

# On Codes and Learning With Errors Over Function Fields (Part 2)

**Maxime Bombar**, Alain Couvreur, Thomas Debris-Alazard

LIX, École Polytechnique & Inria

GT Grace

March, 22 + April, 19 2022

# Outline

- 1 Reminders
- 2 Carlitz module
- 3 Instantiations & applications

# Code-based encryption schemes

## Decoding Problem in cryptography

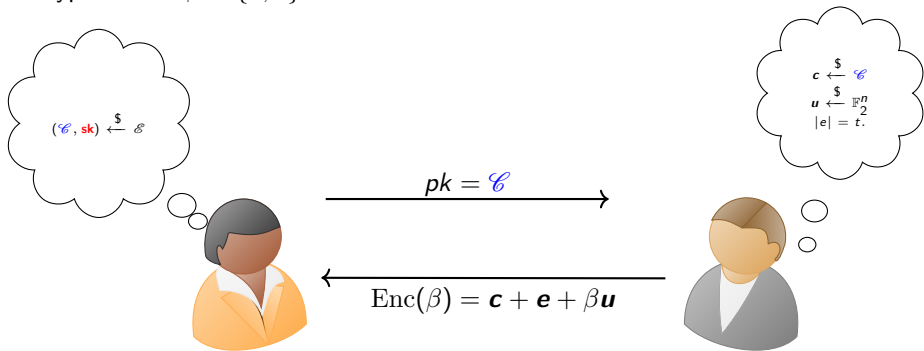
- McEliece (1978)
- **Alekhnovich** (2003)

# Alekhovich cryptosystem (2003)

$$t \ll n$$

$$\mathcal{E} = \{(\mathcal{C}, \mathbf{sk}) \mid \mathcal{C} \text{ is a code with } \mathbf{sk} \in \mathcal{C}^\perp \text{ of weight } t\}$$

Encrypt one bit  $\beta \in \{0, 1\}$ .



# Alekhovich cryptosystem (2003)

Encrypt one bit  $\beta \in \{0, 1\}$ .

$$\text{Enc}(\beta) = \begin{cases} \mathbf{c} + \mathbf{e} & \text{if } \beta = 0 \\ \text{random} & \text{if } \beta = 1 \end{cases}$$

## Decryption

- $\langle \mathbf{sk}, \text{Enc}(0) \rangle = \langle \mathbf{sk}, \mathbf{c} + \mathbf{e} \rangle = \langle \mathbf{sk}, \mathbf{e} \rangle = 0$  w.h.p.
- $\langle \mathbf{sk}, \text{Enc}(1) \rangle = \langle \mathbf{sk}, \text{random} \rangle = 0$  with proba  $\frac{1}{2}$ .

## Message Security

Hard to **distinguish**  $\mathbf{c} + \mathbf{e}$  from **random**  $\approx$  Code-based analogue of DDH.

# Decoding Problems

## Search/Computational Decoding Problem

**Data.** Random matrix  $\mathbf{G}$  and noisy codeword  $\mathbf{m}\mathbf{G} + \mathbf{e}$  with  $|\mathbf{e}| = t$ .

**Goal.** Recover  $\mathbf{m}$ .

## Decisional Decoding Problem

**Data.**  $(\mathbf{G}, \mathbf{b})$  where  $\mathbf{b}$  is either random, or noisy codeword  $\mathbf{m}\mathbf{G} + \mathbf{e}$  with  $|\mathbf{e}| = t$ .

**Goal.** Distinguish between these two cases.

## Fisher, Stern (1996)

**Decisional** Decoding Problem is as hard as **Search** Decoding Problem.

# Efficiency Alekhnovich ?

Public-key = random  $\mathcal{C}$  represented by  $\mathbf{G} \in \mathbb{F}_q^{k \times n}$

Huge public-key:  $\Theta(n^2)$

Reducing the size of the key ?

# Quasi-Cyclic codes

Idea: Use codes with many automorphisms, e.g. *Quasi-Cyclic*.

Codes having a generator (or parity-check) matrix formed by multiple circulant blocks

$$G = \begin{pmatrix} \mathbf{a}^{(1)} & \cdots & \mathbf{a}^{(r)} \\ \circlearrowleft & \cdots & \circlearrowleft \end{pmatrix}$$

⇒ Public key is now only one row.



