- Simple projection using **Gram-Schmidt** orthogonalization process: exact recovery

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  - Projection (on  $L_{\text{per}}^2$ ), still using G-S: exact recovery → procedure for recovering the "exogenous" virtual measurements!



## Demodulation procedure of multiplexed signals

Extraction of the coordinates  $z$  from the physical measurement  $y$

$$y = \sum_{i=1}^N z_i(t) s_i\left(\frac{t}{\epsilon}\right), \quad s_i \in L_{\text{per}}^2 \text{ 1-periodic with zero mean}$$

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$$y = \sum_{i=1}^N z_i(t) s_i\left(u(t), \frac{t}{\varepsilon}\right), \quad s_i(v, \cdot) \text{ 1-periodic with zero-mean}$$

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  - Carriers with a **slow-time dependency**: is it possible to design a **uniform** estimate...

## Demodulation procedure of multiplexed signals

Extraction of the coordinates  $z$  from the physical measurement  $y$

$$y = \sum_{i=1}^N z_i(t) s_i\left(u(t), \frac{t}{\epsilon}\right) + \mathcal{O}(\epsilon^p) + \nu + d\left(t, \frac{t}{\epsilon}\right)$$

- **Objective:** Recovery of each  $z_i$  with an arbitrary accuracy in  $\mathcal{O}(\epsilon^k)$
- Simple projection using **Gram-Schmidt** orthogonalization process: exact recovery
  - Projection (on  $L^2_{\text{per}}$ ), still using G-S: exact recovery → procedure for recovering the "exogenous" virtual measurements!
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  - ...that also mitigates both the **measurement noise**  $\nu$  and the **physical disturbance**  $d$ ...

## Demodulation procedure of multiplexed signals

Extraction of the coordinates  $z$  from the physical measurement  $y$

$$y = \Sigma\Delta \left[ Z(t)S(u(t), \frac{t}{\epsilon})^T + \mathcal{O}(\epsilon^p) + d(t, \frac{t}{\epsilon}) \right] + \nu_{\Sigma\Delta}$$

- **Objective:** Recovery of each  $z_i$  with an arbitrary accuracy in  $\mathcal{O}(\epsilon^k)$
- Simple projection using **Gram-Schmidt** orthogonalization process: exact recovery
  - Projection (on  $L_{\text{per}}^2$ ), still using G-S: exact recovery → procedure for recovering the "exogenous" virtual measurements!
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  - and still applies to a (multi-output)  $\Sigma\Delta$  **bitstream**?
- **Synchronous detection problem on analog/ $\Sigma\Delta$  signals** (with exotic considerations)

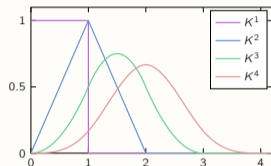
## Demodulation procedure – Reconstruction kernel $\tilde{K}_k$

(Without disturbance  $d = 0$  nor slow dependency on  $S(t, \frac{t}{\varepsilon})$ )

Definition of the **kernel**  $K_k$

$$K_k = \left( \frac{1}{\varepsilon} \mathbf{1}_{[0, \varepsilon]} \right)^{*k} = \frac{1}{\varepsilon^k} \mathbf{1}_{[0, \varepsilon]} * \dots * \mathbf{1}_{[0, \varepsilon]}$$

→  $k = 2$ : triangle/Bartlett window;  $k = 4$ :  
Parzen/de la Vallée Poussin

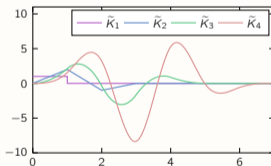


**Modified kernels**  $\tilde{K}_k \rightarrow \tilde{K}_k * \varphi = \varphi + \mathcal{O}(\varepsilon^k)$

$$\tilde{K}_1(t) := K_1(t)$$

$$\tilde{K}_2(t) := 2K_2(t) - 1K_2(t - \varepsilon),$$

$$\tilde{K}_3(t) := \frac{17}{4}K_3(t) - 5K_3(t - \varepsilon) + \frac{7}{4}K_3(t - 2\varepsilon).$$



**Extraction** of the vector  $Z$  (with  $R = S$ )

$$\begin{aligned} P_k[y](t) &:= \left( \tilde{K}_k * (yR_\varepsilon^T) \right) (t) \times \left( \tilde{K}_k * (S_\varepsilon R_\varepsilon^T) \right)^{-1} (t) \\ &= Z^T(t) + \mathcal{O}(\varepsilon^k) \end{aligned}$$

## Demodulation procedure – Main result

In presence of disturbances, one technique consists in **windowing** the location of the perturbation:

