

Ilaria Zappatore

# Simultaneous Rational Function Reconstruction and applications to Algebraic Coding Theory

a joint work with

*Eleonora GUERRINI, Romain LEBRETON LIRMM, Université de Montpellier CNRS*

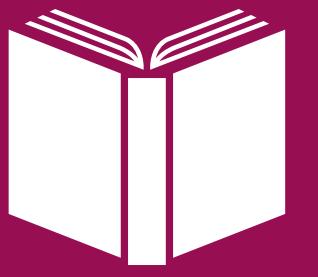
GT de l'équipe GRACE

December 1, 2020

# Starting Point

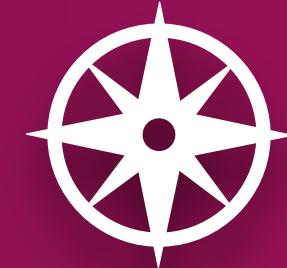
Coding  
Theory

My  
Thesis



Computer  
Algebra

# Overview & Motivations

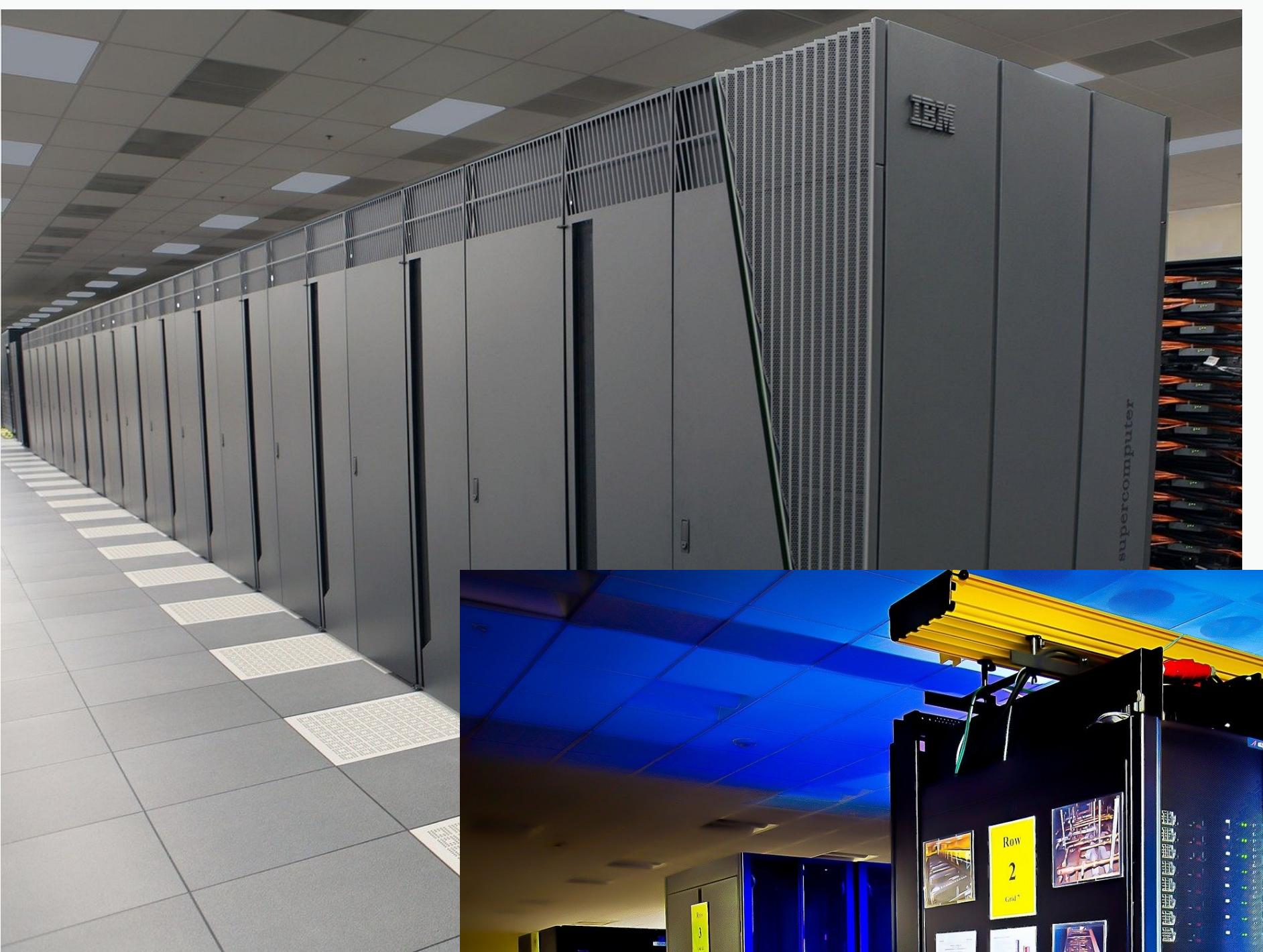
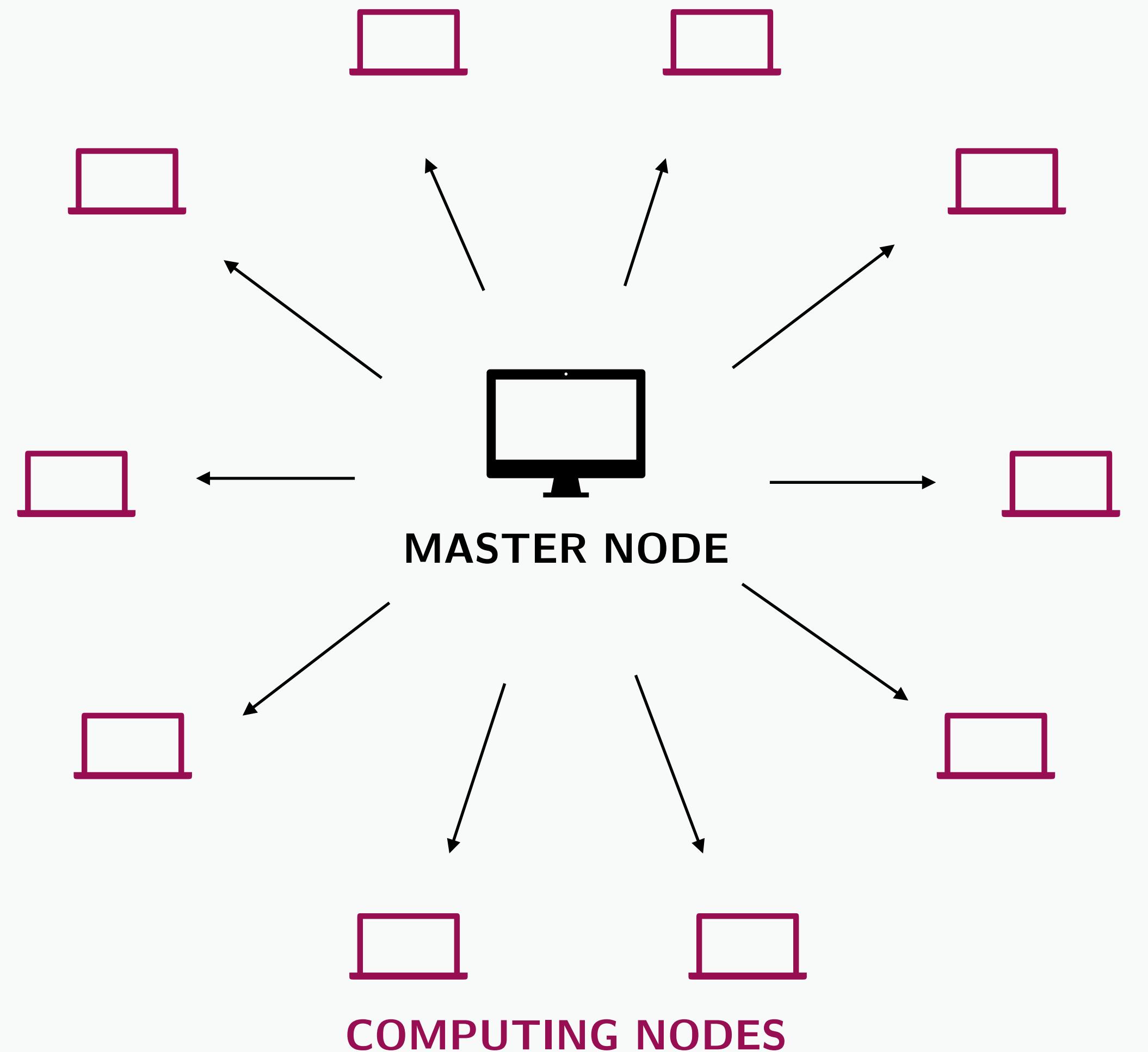


GT de l'équipe GRACE

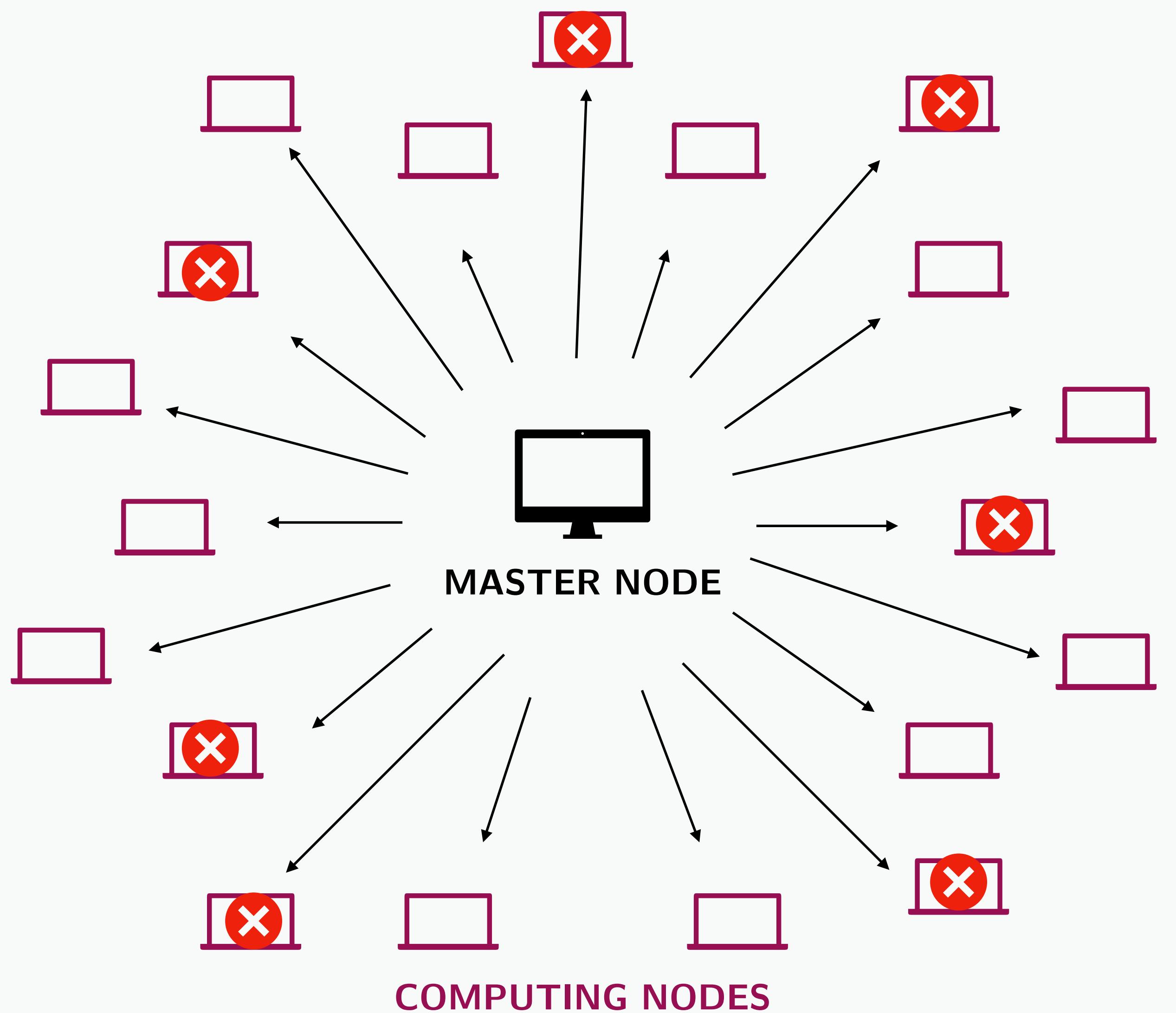
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# High Performance Computing Technologies