# Polynomial representation

$$\mathcal{R} = \mathbb{F}_q[X]/(X^n - 1)$$

Isomorphism between circulant matrices and polynomial ring.

$$\begin{pmatrix} a_0 & a_1 & \dots & \dots & a_{n-1} \\ a_{n-1} & a_0 & \dots & \dots & a_{n-2} \\ \vdots & \ddots & \ddots & & \vdots \\ \vdots & & \ddots & \ddots & \vdots \\ a_1 & a_2 & \dots & a_{n-1} & a_0 \end{pmatrix} \xrightarrow{\sim} \mathbf{a}(X) = \sum_{i=0}^{n-1} a_i X^i \in \mathcal{R}$$

$$\mathbf{m} \begin{pmatrix} \mathbf{a}^{(1)} & \mathbf{a}^{(2)} \\ \circlearrowleft & \circlearrowleft \end{pmatrix} + (\mathbf{e}^{(1)} \quad \mathbf{e}^{(2)}) \xrightarrow{\sim} \begin{cases} \mathbf{m}(x)\mathbf{a}^{(1)}(X) + \mathbf{e}^{(1)}(X) \in \mathcal{R} \\ \mathbf{m}(x)\mathbf{a}^{(2)}(X) + \mathbf{e}^{(2)}(X) \in \mathcal{R} \end{cases}$$

# Structured versions of Decoding Problems

$\mathcal{R}$  Ring, e.g.  $\mathbb{F}_q[X]/(X^n - 1)$

## Search version

**Data.** Samples  $(\mathbf{a}^{(i)}, \mathbf{b}^{(i)} = \mathbf{m}\mathbf{a}^{(i)} + \mathbf{e}^{(i)})$  with same  $\mathbf{m} \xleftarrow{\$} \mathcal{R}$ , where  $\mathbf{a}^{(i)} \xleftarrow{\$} \mathcal{R}$ , and  $\mathbf{e}^{(i)} \leftarrow \mathcal{R}$  such that  $|\mathbf{e}^{(i)}| = t$ .

**Goal.** Find  $\mathbf{m} \in \mathcal{R}$ .

## Decisional version

**Data.** Samples  $(\mathbf{a}^{(i)}, \mathbf{b}^{(i)})$  where either all  $\mathbf{b}^{(i)}$  are **uniformly random**, or are of the form  $\mathbf{m}\mathbf{a}^{(i)} + \mathbf{e}^{(i)}$ .

**Goal.** Distinguish between these two cases.

NO known reduction...

# Taking height

$$\underbrace{\mathbb{F}_q[X]/(X^n - 1)}_{\text{World of Computations}} = \mathbb{F}_q[T][X]/(T, X^n + T - 1) = \underbrace{\mathcal{O}_K/T\mathcal{O}_K}_{\text{World of Proofs}}$$

$$\begin{array}{ccc} \mathcal{O}_K & \text{-----} & K \\ | & & | \\ T \in \mathbb{F}_q[T] & \text{-----} & \mathbb{F}_q(T) \end{array}$$

Idea:

- Get inspired by Euclidean lattices
- Number field - Function field analogy

# Number field - Function field analogy

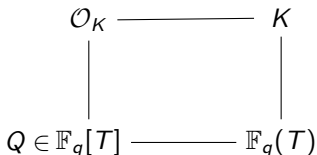
(Informal) Finite extensions of  $\mathbb{Q}$  and finite extensions of  $\mathbb{F}_q(T)$  share many properties.

$\mathbb{Q}$   
 $\mathbb{Z}$   
Prime numbers  $q \in \mathbb{Z}$   
 $K = \mathbb{Q}[X]/(f(X))$   
 $\mathcal{O}_K$   
= Integral closure of  $\mathbb{Z}$   
Dedekind domain  
characteristic 0

$\mathbb{F}_q(T)$   
 $\mathbb{F}_q[T]$   
Irreducible polynomials  $Q \in \mathbb{F}_q[T]$   
 $K = \mathbb{F}_q(T)[X]/(f(T, X))$   
 $\mathcal{O}_K$   
= Integral closure of  $\mathbb{F}_q[T]$   
Dedekind domain  
characteristic  $p$

# Function Field Decoding Problem - FF-DP

- $K = \mathbb{F}_q(T)[X]/(f(T, X))$
- $\mathcal{O}_K$  ring of integers
- $Q \in \mathbb{F}_q[T]$  irreducible.
- $\psi$  some probability distribution over  $\mathcal{O}_K/Q\mathcal{O}_K$ .



## Search FF-DP

**Data.** Samples  $(\mathbf{a}^{(i)}, \mathbf{b}^{(i)} = \mathbf{m}\mathbf{a}^{(i)} + \mathbf{e}^{(i)})$  with  $\mathbf{a}^{(i)} \stackrel{\$}{\leftarrow} \mathcal{O}_K/Q\mathcal{O}_K$ ,  $\mathbf{e}^{(i)} \leftarrow \psi$ .