→  $c(t, \frac{t}{\epsilon}) := 0$  when  $d$  is active, 1 otherwise

→  $R = S \times c$

With the **slow-time dependency** on  $S$ , we assume  $SR^T(v, \sigma)$  are  $\mathcal{A}^k$

### Definition ( $\mathcal{A}_k$ property)

Let  $g(t, \sigma)$  be 1-periodic with zero mean. It is said to be  $\mathcal{A}_k$ ,  $k \geq 1$ , if  $g^{(-k)}$  is  $k - 1$  times differentiable in the first variable, with bounded derivatives at all orders, and  $\partial_1^{k-1} g^{(-k)}$

Lipschitz. **Example:**  $g(v, t) := \text{sign}(v + t) \leftarrow$  PWM signal;  $g^{(-1)} = |v + t|$

### Demodulation of the components of $Z$

The following estimator recovers  $z_k$  with an accuracy in  $\epsilon^k$

$$P_k[y](t) := \left( \tilde{K}_k * (yR_\epsilon^T) \right)(t) \times \left( \tilde{K}_k * (S_\epsilon R_\epsilon^T) \right)^{-1}(t).$$

In other words,  $Z^T(t) = P_k[y](t) + \mathcal{O}(\epsilon^k)$ .

Same result as before using a modified vector  $R$  (*with a trickier proof though*)

## Demodulation procedure

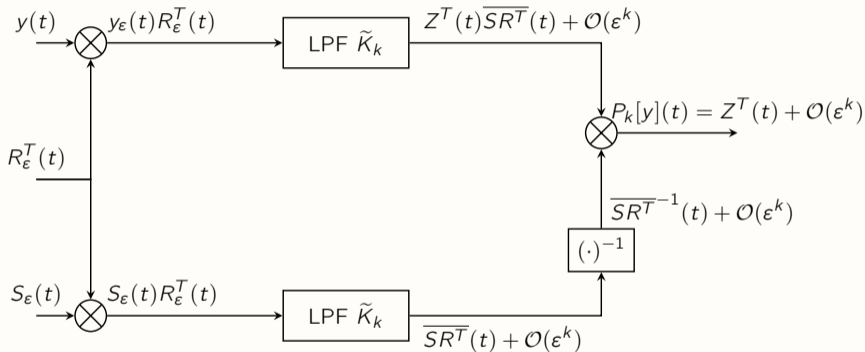


Diagram of the demodulation procedure

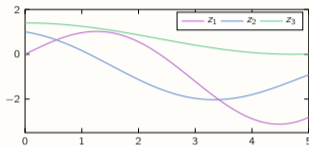


## Numerical validation of the demodulation procedure

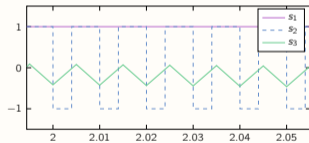
Validation of the theory on a toy problem. **Composite signal**

$$y(t) = z_1(t)s_1(t, \frac{t}{\epsilon}) + z_2(t)s_2(t, \frac{t}{\epsilon}) + z_3(t)s_3(t, \frac{t}{\epsilon}) + d(t, \frac{t}{\epsilon}), \quad \epsilon = 10^{-3}$$