**Goal:** provide high performances and complete heavy tasks



# Fault tolerant algorithms



The **more the number of system components** grows

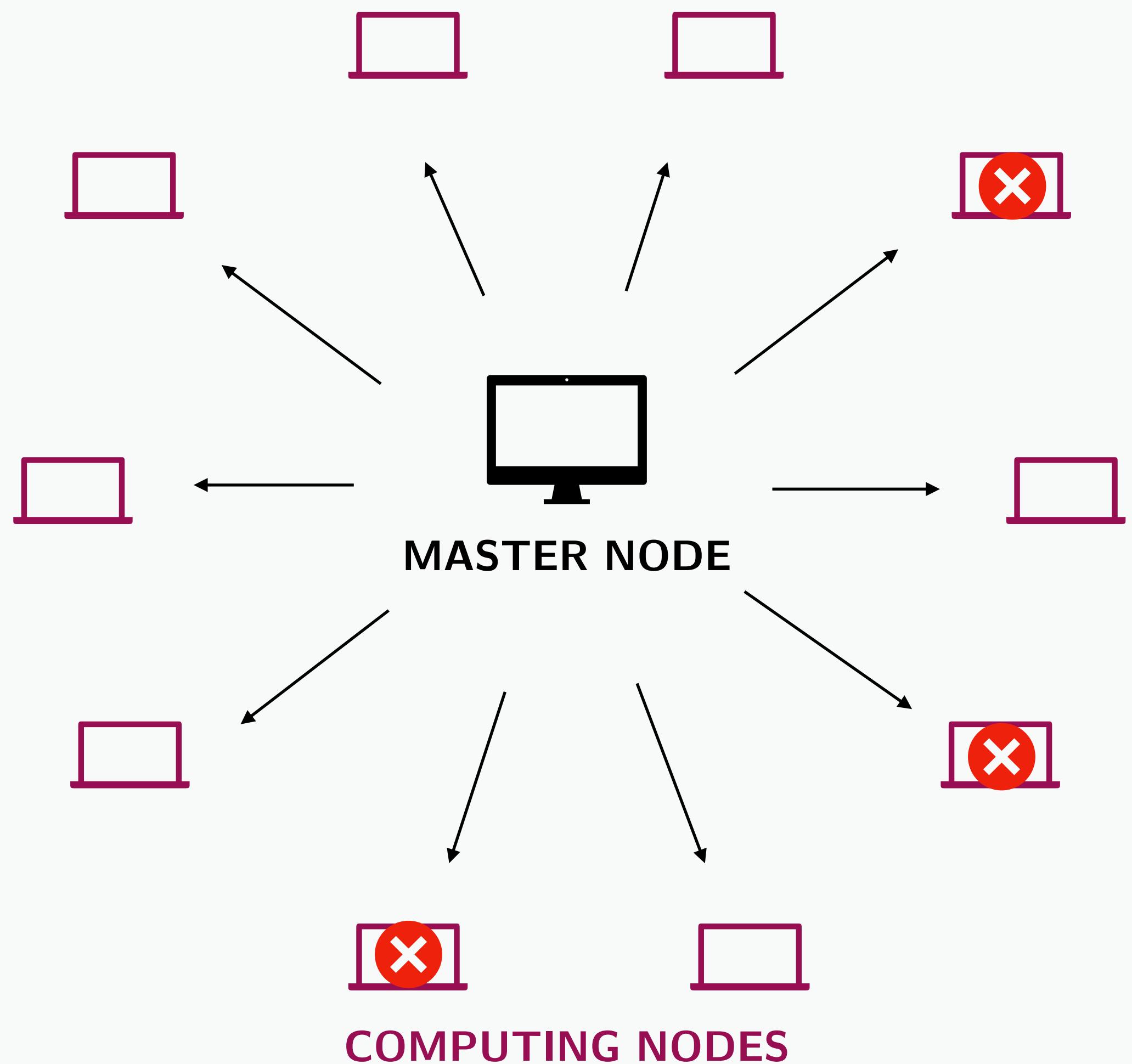
the **more the failures** of computing nodes becomes **relevant**  
(3,5 faults per day)

[DI, GUO, PERSHEY, SNIR, CAPPELLO, 2019], [LIU, CHEN, 2018]

construct **fault tolerant** algorithms

detect/correct faults

# Algorithm-based fault tolerant techniques (ABFT)



# Algorithm-based fault tolerant techniques (ABFT)

[HUANG, ABRAHAM, 1984]

exploits the **algorithm's characteristics**  
to design a **fault tolerant algorithm**

# **Goal:** detect/correct computational errors (faults)

# High Performance Computing Technologies

## Algorithm-based fault tolerant techniques (ABFT)

[HUANG, ABRAHAM, 1984]

**Goal:** detect/correct computational errors (faults)

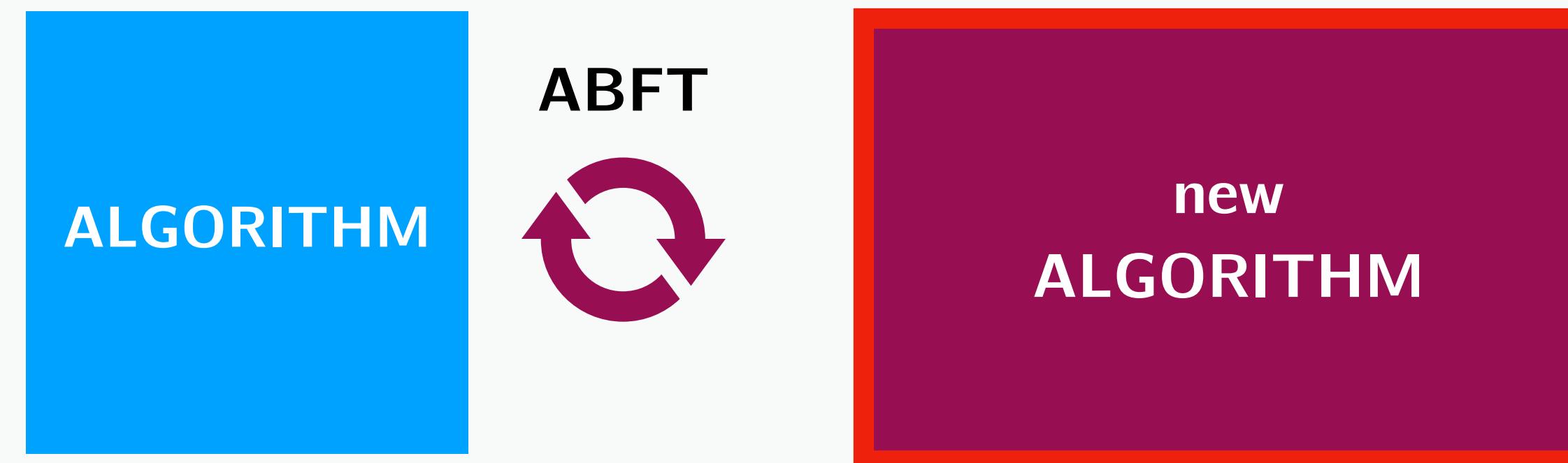


# High Performance Computing Technologies

## Algorithm-based fault tolerant techniques (ABFT)

[HUANG, ABRAHAM, 1984]

**Goal:** detect/correct computational errors (faults)



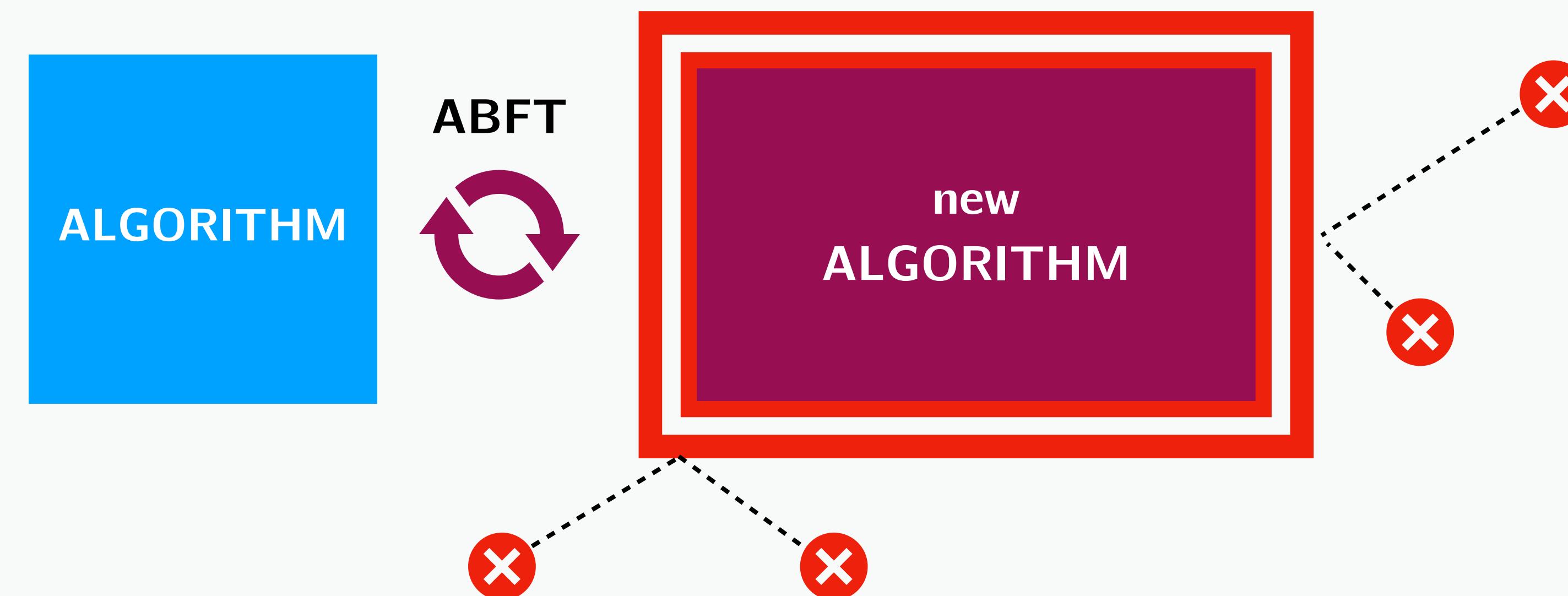
- **adding redundancy** (encoding)

# High Performance Computing Technologies

## Algorithm-based fault tolerant techniques (ABFT)

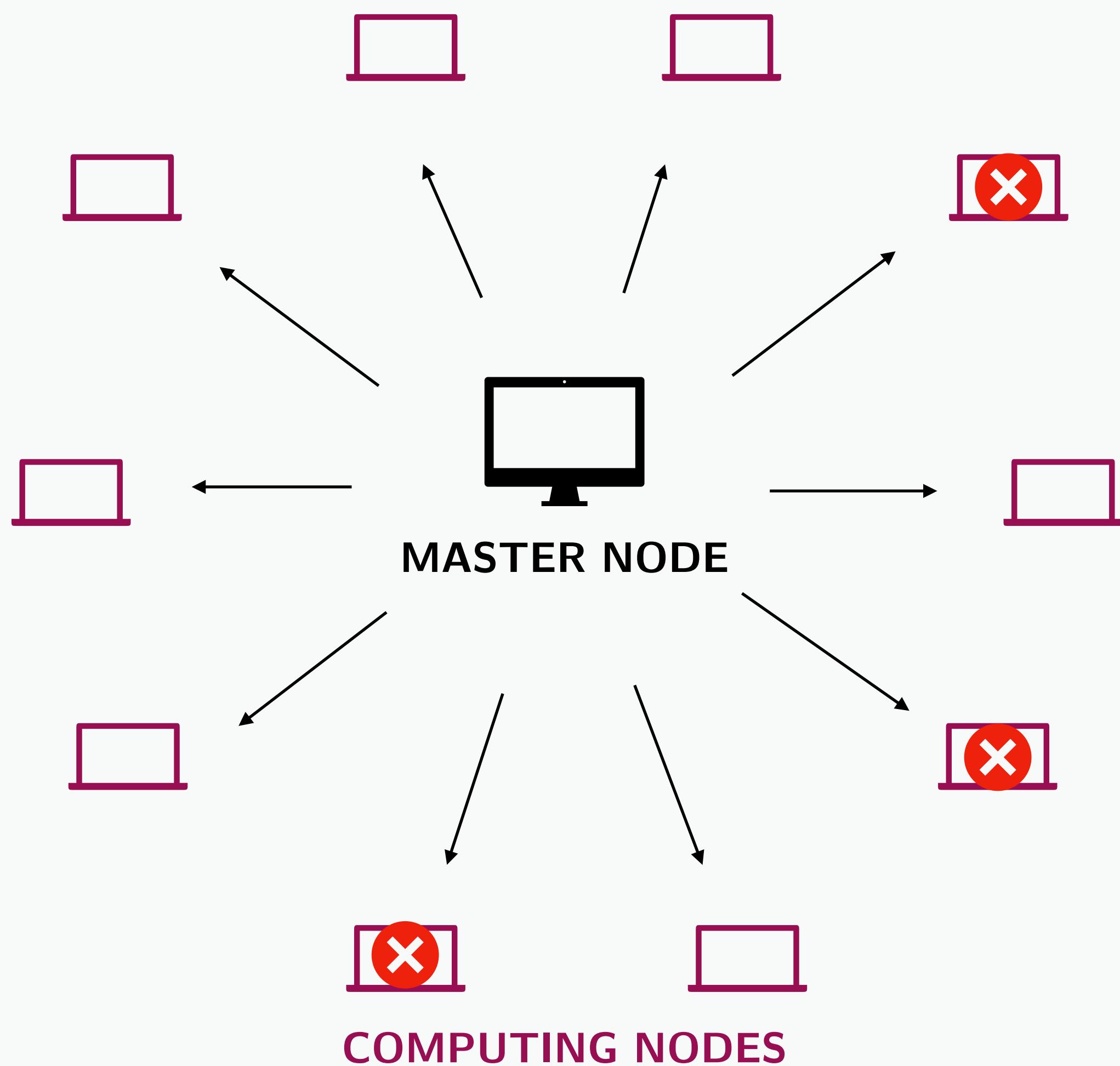
[HUANG, ABRAHAM, 1984]