**Goal.** Find  $\mathbf{m} \in \mathcal{O}_K/Q\mathcal{O}_K$ .

## Decision FF-DP

**Data.** Samples  $(\mathbf{a}^{(i)}, \mathbf{b}^{(i)})$  with  $\mathbf{a}^{(i)} \stackrel{\$}{\leftarrow} \mathcal{O}_K/Q\mathcal{O}_K$  and  $\mathbf{b}^{(i)}$  either all **random** or  $\mathbf{m}\mathbf{a}^{(i)} + \mathbf{e}^{(i)}$ .

**Goal.** Distinguish between these two cases.

# Main theorem

Let  $K$  be a function field with constant field  $\mathbb{F}_q$ ,  $Q \in \mathbb{F}_q[T]$  irreducible.

Assume that

- (1)  $K$  is a Galois extension of  $\mathbb{F}_q(T)$  of not too large degree  $n$ .
- (2) Ideal  $\mathfrak{P} \stackrel{\text{def}}{=} Q\mathcal{O}_K$  does not ramify and has not too large inertia  $f$ .
- (3) For all  $\sigma \in \text{Gal}(K/\mathbb{F}_q(T))$ , if  $x \leftarrow \psi$  then  $\sigma(x) \leftarrow \psi$ .

Then solving **decision** FF-DP is as hard as solving **search** FF-DP.

# Main theorem

Let  $K$  be a function field with constant field  $\mathbb{F}_q$ ,  $Q \in \mathbb{F}_q[T]$  irreducible.

Assume that

- (1)  $K$  is a Galois extension of  $\mathbb{F}_q(T)$  of not too large degree  $n$ .
- (2) Ideal  $\mathfrak{P} \stackrel{\text{def}}{=} Q\mathcal{O}_K$  does not ramify and has not too large inertia  $f$ .
- (3) For all  $\sigma \in \text{Gal}(K/\mathbb{F}_q(T))$ , if  $x \leftarrow \psi$  then  $\sigma(x) \leftarrow \psi$ .

Then solving **decision** FF-DP is as hard as solving **search** FF-DP.

$\varepsilon$  = decisional advantage,  $t$  = running time of distinguisher.

We can recover the secret in  $\mathcal{O}_K/\mathfrak{P}$  in time

$$O\left(\frac{n^4}{f^3} \times \frac{1}{\varepsilon^2} \times q^{f \deg(Q)} \times t\right).$$

# How to instantiate FF-DP ?

What do we need ?

- Galois function field  $K/\mathbb{F}_q(T)$  with small field of constants;
- Nice behaviour of places;
- Galois invariant distribution.

Ring-LWE instantiation with cyclotomic number fields.



# Outline

- 1 Reminders
- 2 Carlitz module
- 3 Instantiations & applications

# Cyclotomic function field (Bad idea)

We want an analogue of cyclotomic number field.

$\mathbb{Q}[\zeta_n]$  is built by adding the  $n$ -th roots of 1.

What about  $\mathbb{F}_q(T)$  ?

## A false good idea

Adding roots of 1 to  $\mathbb{F}_q(T)$  yields extension of constants

$\Rightarrow$  We get  $\mathbb{F}_{q^m}(T)$ .

Reduction needs an exhaustive search ...

# Cyclotomic function field (Good idea)

Intuition:

- $\overline{\mathbb{Q}}^x$  is endowed with a  $\mathbb{Z}$ -module structure by  $n \cdot z \stackrel{\text{def}}{=} z^n$ .
- $U_n = \{z \in \overline{\mathbb{Q}} \mid z^n = 1\} = n$ -torsion elements.

Idea:

- $\mathbb{Z} \leftrightarrow \mathbb{F}_q[T] \Rightarrow$  Consider a new  $\mathbb{F}_q[T]$ -module structure on  $\overline{\mathbb{F}_q(T)}$ .
- Add torsion elements to  $\mathbb{F}_q(T)$ .