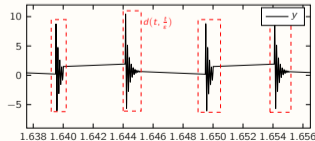
Components  $z_i$



Carriers  $s_i$



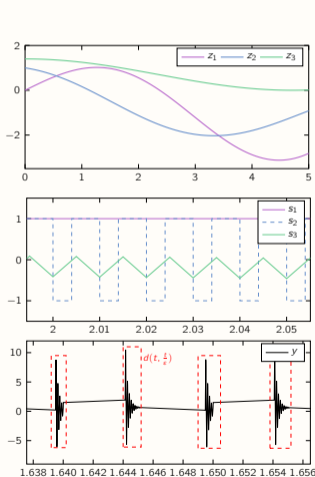
Signal  $y$



# Numerical validation of the demodulation procedure

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Components  $z_i$

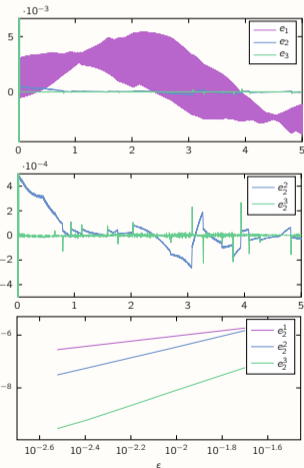
Carriers  $s_i$

Signal  $y$

$P_k^2[y] - z_2$

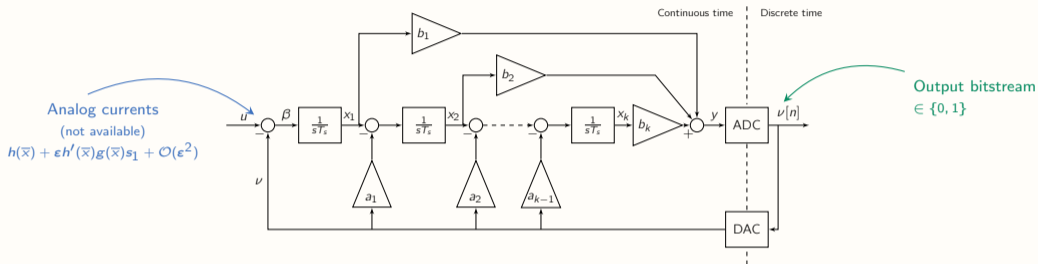
Asymptotic ( $L^2$ )

$\|y_\epsilon - y\|_2$



# Synchronous detection over Sigma-Delta modulators

→ Modern Variable-Frequency Drives embed **Sigma-Delta ADC**



**State-space model**  $i = 1, \dots, k - 1$

$$\frac{1}{N} \dot{x}_1(\tau) = u(\tau) - \nu(N\tau)$$

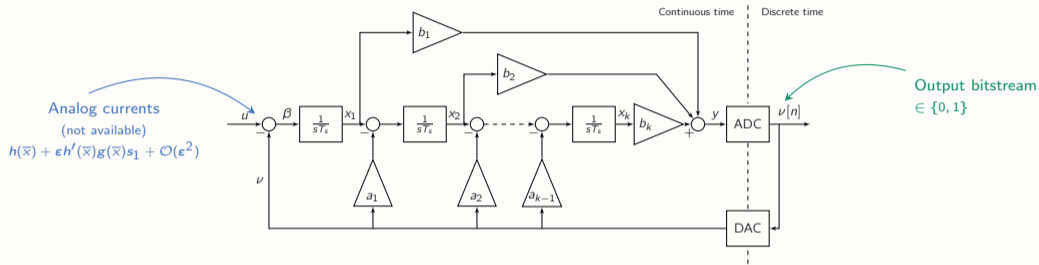
$$\frac{1}{N} \dot{x}_{i+1}(\tau) = x_i(\tau) - a_i \nu(N\tau)$$

$$y(\tau) = \sum_{i=1}^k b_i x_i(\tau)$$

- Input:  $u(t/\varepsilon)$ ,  $\varepsilon$ : PWM period
- Sampling time  $T_s$  (15 MHz)
- Normalized time  $\tau := t/\varepsilon$
- **Oversampling ratio**  $N := \varepsilon/T_s$  (= 3750 for the actual implementation)

## Synchronous detection over Sigma-Delta modulators

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→ **(Filtered) input-output estimate?**

## Synchronous detection over continuous $\Sigma\Delta$ modulators – Theorem

### Assumptions:

- the input  $u$  is selected such that the modulator is stable, i.e. the states  $x_{1,\dots,k}$  are bounded. In particular,  $\|u\|_\infty < 1$ .
- $k^{\text{th}}$ -order continuous  $\Sigma\Delta$  modulator in **pure feedforward form**:  $a_1 = \dots = a_{k-1} = 0$

**Definition:**  $f$   $p$ -times differentiable +  $f^{(p)}$  absolutely continuous (resp. piecewise) =  $f$   $AC^p$  (resp. piecewise).

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**Definition:**  $f$   $p$ -times differentiable +  $f^{(p)}$  absolutely continuous (resp. piecewise) =  $f \in AC^p$  (resp. piecewise).

### Theorem (Error estimate for $k^{\text{th}}$ -order CT- $\Sigma\Delta$ modulators, SCMR21)

Consider  $\beta \in L^\infty[0, +\infty)$  such that the zero-mean primitive  $\beta^{(-j)}$  of  $\beta^{(-j+1)}$  exists ( $j = 0, \dots, k-1$ ). Consider as well  $K^k$  a  $(k-1)^{\text{th}}$ -times differentiable kernel with support in  $[0, k]$ , and such that  $K^k(0) = K^k(k) = (K^k)^{(j)}(0) = (K^k)^{(j)}(k) = 0$  ( $j = 0, \dots, k-1$ ). If  $s$  is  $AC^{k-1}$ , then for  $t \geq 0$ ,

$$I(t) := \beta s * K^k(t) = o(1/N^k)$$

If  $s$  is only piecewise  $AC^{k-1}$ , then for  $t \geq 0$ ,  $I(t) = \mathcal{O}(1/N^k)$ .