**Goal:** detect/correct computational errors (faults)



- **adding redundancy** (encoding)
  - modify the algorithm, work on encoding data
- ← robust to errors (faults)
- error correcting codes**

# High Performance Computing Technologies



## Algorithm-based fault tolerant techniques (ABFT)

[HUANG, ABRAHAM, 1984]

exploits the **algorithm's characteristics**  
to design a **fault tolerant algorithm**

**Goal:** detect/correct computational errors (faults)



### ABFT for Polynomial Linear System Solving by Evaluation-Interpolation

[BOYER, KALTOFEN, 2014]

[KALTOFEN, PERNET, STORJOHANN, WADDEL, 2017]

📄 [GUERRINI, LEBRETON, Z., 2019]

📄 [GUERRINI, LEBRETON, Z., 2020]

📄 : publication

📄 : preprint

# Take a look inside



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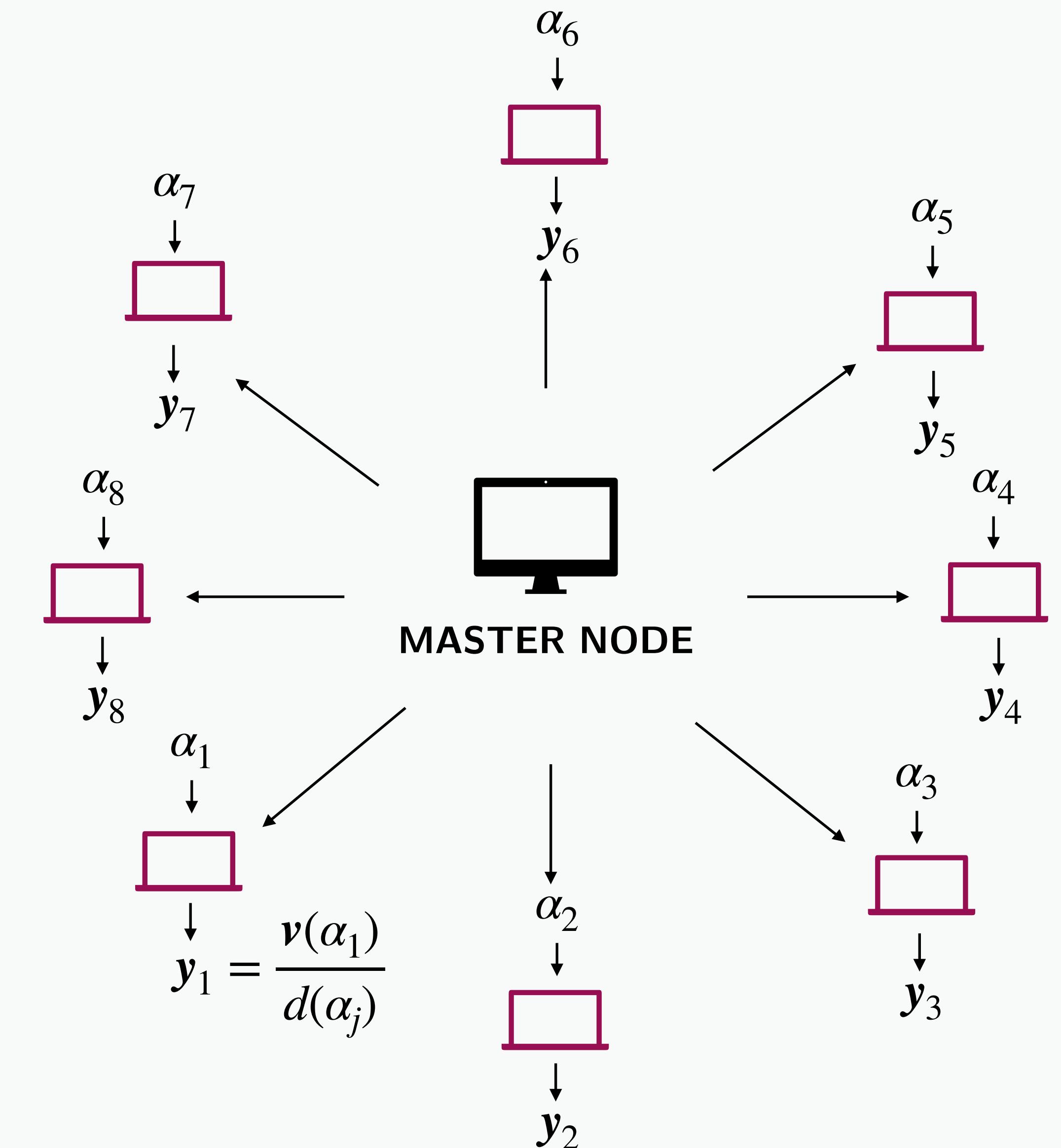
# Polynomial Linear System Solving by Evaluation-Interpolation

## Polynomial Linear System Solving

$$\underbrace{\begin{pmatrix} a_{1,1}(x) & a_{1,2}(x) & \dots & a_{1,n}(x) \\ a_{2,1}(x) & a_{2,2}(x) & \dots & a_{2,n}(x) \\ \vdots & \vdots & \ddots & \vdots \\ a_{n,1}(x) & a_{n,2}(x) & \dots & a_{n,n}(x) \end{pmatrix}}_{A(x)} \underbrace{\begin{pmatrix} y_1(x) \\ y_2(x) \\ \vdots \\ y_n(x) \end{pmatrix}}_{\mathbf{y}(x)} = \underbrace{\begin{pmatrix} b_1(x) \\ b_2(x) \\ \vdots \\ b_n(x) \end{pmatrix}}_{\mathbf{b}(x)}$$

## Evaluation-Interpolation Algorithm

1. Evaluate  $A(x), \mathbf{b}(x)$  in  $\{\alpha_1, \dots, \alpha_L\}$ , ( $A(\alpha_j)$  full rank)
2. Compute  $y_j = A(\alpha_j)^{-1}\mathbf{b}(\alpha_j) = \frac{v(\alpha_j)}{d(\alpha_j)}$ ,
3. Interpolate  $\mathbf{y}(x)$  from  $\mathbf{y}_1, \dots, \mathbf{y}_L$



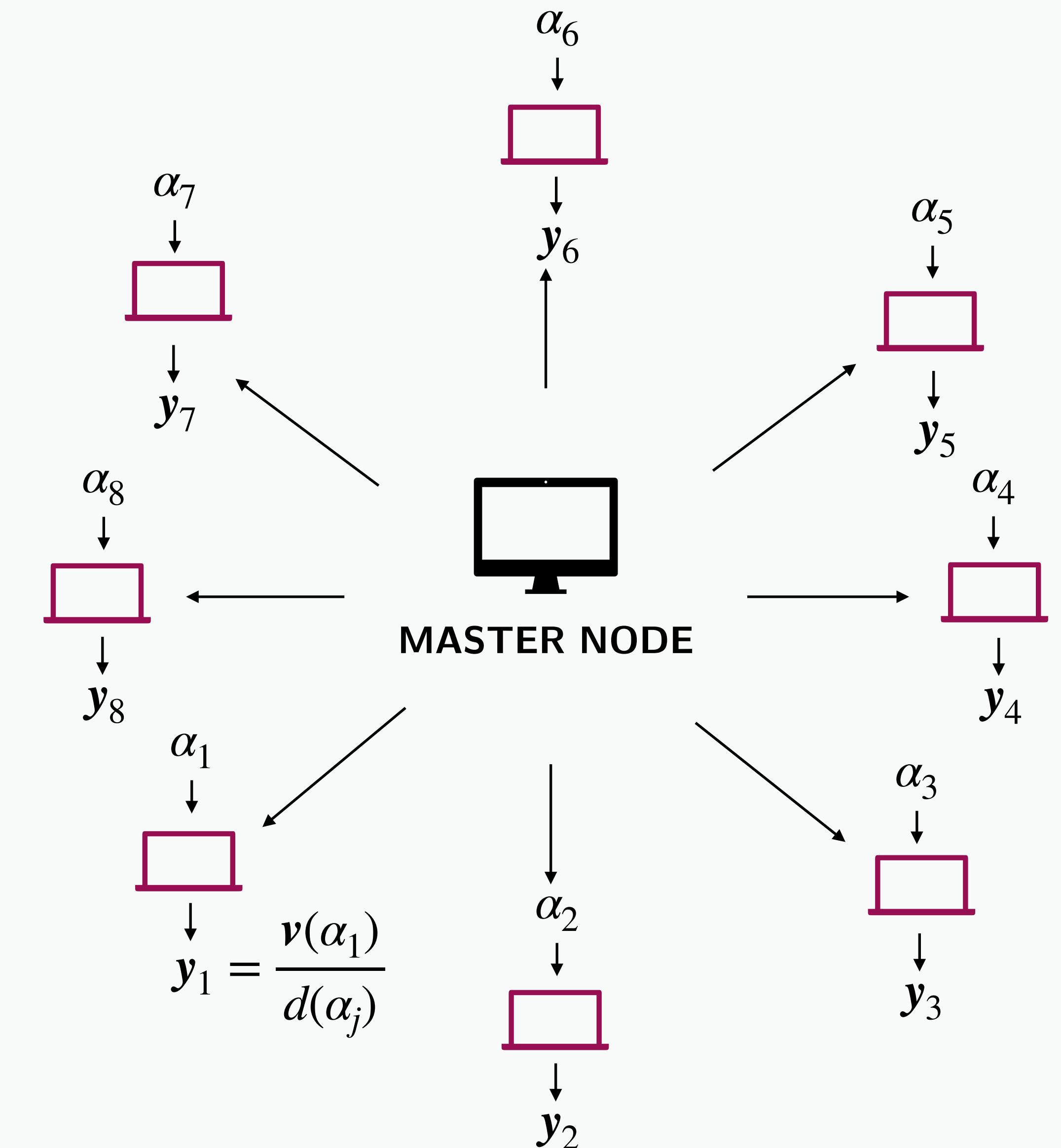
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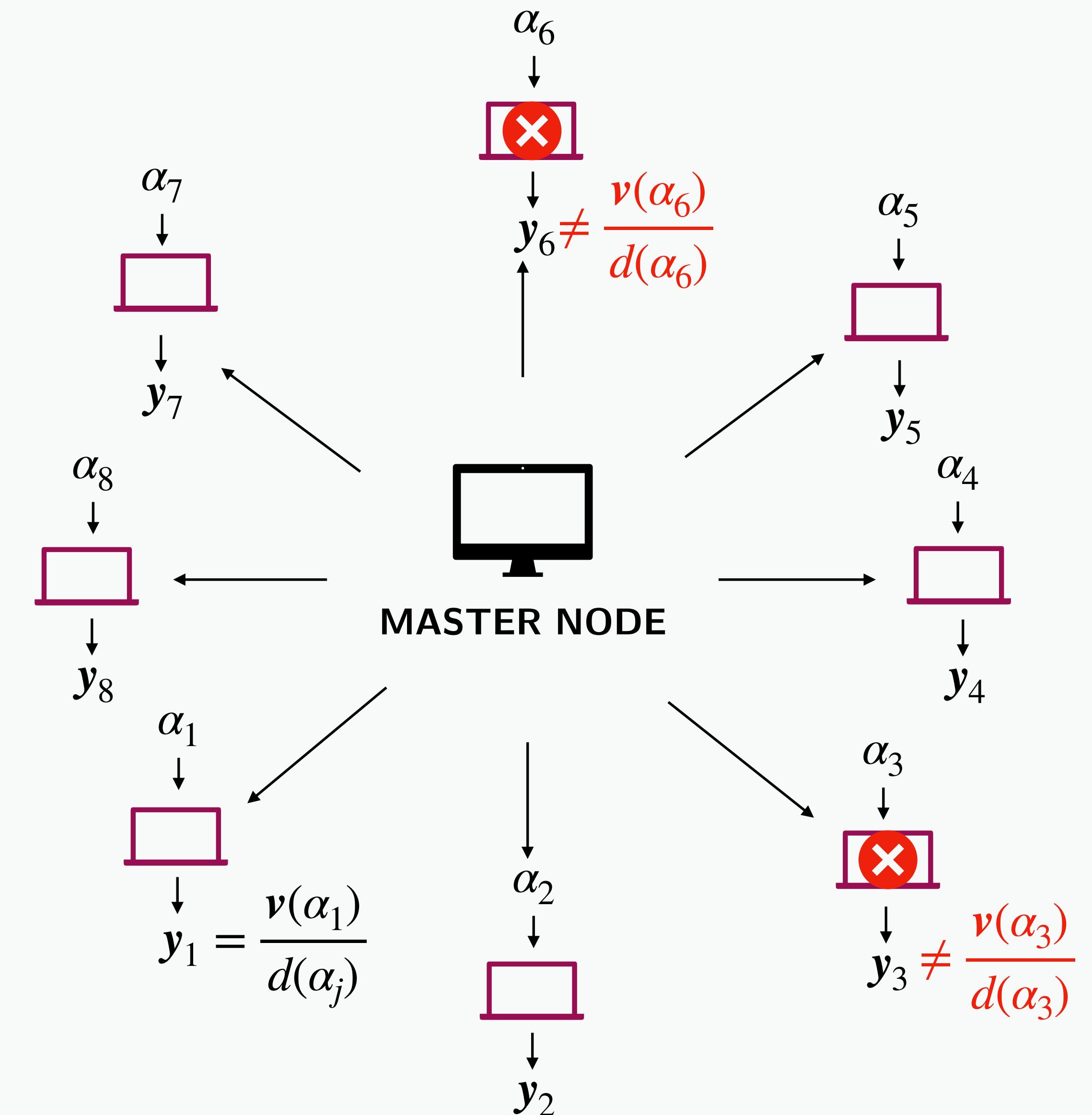
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# ABFT for PLS solving by Evaluation-Interpolation