# Carlitz Polynomials

For  $M \in \mathbb{F}_q[T]$  define  $[M] \in \mathbb{F}_q(T)[X]$  by:

- $[1](X) = X$
- $[T](X) = X^q + TX$
- $\mathbb{F}_q$ -Linearity +  $[M_1 M_2](X) = [M_1]([M_2](X))$

**Fact.**  $[M]$  is a  $q$ -polynomial in  $X$  with coefficients in  $\mathbb{F}_q[T]$ .

Examples:

- For  $c \in \mathbb{F}_q$ ,  $[c](X) = cX$
- $[T^2](X) = (X^q + TX)^q + T(X^q + TX) = X^{q^2} + (T^q + T)X^q + T^2X$

# Carlitz Module

**Fact.**  $\mathbb{F}_q[T]$  acts on  $\overline{\mathbb{F}_q(T)}$  by  $M \cdot z = [M](z)$ .

$\overline{\mathbb{F}_q(T)}$  endowed with this action is called the  $\mathbb{F}_q$ -Carlitz module.

- $\Lambda_M \stackrel{\text{def}}{=} \{z \in \overline{\mathbb{F}_q(T)} \mid [M](z) = 0\}$   $M$ -torsion elements  $\simeq \mathbb{U}_n$ .
- $\mathbb{F}_q(T)[\Lambda_M] = \underline{\text{cyclotomic}}$  function field.
- $\text{Gal}(K/\mathbb{F}_q(T)) \simeq (\mathbb{F}_q[T]/(M))^\times$  (Efficiently computable).

# Cyclotomic VS Carlitz

 $\mathbb{Q}$   
 $\mathbb{Z}$ 

Prime numbers  $q \in \mathbb{Z}$

$\mathbb{U}_n = \langle \zeta \rangle \simeq \mathbb{Z}/(n)$  (groups)

$d \mid n \Leftrightarrow \mathbb{U}_d \subset \mathbb{U}_n$  (subgroups)

$a \equiv b \pmod{n} \Rightarrow \zeta^a = \zeta^b$

$K = \mathbb{Q}[\zeta]$   
 $\mathcal{O}_K = \mathbb{Z}[\zeta]$

$\text{Gal}(K/\mathbb{Q}) \simeq (\mathbb{Z}/(n))^{\times}$

Cyclotomic

 $\mathbb{F}_q(T)$   
 $\mathbb{F}_q[T]$ 

Irreducible polynomials  $Q \in \mathbb{F}_q[T]$

$\Lambda_M = \langle \lambda \rangle \simeq \mathbb{F}_q[T]/(M)$  (modules)

$D \mid M \Leftrightarrow \Lambda_D \subset \Lambda_M$  (submodules)

$A \equiv B \pmod{M} \Rightarrow [A](\lambda) = [B](\lambda)$

$K = \mathbb{F}_q(T)[\lambda]$   
 $\mathcal{O}_K = \mathbb{F}_q[T][\lambda]$

$\text{Gal}(K/\mathbb{F}_q(T)) \simeq (\mathbb{F}_q[T]/(M))^{\times}$

Carlitz

## Important example

$$[T](X) = X^q + TX$$

$$\Lambda_T = \{z \mid z^q + Tz = 0\} = \{0\} \cup \{z \mid z^{q-1} = -T\};$$

$$K = \mathbb{F}_q(T)(\Lambda_T) = \mathbb{F}_q(T)[X]/(X^{q-1} + T);$$

$$\mathcal{O}_K = \mathbb{F}_q[T][X]/(X^{q-1} + T);$$

$$\text{Gal}(K/\mathbb{F}_q(T)) = (\mathbb{F}_q[T]/T)^\times = \mathbb{F}_q^\times;$$

$$\mathcal{O}_K/((T+1)\mathcal{O}_K) = \mathbb{F}_q[T][X]/(X^{q-1} + T, T+1) = \mathbb{F}_q[X]/(X^{q-1} - 1).$$

# Outline

- 1 Reminders
- 2 Carlitz module
- 3 Instantiations & applications



# Quasi-Cyclic Decoding

- $K = \mathbb{F}_q(T)[\Lambda_T]$ ,  $\mathcal{O}_K / (T + 1)\mathcal{O}_K = \mathbb{F}_q[X] / (X^{q-1} - 1)$ .
- $\text{Gal}(K/\mathbb{F}_q(T)) = \mathbb{F}_q^\times$  acts on  $\mathbb{F}_q[X] / (X^{q-1} - 1)$  via  
 $\zeta \cdot P(X) = P(\zeta X) \Rightarrow \text{Support is } \underline{\text{Galois invariant}} !$

## Search to decision reduction

**Decision** QC-decoding in  $\mathbb{F}_q[X] / (X^{q-1} - 1)$  is as hard as **Search**.

This assumption has also been used for MPC.

# Ring-LPN

$p \in [0, 1/2)$ , ring  $\mathcal{R} = \mathbb{F}_q[X]/(f(X))$  with  $f(X) = f_1(X) \cdots f_r(X)$ .