**Hypotheses** on  $\beta$   $\leftarrow$  Output-input difference of a  $\Sigma\Delta$  CT-MOD  
 $K^k$   $\leftarrow$  satisfied by the previous kernels

## Synchronous detection – Numerical results

**(Filtered) Output-Input difference**, second-order CT-MOD2 in pure FF form

$$\begin{aligned}
 I(t) &:= \beta s * K^k(t) = o(1/N^2), & s & AC^1 \\
 &= O(1/N^2) & s & \text{piecewise } AC^1 \\
 &= O(1/N) & s & \text{discontinuous}
 \end{aligned}$$

**Input**  $u_i(t) := z(t)s_i(t)$  with  $z(t) := 0.04 \cos(t/12) + 0.06 \sin(t/4\pi)$ .

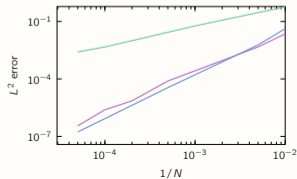
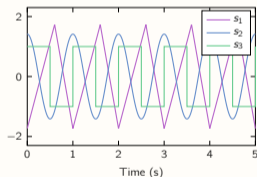
**Expression** for the different signals  $s$

$$s_1(t) := \frac{1}{\sqrt{0.03}} (\tau 1_{[0,0.6]}(\tau) + 1.5(1 - \tau)1_{[0.6,1]}(\tau) - 0.3),$$

$$s_2(t) := \sqrt{2} \cos(2\pi\tau), \quad s_3(t) := 1_{[0,0.5]}(\tau) - 1_{[0.5,1]}(\tau),$$

with  $\tau = \text{mod}(t, \varepsilon)/\varepsilon$  and  $\varepsilon = 1$ .

- $s_1$ : piecewise  $AC^1$ ,  $s_2$   $AC^1$ ,  
 $s_3$  discontinuous



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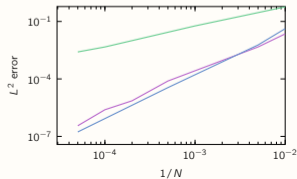
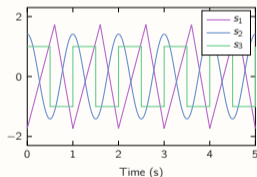
**Expression** for the different signals  $s$

$$s_1(t) := \frac{1}{\sqrt{0.03}} (\tau 1_{[0,0.6]}(\tau) + 1.5(1 - \tau) 1_{[0.6,1]}(\tau) - 0.3),$$

$$s_2(t) := \sqrt{2} \cos(2\pi\tau), \quad s_3(t) := 1_{[0,0.5]}(\tau) - 1_{[0.5,1]}(\tau),$$

with  $\tau = \text{mod}(t, \varepsilon)/\varepsilon$  and  $\varepsilon = 1$ .

- $s_1$ : piecewise  $AC^1$ ,  $s_2$   $AC^1$ ,  
 $s_3$  discontinuous



Slope=1 (disc)  
2 (piec. AC), 2.3 (AC)



# Outline

## 1. Introduction

Sensorless control of electric motors: principle  
(Exogenous) Signal injection technique

## 2. Higher-order averaging theory for exogenous signal injection

Higher-order generic averaging theory  
Third-order theory – Exogenous injection, numerical validation

## 3. Second-order averaging theory for endogenous signal injection

Computation of the PWM-induced ripple  
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## 4. Synchronous detection over analog and $\Sigma\Delta$ outputs

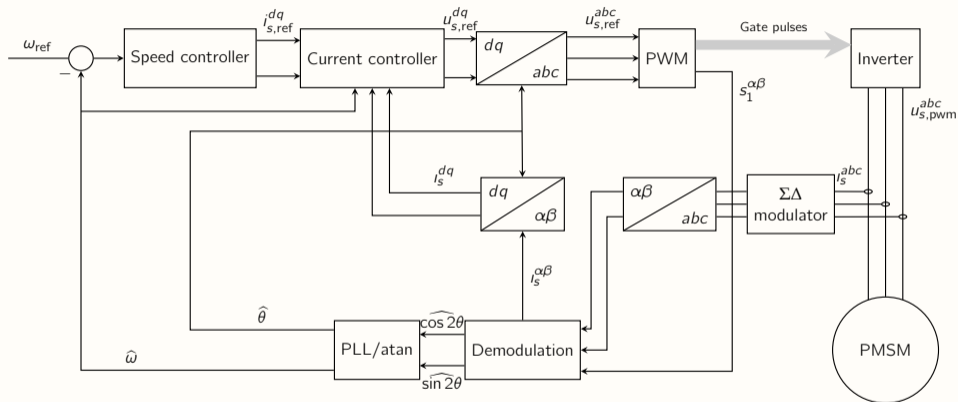
Design of the reconstruction kernels  
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## 5. Sensorless position estimation of electric motors