ALGORITHM

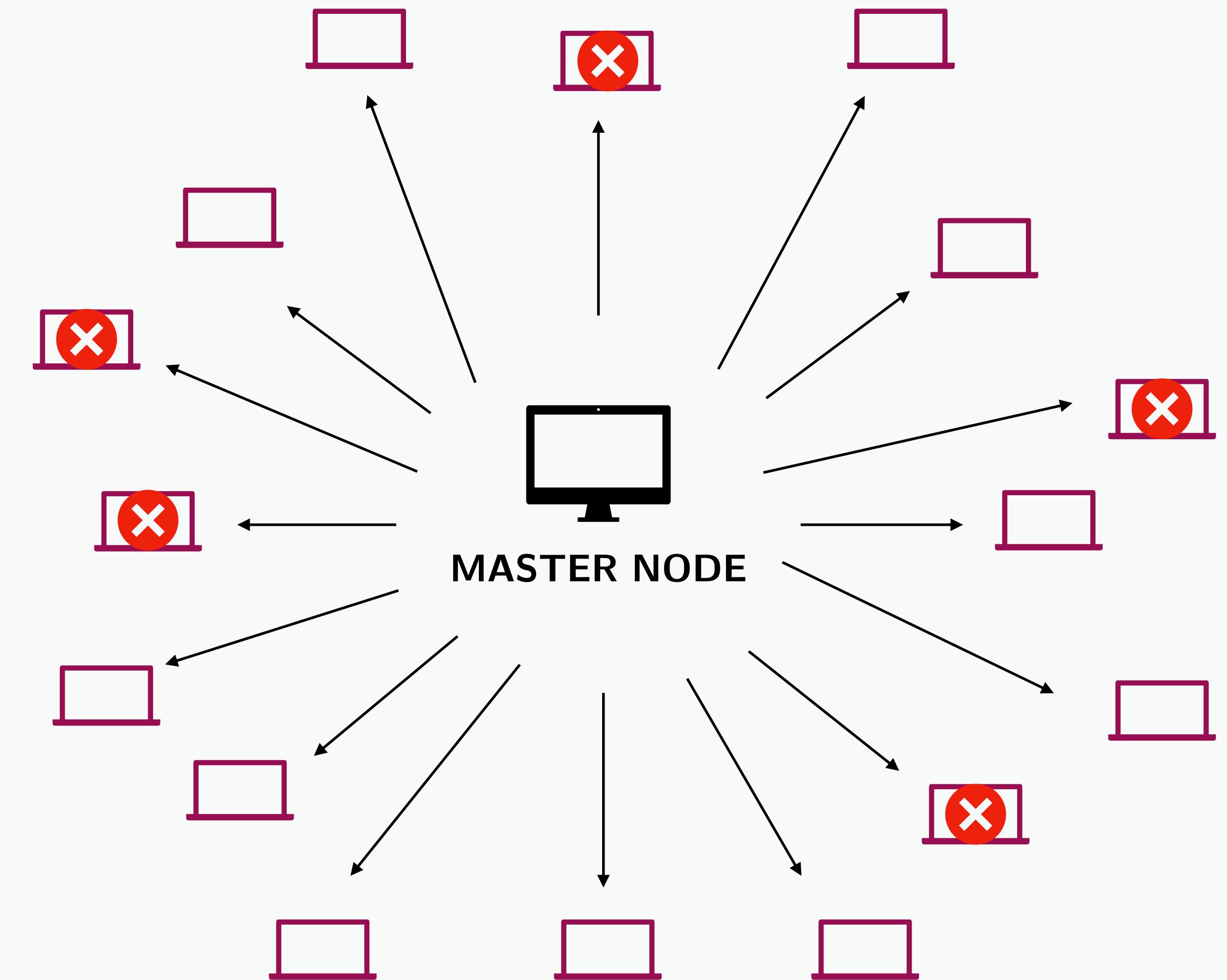


new  
ALGORITHM

- **adding** redundancy (encoding), consider many nodes
- decoding, correcting errors

## ABFT for Evaluation-Interpolation Algorithm

1. Evaluate  $A(x)$ ,  $\mathbf{b}(x)$  in many evaluation points
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# ABFT for PLS solving by Evaluation-Interpolation

ALGORITHM



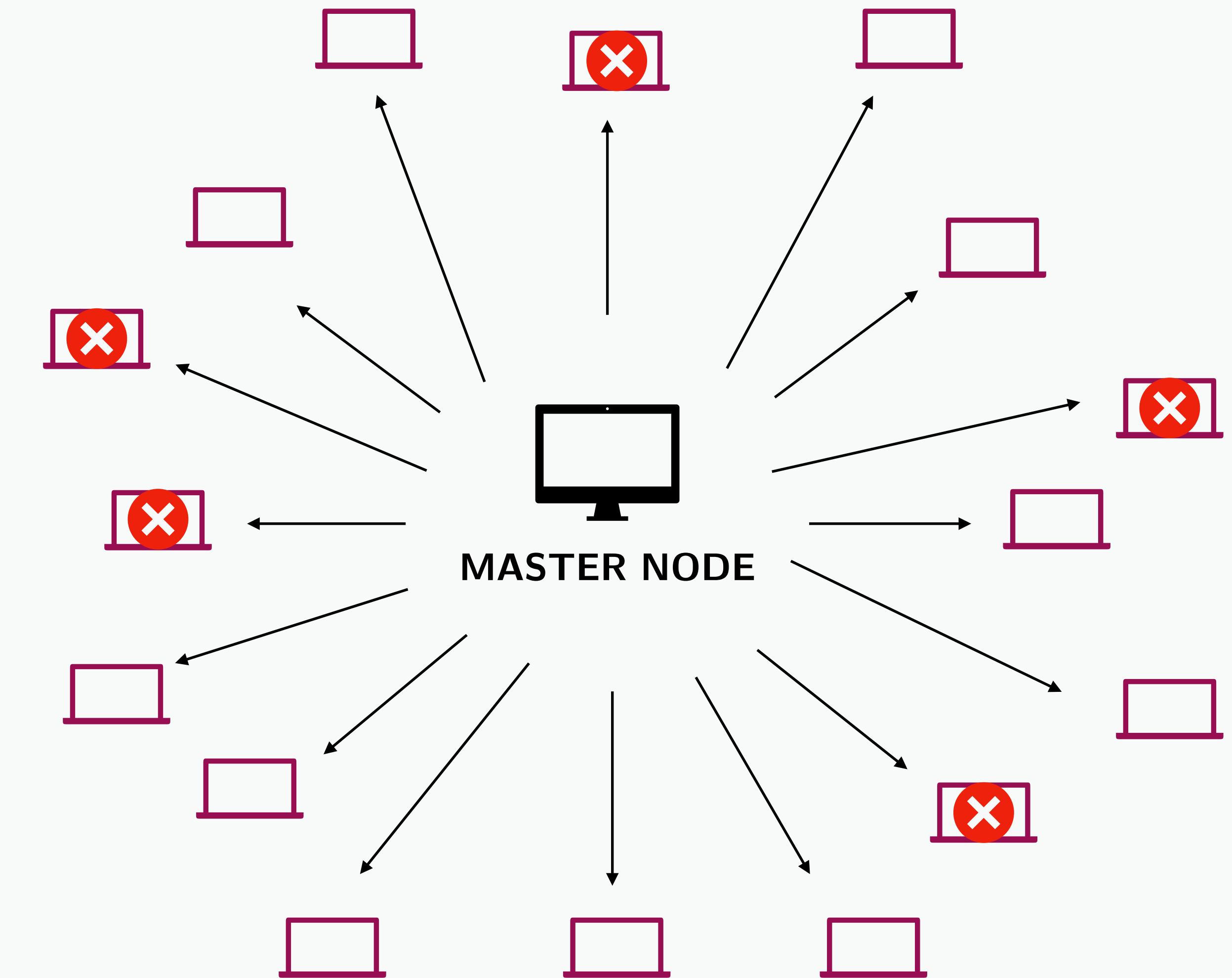
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Simultaneous Cauchy Interpolation with Errors



# Simultaneous Cauchy Interpolation with Errors

## Simultaneous Cauchy Interpolation with Errors

Given  $y_1, \dots, y_L$

- $y_j = \frac{v(\alpha_j)}{d(\alpha_j)}$  correct evaluations

- $y_j \neq \frac{v(\alpha_j)}{d(\alpha_j)}$  erroneous evaluations

the degree bounds  $N > \deg(v), D > \deg(d)$

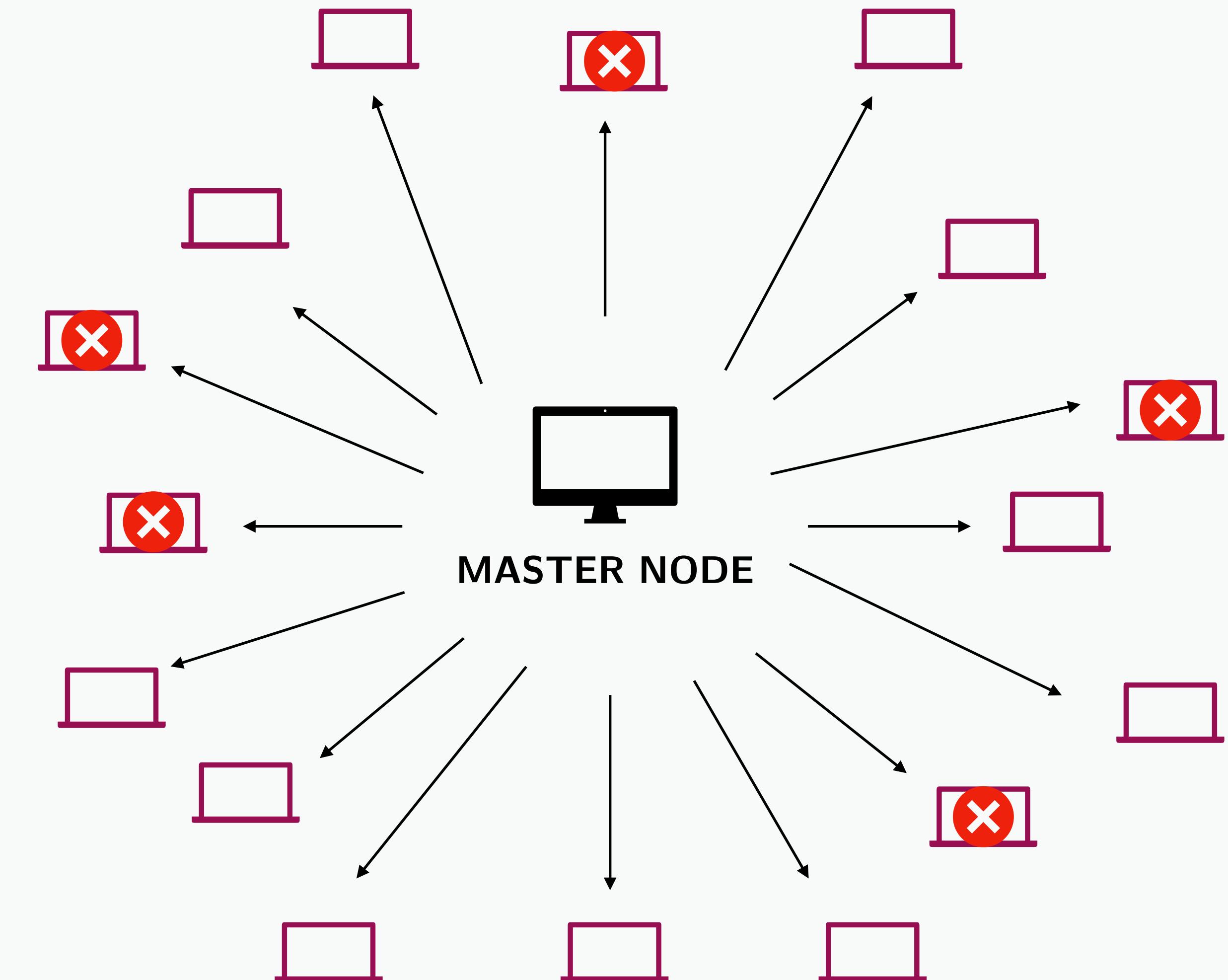
and an *upper bound  $\tau$  on the number of errors.*