- Samples  $(\mathbf{a}, \mathbf{as} + \mathbf{e})$ .
- What is the error distribution ?

# Ring-LPN

$p \in [0, 1/2)$ , ring  $\mathcal{R} = \mathbb{F}_q[X]/(f(X))$  with  $f(X) = f_1(X) \cdots f_r(X)$ .

- Samples  $(\mathbf{a}, \mathbf{as} + \mathbf{e})$ .
- What is the error distribution ?

$\mathbf{e}(X) = e_0 + e_1X + \cdots + e_{r-1}X^{r-1}$  with independent  $e_i \leftarrow \mathcal{B}_q(p)$ .

# Ring-LPN

$p \in [0, 1/2)$ , ring  $\mathcal{R} = \mathbb{F}_q[X]/(f(X))$  with  $f(X) = f_1(X) \cdots f_r(X)$ .

- Samples  $(\mathbf{a}, \mathbf{as} + \mathbf{e})$ .
- What is the error distribution ?

$\mathbf{e}(X) = e_0 + e_1X + \cdots + e_{r-1}X^{r-1}$  with independent  $e_i \leftarrow \mathcal{B}_q(p)$ .

Not Galois invariant ...

# Ring-LPN

$p \in [0, 1/2)$ , ring  $\mathcal{R} = \mathbb{F}_q[X]/(f(X))$  with  $f(X) = f_1(X) \cdots f_r(X)$ .

- Samples ( $\mathbf{a}$ ,  $\mathbf{as} + \mathbf{e}$ ).
- What is the error distribution ?

$\mathbf{e}(X) = e_0 + e_1X + \cdots + e_{r-1}X^{r-1}$  with independent  $e_i \leftarrow \mathcal{B}_q(p)$ .

Not Galois invariant ...

Idea: Change the basis

# Ring-LPN

$p \in [0, 1/2)$ , ring  $\mathcal{R} = \mathbb{F}_q[X]/(f(X))$  with  $f(X) = f_1(X) \cdots f_r(X)$ .

- Samples  $(\mathbf{a}, \mathbf{as} + \mathbf{e})$  where  $\mathbf{e} = e_0\beta_0 + \cdots + e_{r-1}\beta_{r-1}$  and  $e_i \leftarrow \mathcal{B}_q(p)$ .

e.g. Canonical basis  $(1, X, \dots, X^{r-1})$ .

# Ring-LPN

$p \in [0, 1/2)$ , ring  $\mathcal{R} = \mathbb{F}_q[X]/(f(X))$  with  $f(X) = f_1(X) \cdots f_r(X)$ .

- Samples  $(\mathbf{a}, \mathbf{a}\mathbf{s} + \mathbf{e})$  where  $\mathbf{e} = e_0\beta_0 + \cdots + e_{r-1}\beta_{r-1}$  and  $e_i \leftarrow \mathcal{B}_q(p)$ .

e.g. Canonical basis  $(1, X, \dots, X^{r-1})$ .

## Normal Distribution Ring-LPN

- If  $f_i(X)$  have the same degree  $d$ , then  $\mathcal{R} \simeq \mathcal{O}_K/T\mathcal{O}_K$  where  $K$  is some explicit Carlitz extension in which  $T$  has inertia  $d$  and does not ramify.
- $\mathcal{O}_K/T\mathcal{O}_K$  admits many  $\mathbb{F}_q$ -Galois invariant basis.
- **Decision** Ring-LPN with respect to such a basis is as hard as **Search**.

# Conclusion

	Ring-LWE	FF-DP	
<b>2010:</b>	Cyclotomic number fields Special modulus	Galois function fields Special modulus	✓
<b>2014:</b>	Any modulus	?	✗
<b>2017-2018:</b>	Any number field Completely different technique: OHCP	?	✗

Already useful for special QC codes used in MPC, or for particular Ring-LPN.

Extension to any function field would apply to codes like in BIKE or HQC.



# Conclusion and perspectives

## Perspectives.

- Extensions to more general function fields
- Develop a “Switching-Modulus” technique

For MPC we would like  $K$  such that

- $\mathcal{O}_K/T\mathcal{O}_K \simeq \mathbb{F}_2^N$  with  $N \simeq 2^{20}$  or  $2^{30}$
- Efficient representation of *sparse* elements of  $\mathcal{O}_K$  or  $\mathcal{O}_K/T\mathcal{O}_K$
- Efficient multiplication.

Thank you for your attention.