Virtual measurement extraction  
Numerical/Experimental recovery of the rotor position

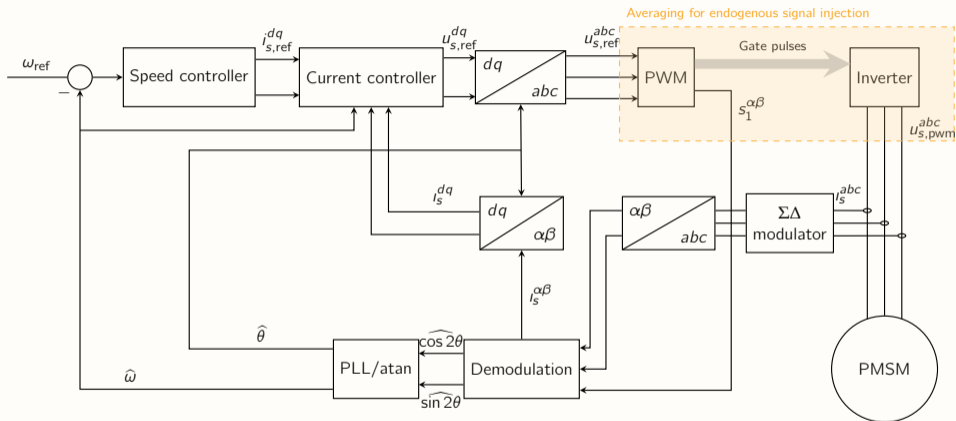
## 6. Conclusion

# Sensorless PMSM control scheme



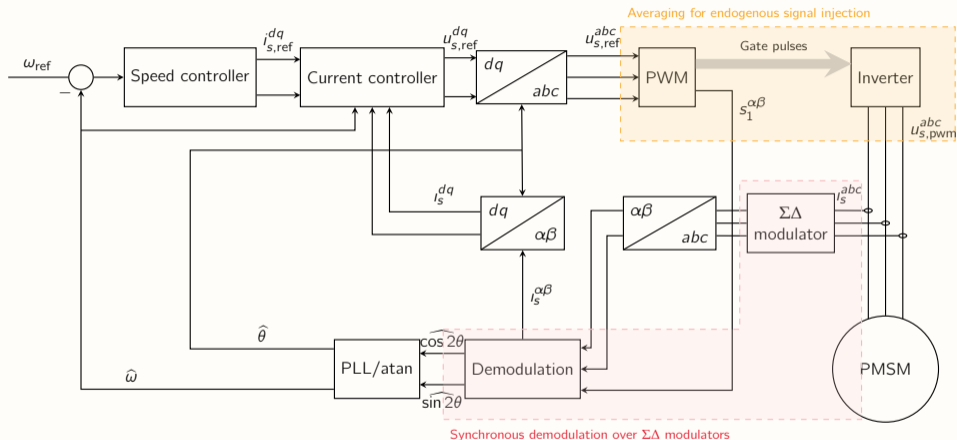
Sensorless PMSM control scheme

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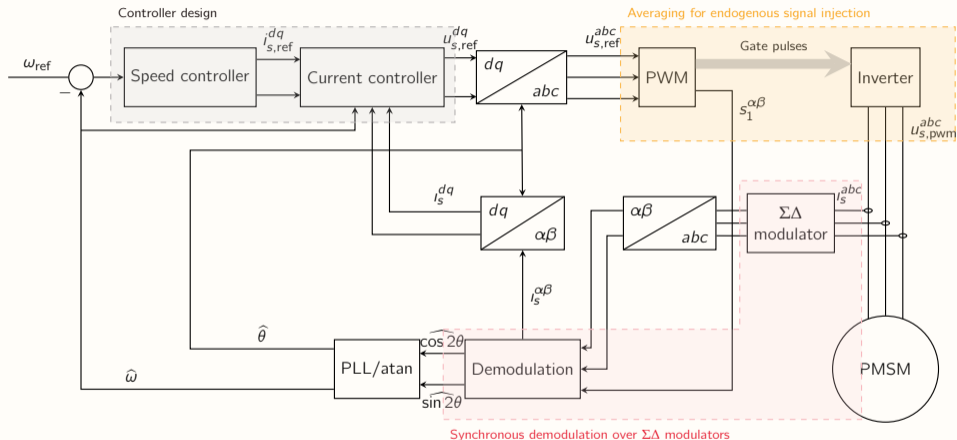
Sensorless PMSM control scheme