GOAL: **reconstruct**  $(v(x), d(x)) \rightarrow y(x)$



**Simultaneous Cauchy Interpolation**

**Simultaneous Rational Function Reconstruction**



# Two Main Questions

- 1. How many evaluations (nodes) do we need to uniquely recover  $(v(x), d(x))$ ?**

fewer evaluations → fewer computations

- 2. Can we reduce this number?**

# Number of Evaluations - Outline of this work

		uniqueness	uniqueness <i>almost always</i>
no-errors	$(v(x), d(x))$	Cauchy Interpolation	[GUERRINI, LEBRETON, Z., 2020]
	$A(x) \frac{v(x)}{d(x)} = b(x)$	[CABAY, 1971]	?
with errors	$(v(x), d(x))$	$d$ constant ( $D = 0$ )	Unique Decoding Capability Theorem (IRS codes) IRS codes [BLEICHENBACHER, KIAYIAS, YUNG, 2003]
		$D > 0$	[BOYER, KALTOFEN, 2014 ]
	$A(x) \frac{v(x)}{d(x)} = b(x)$		[GUERRINI, LEBRETON, Z., 2019] [KALTOFEN, PERNET, STORJOHANN, WADDEL, 2017] [GUERRINI, LEBRETON, Z., 2020]

# Number of Evaluations - Outline of this work

		<b>uniqueness</b>	<b>uniqueness almost always</b>
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	$A(x) \frac{v(x)}{d(x)} = b(x)$	[KALTOFEN, PERNET, STORJOHANN, WADDEL, 2017]	 [GUERRINI, LEBRETON, Z., 2020]

## Simultaneous Cauchy Interpolation

Given the vectors  $\mathbf{y}_1, \dots, \mathbf{y}_L$

and the degree bounds  $N, D$

GOAL: **find**  $\underbrace{(\nu_1(x), \dots, \nu_n(x), d(x))}_{\nu(x)}$  s.t.

- $\nu_i(\alpha_j) = y_{i,j}d(\alpha_j)$
- $\deg(\nu_i) < N$
- $\deg(d) < D$



## Simultaneous Rational Function Reconstruction

# No-error case

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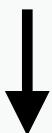
Vector Generalization  
**same denominator**

## Cauchy Interpolation

Given the evaluations  $y_1, \dots, y_L$   
and the degree bounds  $N, D$

GOAL: **find**  $(v(x), d(x))$  s.t.

- $\frac{v(\alpha_j)}{d(\alpha_j)} = y_j, d(\alpha_j) \neq 0$
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**Simultaneous** Rational Function Reconstruction

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**Simultaneous** Rational Function Reconstruction



Rational Function Reconstruction

If the number of evaluations  $L \geq N + D - 1$

**Unique** solution

$$(v_1, d_1), (v_2, d_2) \text{ solutions} \longrightarrow \frac{v_1}{d_1} = \frac{v_2}{d_2}$$

# No-error case

## Simultaneous Cauchy Interpolation

Given the vectors  $\mathbf{y}_1, \dots, \mathbf{y}_L$   
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Vector Generalization  
**same denominator**

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1. Apply the **Cauchy Interpolation** component-wise ( $L \geq N + D - 1 \longrightarrow \text{uniqueness}$ )

# No-error case

## Simultaneous Cauchy Interpolation

Given the vectors  $\mathbf{y}_1, \dots, \mathbf{y}_L$   
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Vector Generalization  
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1. Apply the **Cauchy Interpolation** component-wise ( $L \geq N + D - 1 \rightarrow \text{uniqueness}$ )
2. Use the **common denominator feature** to reduce the number of equations of the related **homogeneous linear system**

$$\begin{array}{ccc} \# \text{equations} & = & \# \text{unknowns} - 1 \\ nL & & nN + D \end{array} \rightarrow L = N + (D - 1)/n$$

uniqueness?

# No-error case

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Vector Generalization  
**same denominator**

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If we want to recover a solution of a PLS:

- with  $L \geq \max\{\deg(A) + N, \deg(b) + D\}$   $\longrightarrow$  **uniqueness** [CABAY, 1971]

# No-error case

## Simultaneous Cauchy Interpolation

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If we want to recover a solution of a PLS:

- with  $L \geq \max\{\deg(A) + N, \deg(b) + D\}$   $\rightarrow$  **uniqueness** [CABAY, 1971]
- for **specific degree constraints**  $N, D$   $\max\{\deg(A) + N, \deg(b) + D\} = N + (D - 1)/n$   $\rightarrow$  **uniqueness**  
[OLESH, STORJOHANN, 2007]

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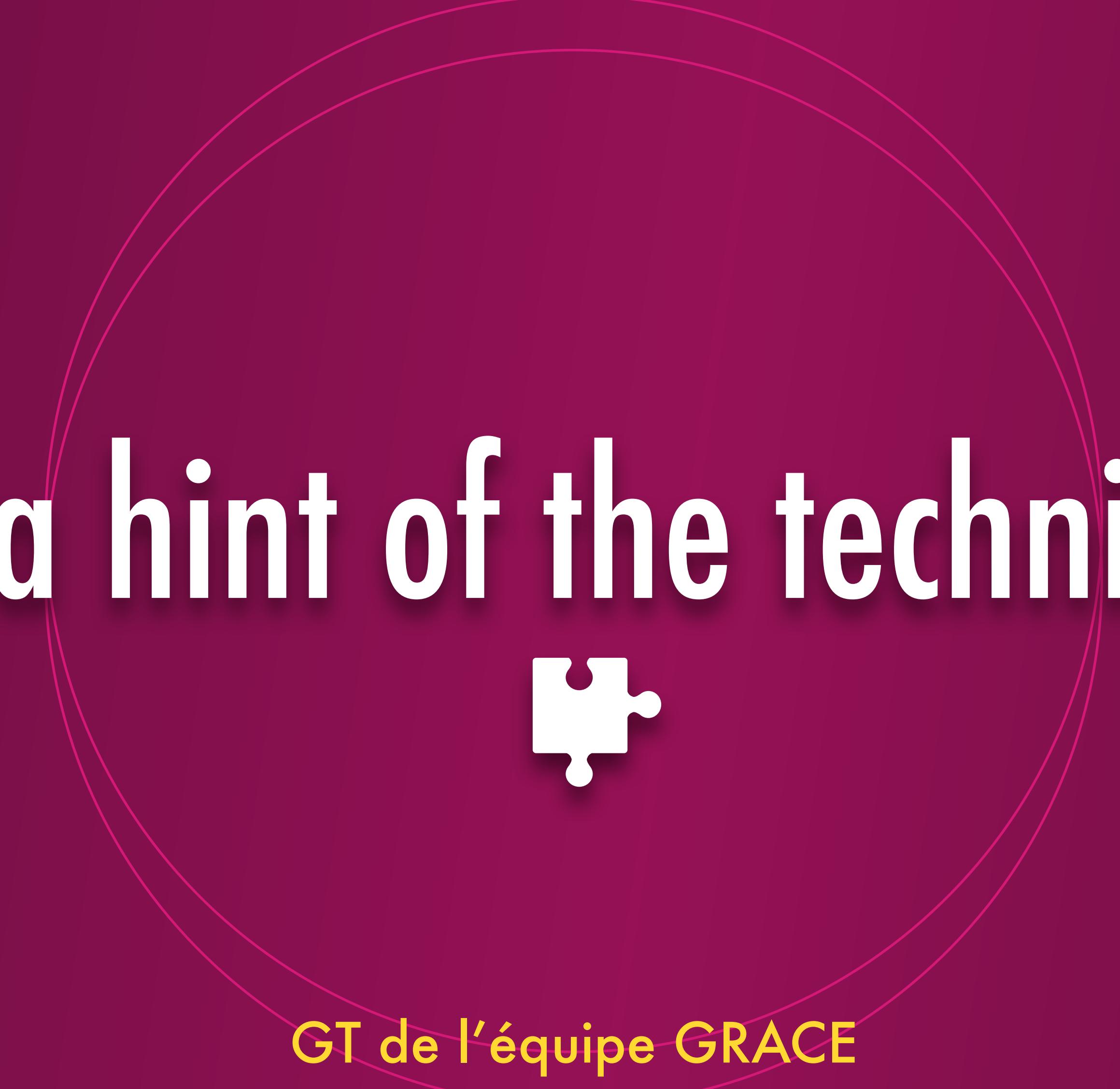
- $v(\alpha_j) = y_jd(\alpha_j)$
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**Theorem** [GUERRINI, LEBRETON, Z., 2020]

If  $L = N + (D - 1)/n$ , **for almost all instances**  $\Rightarrow$  **uniqueness**.

If  $\mathbb{K} = \mathbb{F}_q$ , the **proportion of instances** leading to **non-uniqueness** is  $\leq (D - 1)/q$



Just a hint of the technique...



GT de l'équipe GRACE

December 1, 2020

## Simultaneous Cauchy Interpolation

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 **Theorem** [GUERRINI, LEBRETON, Z., 2020]

If  $L = \deg(a) = N + (D - 1)/n$ , for almost all instances  $\Rightarrow$  uniqueness.

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# No-error case

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Given the vectors  $\mathbf{y}_1, \dots, \mathbf{y}_L$   
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GOAL: find  $(\underbrace{v_1(x), \dots, v_n(x)}, d(x))$  s.t.

- $v_i(x) = \underbrace{u_i(x)d(x)}_{v(x)} \bmod \prod (x - a_j)$
- $\deg(v_i) < N$
- $\deg(d) < D$        $\mathbf{u}(x)$  vector of Lagrange interpolators

→  
Specific case of

## Simultaneous Rational Function Reconstruction

Given two vector of polynomials  $\mathbf{u}(x), \mathbf{a}(x)$   
and the degree bounds  $N, D$

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☞ **Theorem** [GUERRINI, LEBRETON, Z., 2020]

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# No-error case

## Simultaneous Rational Function Reconstruction

Given two vector of polynomials  $\mathbf{u}(x), \mathbf{a}(x)$  and the degree bounds  $N, D$

GOAL: find  $(\underbrace{\mathbf{v}(x)}, \dots, \mathbf{v}_n(x), d(x))$  s.t.

- $v_i(x) = u_i(x)d(x) \bmod a_i(x)$
- $\deg(v_i) < N$
- $\deg(d) < D$

$$(\mathbf{v}, d) \underbrace{\begin{pmatrix} 1 & 0 & \dots & 0 \\ 0 & 1 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & 1 \\ -u_1 & -u_2 & \dots & -u_n \end{pmatrix}}_{R_u} = 0 \bmod \underbrace{\langle (0, \dots, a_i, \dots 0) \rangle}_{\mathcal{M}} \iff (\mathbf{v}, d) \in \mathcal{A}_{R_u}$$

$$\mathcal{A}_{R_u} = \{p = (p_1(x), \dots, p_n(x)) \mid p R_u = \mathbf{0} \bmod \mathcal{M}\}$$

**Relation module**

 **Theorem** [GUERRINI, LEBRETON, Z., 2020]

If  $L = \deg(\mathbf{a}) = N + (D - 1)/n$ , for almost all instances  $\Rightarrow$  uniqueness.

If  $\mathbb{K} = \mathbb{F}_q$ , the proportion of instances leading to non-uniqueness is  $\leq (D - 1)/q$

# No-error case

## Simultaneous Rational Function Reconstruction

Given two vector of polynomials  $\mathbf{u}(x), \mathbf{a}(x)$   
and the *degree bounds*  $N, D$

GOAL: find  $(v_1(x), \dots, v_n(x), d(x))$  s.t.