## Sensorless PMSM control scheme



Sensorless PMSM control scheme

# Sensorless PMSM control scheme



Sensorless PMSM control scheme

## Model and virtual measurements for a PWM-controlled PMSM

### State-space model of a PMSM in the $dq$ -frame

$$\frac{d\phi_s^{dq}}{dt} = u_s^{dq} - R_s i_s^{dq} - \omega \mathcal{J} \phi_s^{dq}$$

$$\frac{J d\omega}{n dt} = n i_s^{dqT} \mathcal{J} \phi_s^{dq} - T_l$$

$$\frac{d\theta}{dt} = \omega$$

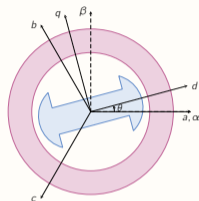
We assume there is no magnetic saturation

$$- L_d i_s^d = \phi_s^d - \phi_m$$

$$- L_q i_s^q = \phi_s^q$$

**Inputs:** voltages in  $abc$ :  $u_s^{abc} \rightarrow u_{s,pwm}^{abc}$

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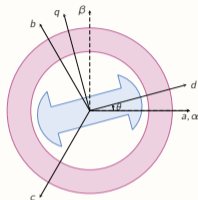
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Set of **virtual measurements** for the PWM-controlled PMSM

→ Owing to the **endogenous injection**)

$$i_s^{\alpha\beta} = \overline{i_s^{\alpha\beta}} + \varepsilon dh_{\bar{x}}(g(\bar{x})s_1) + \mathcal{O}(\varepsilon^2) \Rightarrow y_v(t) = \varepsilon \mathcal{S}(\bar{\theta}(t)) \underbrace{s_1^{\alpha\beta} s_1^{\alpha\beta T}}_{\in \mathcal{M}_2}$$

Where  $\mathcal{S}(\theta)$  is the so-called **saliency matrix**

$$\mathcal{S}(\theta) := \frac{L_d + L_q}{2L_d L_q} \begin{pmatrix} 1 + \frac{L_q - L_d}{L_d + L_q} \cos 2\theta & \frac{L_q - L_d}{L_d + L_q} \sin 2\theta \\ \frac{L_q - L_d}{L_d + L_q} \sin 2\theta & 1 - \frac{L_q - L_d}{L_d + L_q} \cos 2\theta \end{pmatrix}.$$

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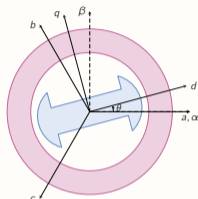
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→  $y_v$  is made available by the previous demodulation method!



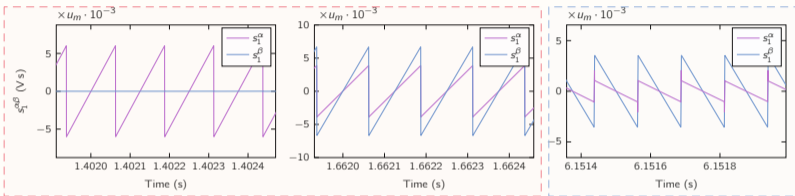
## Recovery of the position – LSQ on the virtual measurement

$y_v(t) = \varepsilon \mathcal{S}(\bar{\theta}(t)) \overline{s_1^{\alpha\beta} s_1^{\alpha\beta T}}$ . Standard PWM scheme (i.e. same carrier for the three voltages): **the rank of  $\overline{s_1^{\alpha\beta} s_1^{\alpha\beta T}$  drops** when two input voltages are equal

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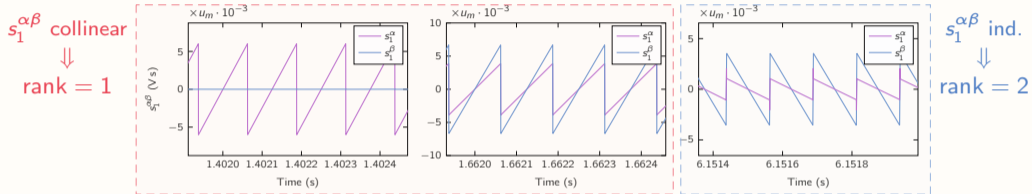
$s_1^{\alpha\beta}$  collinear  
 $\Downarrow$   
 rank = 1