- $v_i(x) = \underbrace{u_i(x)d(x)}_{v(x)} \bmod a_i(x)$
- $\deg(v_i) < N$
- $\deg(d) < D$

$$(\mathbf{v}, d) \begin{pmatrix} 1 & 0 & \dots & 0 \\ 0 & 1 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & 1 \\ -u_1 & -u_2 & \dots & -u_n \end{pmatrix} = 0 \bmod \langle (0, \dots, a_i, \dots, 0) \rangle \iff (\mathbf{v}, d) \in \mathcal{A}_{R_u}$$

$$\text{take the max} \\ (\deg(v_1) - N, \dots, \deg(v_n) - N, \deg(d) - D) \rightarrow r\deg_{(-N, \dots, -N, -D)}(\mathbf{v}, d) < 0 \\ < 0 \quad < 0 \quad < 0$$

☞ **Theorem** [GUERRINI, LEBRETON, Z., 2020]

If  $L = \deg(\mathbf{a}) = N + (D - 1)/n$ , **for almost all instances**  $\Rightarrow$  **uniqueness**.

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- $(\mathbf{v}, d) \in \mathcal{A}_{R_u}$
- $rdeg_{(-N, \dots, -N, -D)}(\mathbf{v}, d) < 0$

## How to prove uniqueness?

- **Minimal basis**  $\mathcal{B}$  of  $\mathcal{A}_{R_u}$ , for which the ***s*-row degrees** are **uniquely defined**  
(Ordered Weak Popov)
- **Solution space generated** by **elements of  $\mathcal{B}$**  the with **negative *s*-row degrees**

$\downarrow$   
 **$(-N, \dots, -N, -D)$ -row degrees** of  $\mathcal{B}$  of the form  $(0, 0, \dots, -1)$

$\downarrow$   
**Solution space uniquely generated  $\Rightarrow$  uniqueness**

 **Theorem** [GUERRINI, LEBRETON, Z., 2020]

If  $L = \deg(\mathbf{a}) = N + (D - 1)/n$ , **for almost all instances**,  $rdeg_{(-N, \dots, -N, -D)}(\mathcal{B}) = (0, \dots, 0, -1)$

If  $\mathbb{K} = \mathbb{F}_q$ , the **proportion of instances** leading to **non-uniqueness** is  $\leq (D - 1)/q$

# Number of Evaluations - Outline of this work

		uniqueness	uniqueness <i>almost always</i>
no-errors	$(v(x), d(x))$	$L = N + D - 1$ Cauchy Interpolation	 $L = N + \left\lceil \frac{(D-1)}{n} \right\rceil$
	$A(x) \frac{v(x)}{d(x)} = b(x)$	$L = \max\{\deg(A) + N, \deg(b) + D\}$ [CABAY, 1971]	
with errors	$(v(x), d(x))$	$d$ constant ( $D = 0$ )	Unique Decoding Capability Theorem (IRS codes) IRS codes [BLEICHENBACHER, KIAYIAS, YUNG, 2003]
			[BOYER, KALTOFEN, 2014 ]
	$A(x) \frac{v(x)}{d(x)} = b(x)$	$D > 0$	[KALTOFEN, PERNET, STORJOHANN, WADDEL, 2017]  [GUERRINI, LEBRETON, Z., 2019]  [GUERRINI, LEBRETON, Z., 2020]

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	$(v(x), d(x))$	[BOYER, KALTOFEN, 2014 ]	 [GUERRINI, LEBRETON, Z., 2019]
	$A(x) \frac{v(x)}{d(x)} = b(x)$	[KALTOFEN, PERNET, STORJOHANN, WADDEL, 2017]	 [GUERRINI, LEBRETON, Z., 2020]

# Simultaneous Cauchy Interpolation with Errors

## Simultaneous Cauchy Interpolation with Errors

Given  $\mathbf{y}_1, \dots, \mathbf{y}_L$

- $\mathbf{y}_j = \frac{\mathbf{v}(\alpha_j)}{d(\alpha_j)}$  correct evaluations
- $\mathbf{y}_j \neq \frac{\mathbf{v}(\alpha_j)}{d(\alpha_j)}$  erroneous evaluations

the degree bounds  $N > \deg(\mathbf{v})$ ,  $D > \deg(d)$

and an *upper bound  $\tau$  on the number of errors.*

GOAL: **reconstruct**  $(\mathbf{v}(x), d(x)) \rightarrow \mathbf{y}(x)$

# Simultaneous Interpolation with Errors (D=0)

## Simultaneous Interpolation with Errors

Given  $y_1, \dots, y_L$

- $y_j = v(a_j)$  correct evaluations
- $y_j \neq v(a_j)$  erroneous evaluations

the degree bounds  $N > \deg(v)$

and an *upper bound  $\tau$  on the number of errors.*

GOAL: **reconstruct  $v(x)$**

What happens if the denominator is constant?

GOAL: reconstruct a **vector of polynomials** given its **evaluations**,  
**some of which are erroneous**



decoding **Interleaved Reed-Solomon** codes

Algebraic coding theory



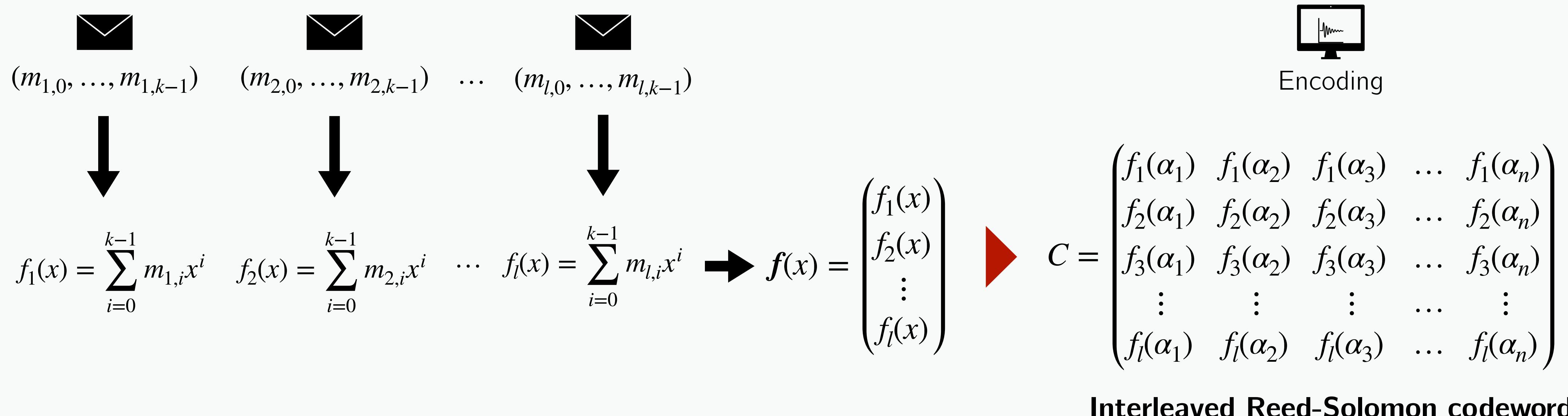
# Interleaved Reed-Solomon codes

## Interleaved Reed-Solomon Codes

Let  $k \leq n \leq q$  and  $\{\alpha_1, \dots, \alpha_n\}$  distinct evaluation points,

$$\mathcal{C}_{IRS}(n, k) := \{(f(\alpha_1), \dots, f(\alpha_n)) \mid f \in \mathbb{F}_q[x]^{l \times 1}, \deg(f) < k\}$$

The IRS code is an **MDS code**  
the **minimum distance** is  $d = n - k + 1$



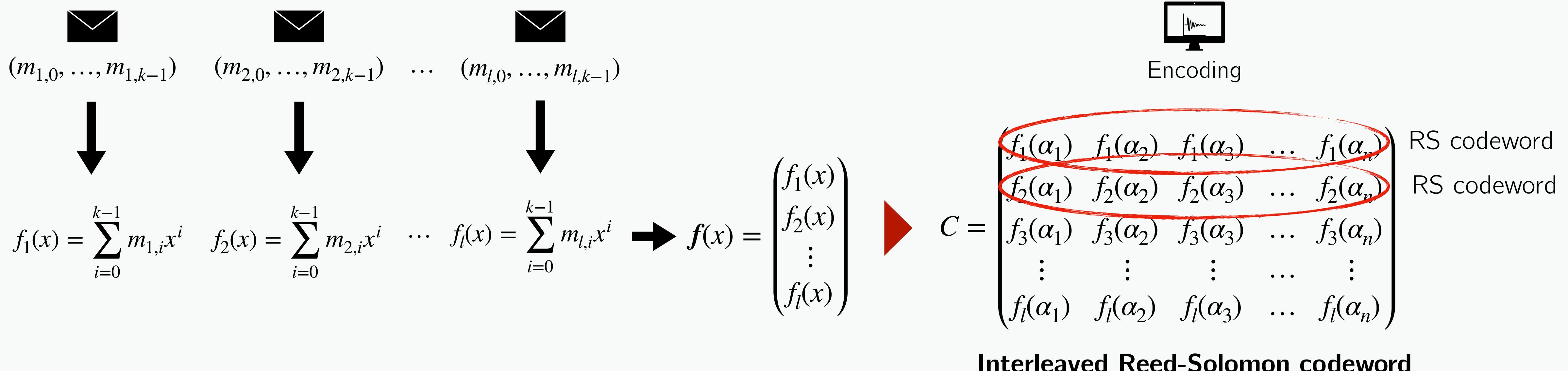
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Encoding



Channel

$$C = \begin{pmatrix} f_1(\alpha_1) & f_1(\alpha_2) & f_1(\alpha_3) & \dots & f_1(\alpha_n) \\ f_2(\alpha_1) & f_2(\alpha_2) & f_2(\alpha_3) & \dots & f_2(\alpha_n) \\ f_3(\alpha_1) & f_3(\alpha_2) & f_3(\alpha_3) & \dots & f_3(\alpha_n) \\ \vdots & \vdots & \vdots & \dots & \vdots \\ f_l(\alpha_1) & f_l(\alpha_2) & f_l(\alpha_3) & \dots & f_l(\alpha_n) \end{pmatrix} \quad \left( \begin{matrix} f_1(\alpha_1) \\ f_2(\alpha_1) \\ f_3(\alpha_1) \\ \vdots \\ f_l(\alpha_1) \end{matrix} \right)$$

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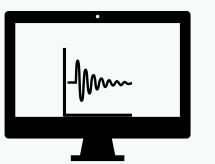
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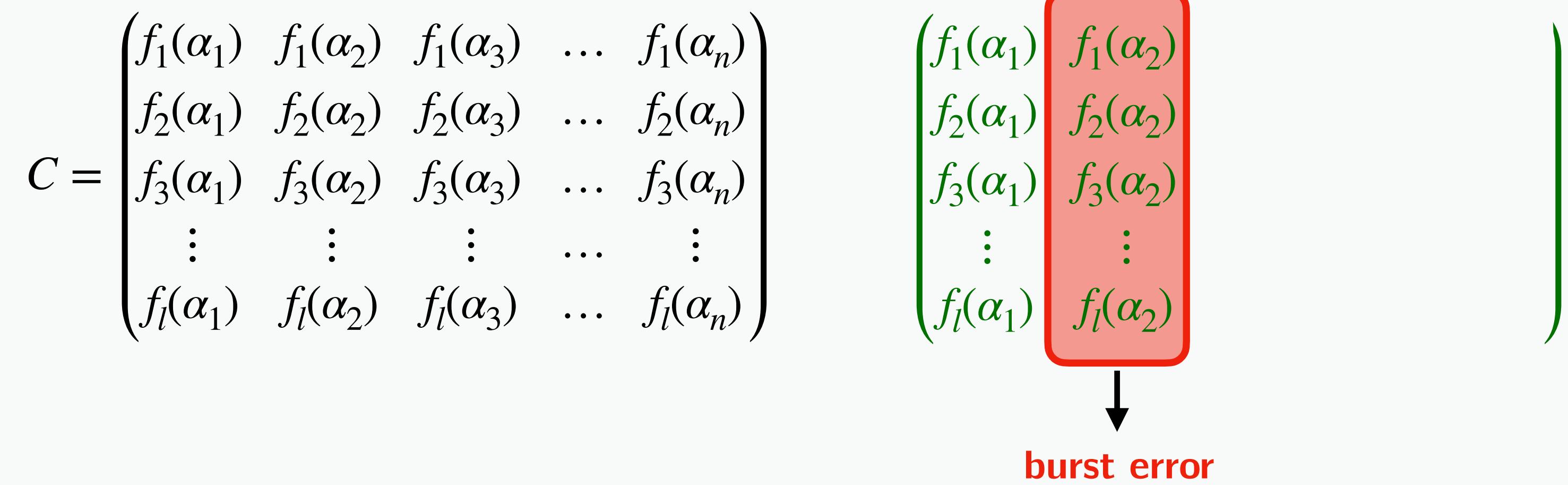
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**burst error**

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Decoding

$$Y = \begin{pmatrix} f_1(\alpha_1) & f_1(\alpha_2) & f_1(\alpha_3) & \dots & f_1(\alpha_n) \\ f_2(\alpha_1) & f_2(\alpha_2) & f_2(\alpha_3) & \dots & f_2(\alpha_n) \\ f_3(\alpha_1) & f_3(\alpha_2) & f_3(\alpha_3) & \dots & f_3(\alpha_n) \\ \vdots & \vdots & \vdots & \dots & \vdots \\ f_l(\alpha_1) & f_l(\alpha_2) & f_l(\alpha_3) & \dots & f_l(\alpha_n) \end{pmatrix}$$

↓  
**burst error**

The IRS code is an **MDS code**  
the **minimum distance** is  $d = n - k + 1$

## Decoding IRS codes

Given the received matrix with columns  $\mathbf{y}_1, \dots, \mathbf{y}_n$

- $\mathbf{y}_j = f(\alpha_j)$  correct evaluations
- $\mathbf{y}_j \neq f(\alpha_j)$  erroneous evaluations

the *degree bound*  $k > \deg(f)$   
and an *upper bound*  $\tau$  on the number of errors.

GOAL: **reconstruct  $f(x)$**

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Decoding

this is exactly our starting point!

Simultaneous Interpolation with Errors

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Given the vectors  $\mathbf{y}_1, \dots, \mathbf{y}_n$

and the *degree bounds  $\tau + k, \tau + 1$*

GOAL: **find  $(\varphi_1(x), \dots, \varphi_l(x), \psi(x))$  s.t.**

- $\overbrace{\quad\quad\quad}^{\varphi(x)}$
- $\varphi_i(\alpha_j) = y_{i,j}\psi(\alpha_j)$
  - $\deg(\varphi_i) < \tau + k$
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## Simultaneous Rational Function Reconstruction

# Decoding Interleaved Reed-Solomon codes

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## Simultaneous Cauchy Interpolation

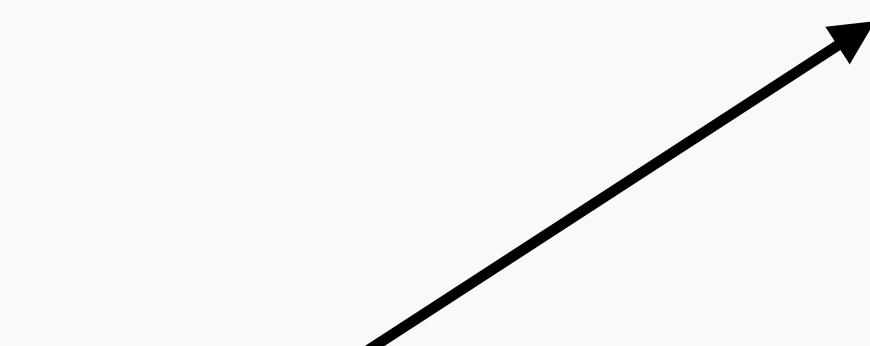
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$$\underbrace{\varphi(x)}_{\varphi(x)}$$

- $\Lambda(\alpha_j)f_i(\alpha_j) = y_{i,j}\Lambda(\alpha_j)$
- $\deg(\Lambda f) < \tau + k$
- $\deg(\Lambda) < \tau + 1$



$(\Lambda(x)f(x), \Lambda(x))$

solution

$$\Lambda(x) = \prod_{\alpha_j \text{ erroneous}} (x - \alpha_j)$$

Error Locator Polynomial

roots = erroneous evaluation points

$\deg(\Lambda)$  = nb errors

# Decoding Interleaved Reed-Solomon codes

## Simultaneous Interpolation with Errors

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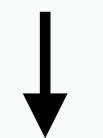
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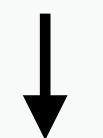
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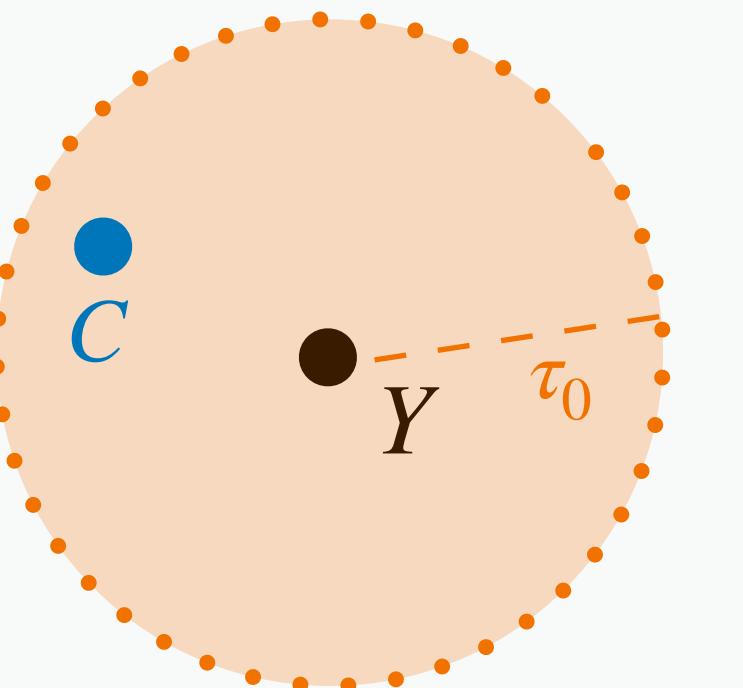


uniqueness

$(\Lambda(x)f(x), \Lambda(x))$

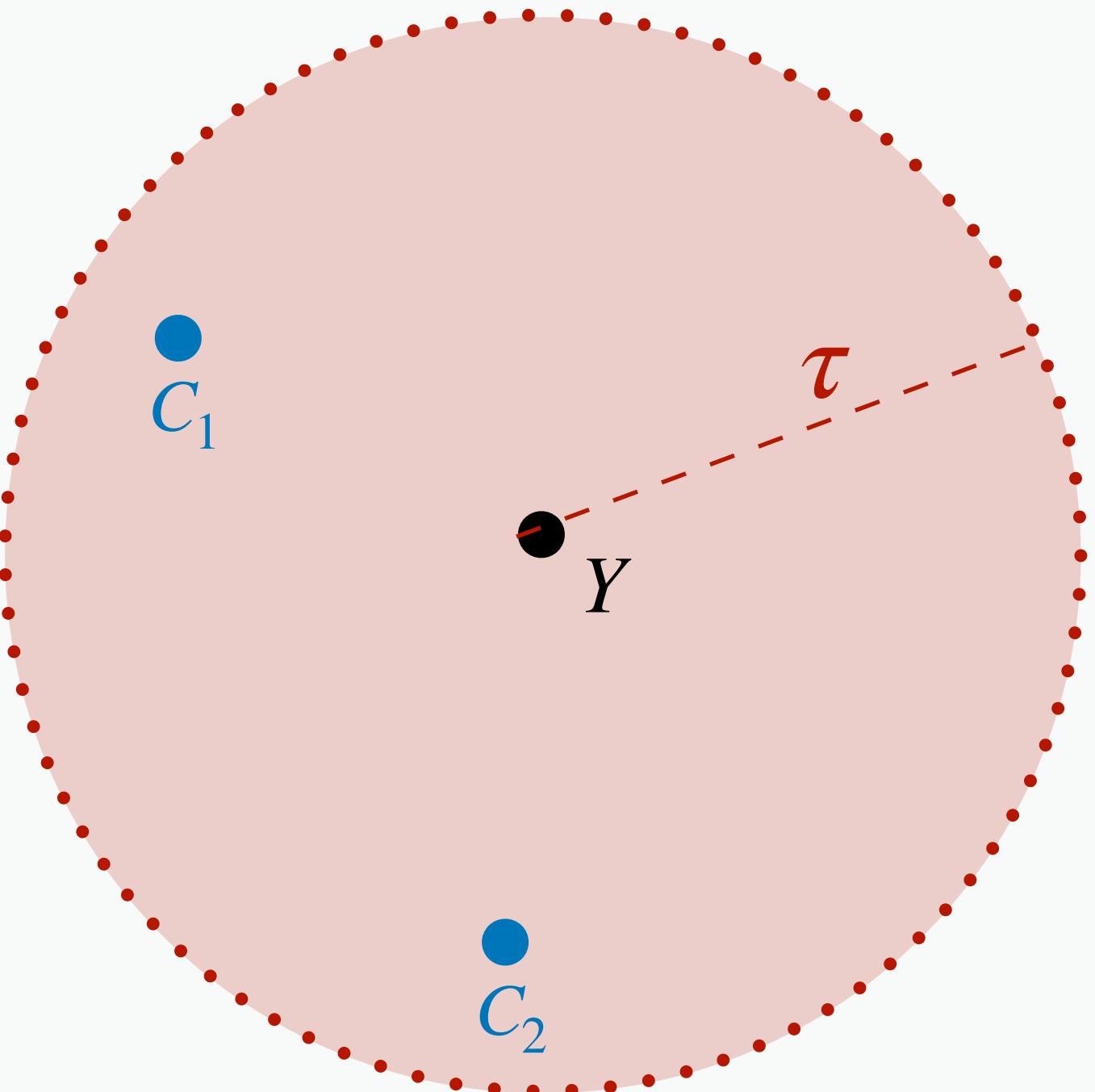


unique decoding



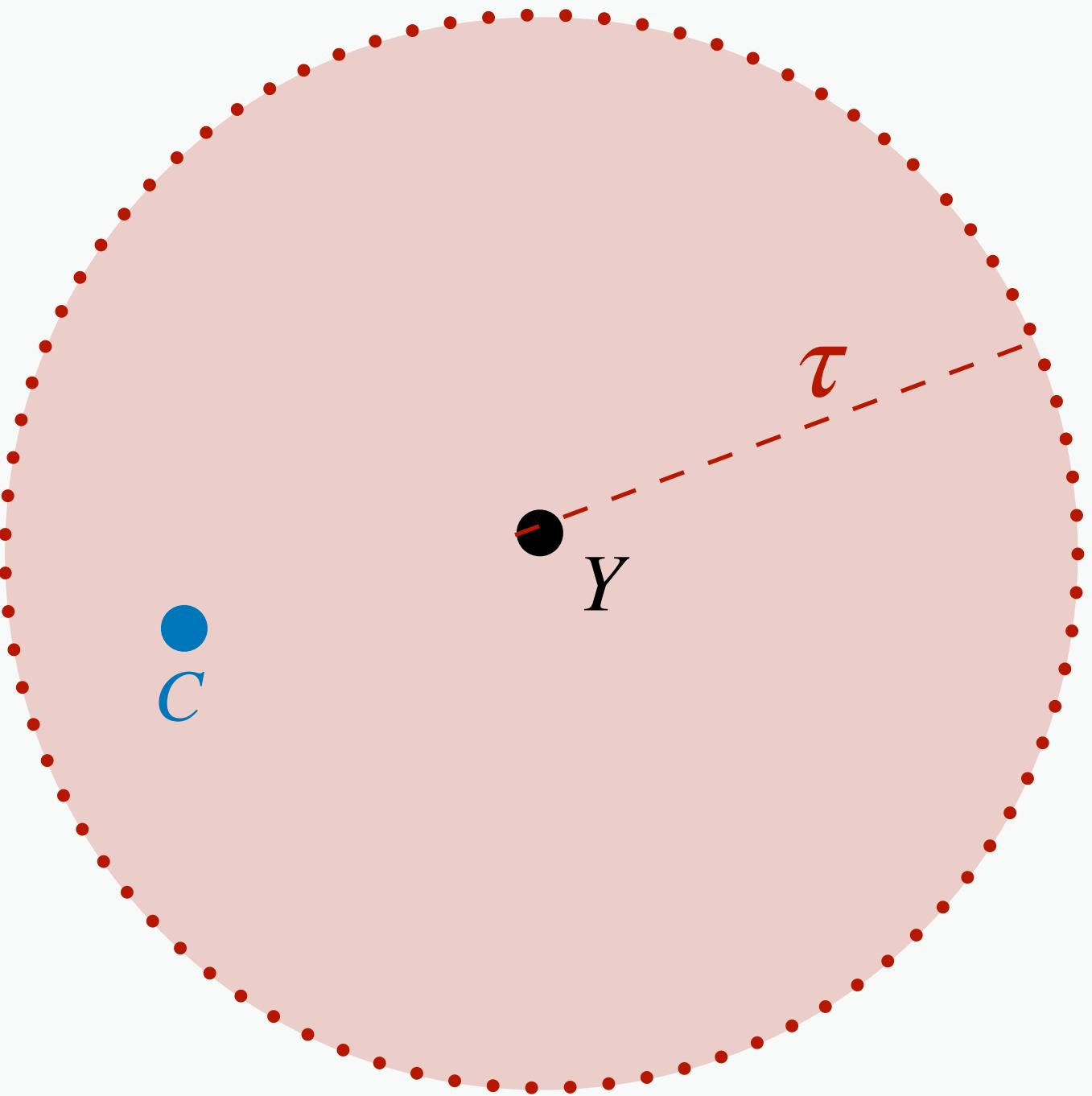
### 1. Unique decoding Capability

$$\text{nb errors} \leq \frac{n - k}{2} = \frac{d - 1}{2} := \tau_0 \longrightarrow \text{unique decoding}$$