$s_1^{\alpha\beta}$  ind.  
 $\Downarrow$   
 rank = 2

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**Least-squares method** to estimate  $\cos 2\theta$  and  $\sin 2\theta$ . Writing

$$\begin{pmatrix} \lambda & \mu \\ \mu & \nu \end{pmatrix} := \overline{s_1^{\alpha\beta} s_1^{\alpha\beta T}}; \quad \begin{pmatrix} y_{11} & y_{12} \\ y_{21} & y_{22} \end{pmatrix} := \frac{2L_d L_q}{L_d + L_q} y_v.$$

and  $L := \frac{L_d + L_q}{L_q - L_d}$ , the virtual measurement  $y_v = \mathcal{S}(\theta) \overline{s_1^{\alpha\beta} s_1^{\alpha\beta T}$  reads

$$P := \begin{pmatrix} \lambda & \mu \\ \mu & \nu \\ -\mu & \lambda \\ -\nu & \mu \end{pmatrix} \begin{pmatrix} \cos 2\theta \\ \sin 2\theta \end{pmatrix} = L \begin{pmatrix} y_{11} - \lambda \\ y_{12} - \mu \\ y_{21} - \mu \\ y_{22} - \nu \end{pmatrix} \Rightarrow \begin{pmatrix} \cos 2\theta \\ \sin 2\theta \end{pmatrix} = L [P^T P]^{-1} P^T d$$

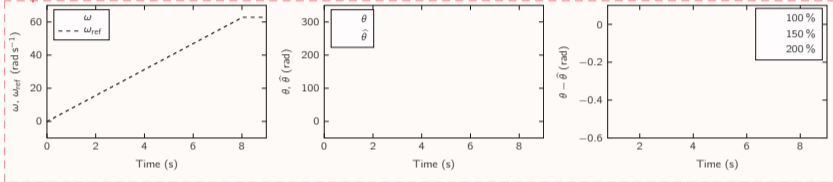
$d :=$

## Sensorless position estimation – Numerical and experimental results

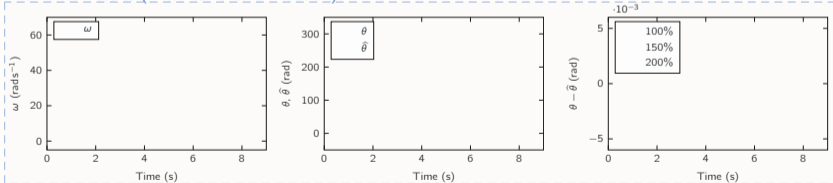
**Scenario:** Salient PMSM  $L_d = 43.25$  mH,  $L_q = 69.05$  mH

**Speed ramp:** 0 – 10 Hz in 8 s;  $T_l = 100, 150, 200\%$  of the rated torque

## Experimental results



## Numerical results (linear current-flux relation)



Speed  $\omega$  (rad s<sup>-1</sup>)

Position  $\theta$  (rad)

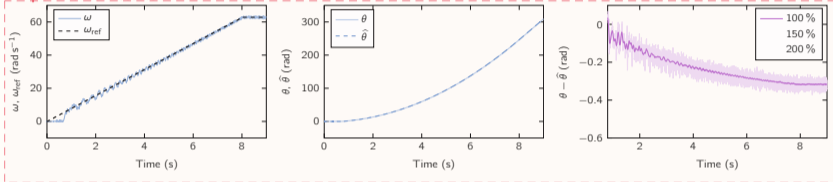
Error  $\theta - \hat{\theta}$  (rad)

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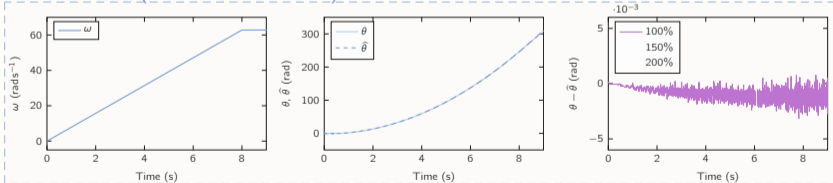
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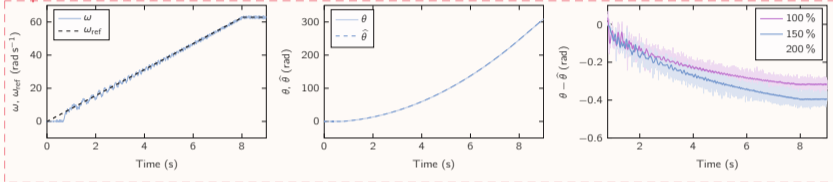
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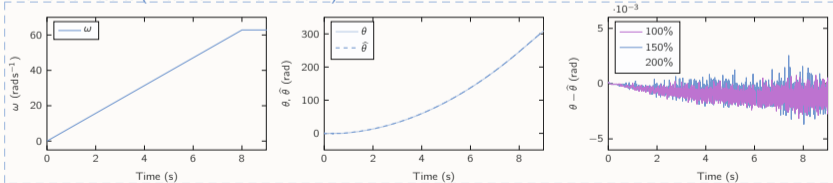
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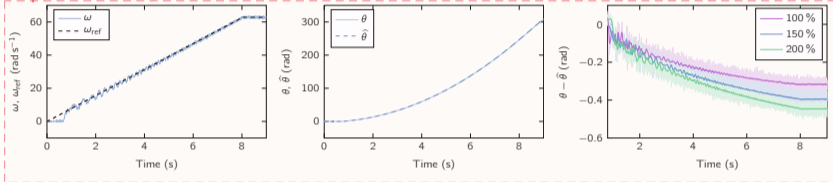
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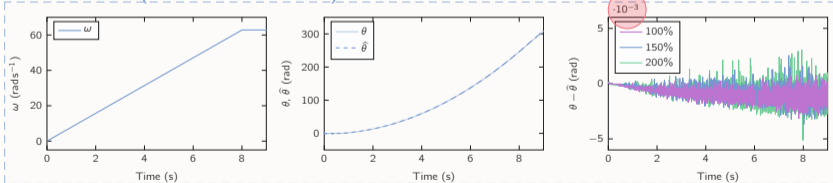
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Numerical results (linear current-flux relation)



Speed  $\omega$  (rad s<sup>-1</sup>)

Position  $\theta$  (rad)

Error  $\theta - \hat{\theta}$  (rad)

– **Discrepancy** between the numerical and experimental values for  $\theta - \hat{\theta}$ ?

## Sensorless position estimation – Saturation model – Results

**Energy-based modelling** ( $p_{ij}$ : magnetic parameters)

$$\mathcal{H}_m^{dq}(\phi_s^{dq}) := p_{10}\phi_s^d + p_{20}(\phi_s^d)^2 + p_{30}(\phi_s^d)^3 + p_{40}(\phi_s^d)^4 + p_{02}(\phi_s^q)^2 + p_{04}(\phi_s^q)^4 \\ + p_{12}\phi_s^d(\phi_s^q)^2 + p_{22}(\phi_s^d)^2(\phi_s^q)^2$$

**Linear** current-flux relation

$$i_s^d = \frac{\phi_s^d - \phi_m}{L_d}, \quad i_s^q = \frac{\phi_s^q}{L_q}$$

**Saturated** current-flux relation

$$i_s^d = \frac{\partial \mathcal{H}_m^{dq}}{\partial \phi_s^d}(\phi_s^{dq}), \quad i_s^q = \frac{\partial \mathcal{H}_m^{dq}}{\partial \phi_s^q}(\phi_s^{dq})$$



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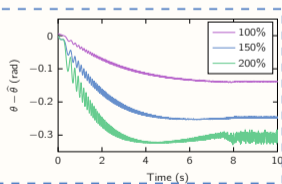
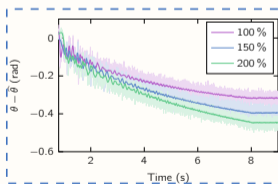
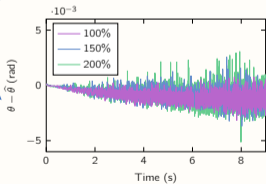
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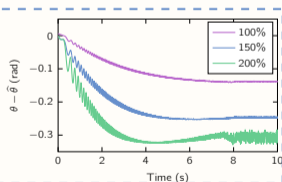
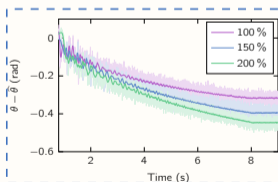
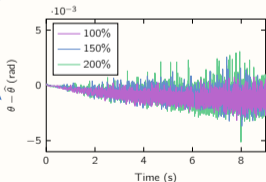
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- **Behavior** of the error wrt. numerically reproduced thanks to the magnetic model!

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## Summary

- Higher-order **averaging theory for exogenous/endogenous** signal injection: **external HF probing signals** or **PWM harmonics** induce nonlinear responses *carrying additional knowledge on the system*
- Generic **demodulation procedure** for extracting the so-called virtual measurements *with an arbitrary accuracy in  $\mathcal{O}(\varepsilon^p)$*
- Several **error estimates** for  $k^{\text{th}}$ -order CT- $\Sigma\Delta$  MOD: "the demodulation process commutes with the  $\Sigma\Delta$  modulator"
- **Experimental validations on a PMSM**: successful **sensorless position estimation** from the  $\Sigma\Delta$  current bitstreams

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- Experimental implementation of a **closed-loop sensorless scheme** based on the PWM excitation

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**Thank you!**

\end{presentation}