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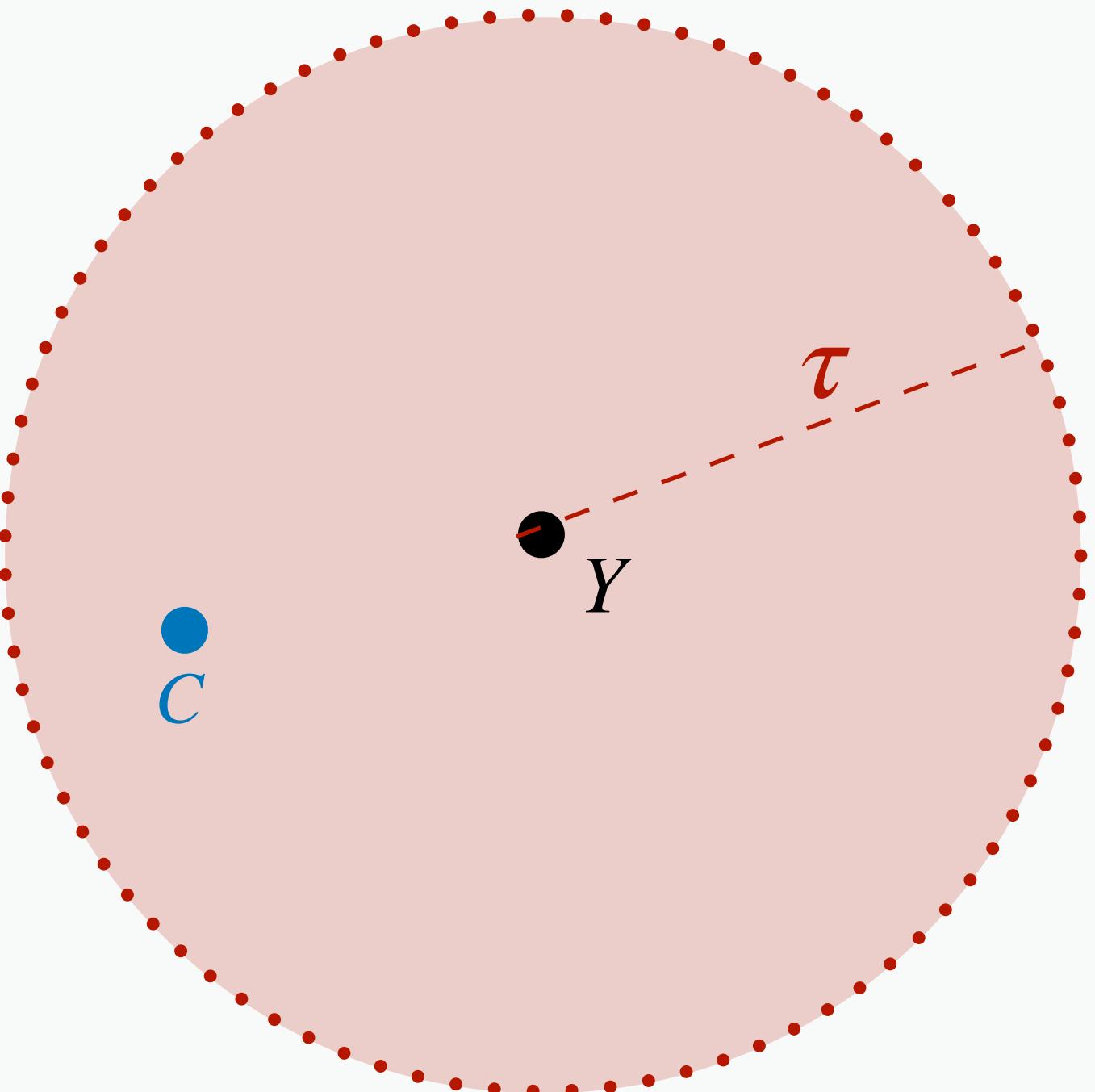
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$$\text{nb errors} \leq \frac{l(n-k)}{l+1} =: \tau_{IRS} \rightarrow \begin{array}{l} \text{uniqueness} \\ \text{for almost all} \\ \text{error patterns} \end{array}$$

The proportion of error patterns leading to non-uniqueness  $\leq \text{nb errors}/q$



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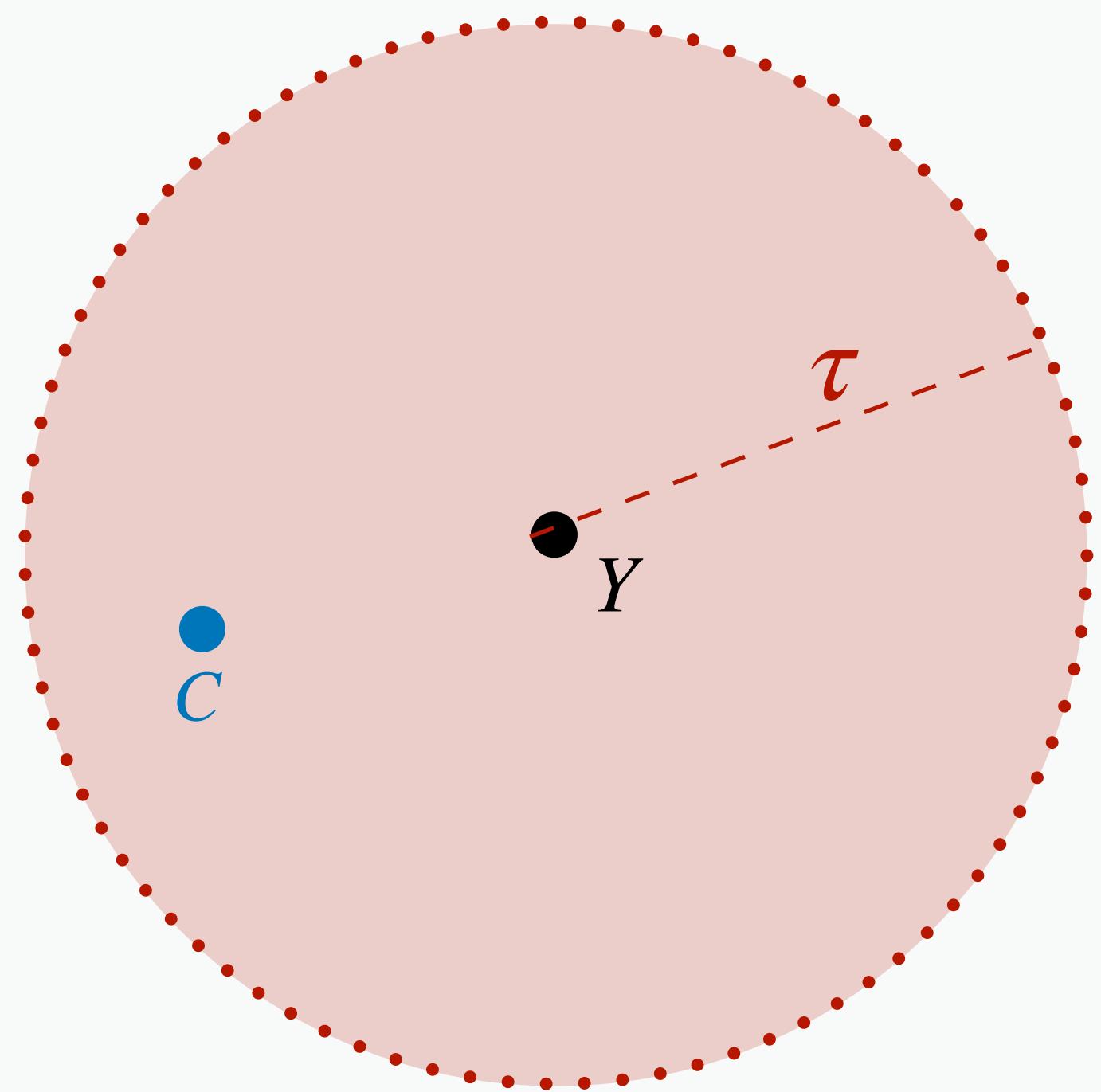
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does not depend on errors,  $\mathcal{O}(1/q)$

[BROWN, MINDER, SHOKROLLAHI, 2004]  
 [SCHIMDT, SIDORENKO, BOSSERT, 2009]  
 [PUCHINGER, ROSENKILDE, 2017]

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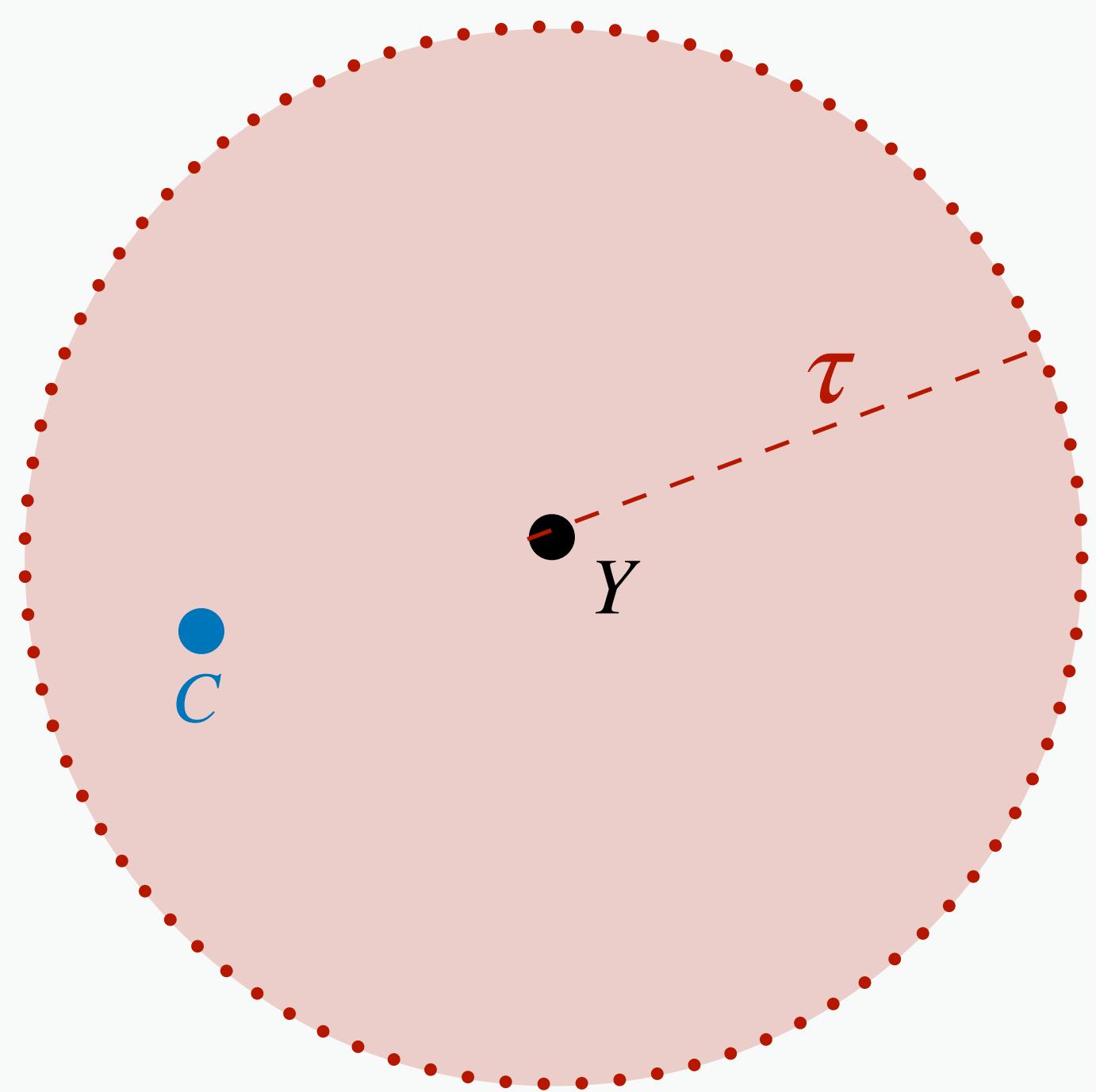
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$$n = \tau + k + \tau \iff \text{nb errors} \leq \frac{n-k}{2} =: \tau_0 \rightarrow \text{uniqueness}$$



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$$n = \tau + k + \tau \iff \text{nb errors} \leq \frac{n-k}{2} =: \tau_0 \rightarrow \text{uniqueness}$$

2. Common denominator feature

$$n = k + \left\lceil \frac{\tau}{l} \right\rceil + \tau \iff \text{nb errors} \leq \frac{l(n-k)}{l+1} =: \tau_{IRS} \rightarrow \begin{array}{l} \text{uniqueness} \\ \text{for almost all} \\ \text{error patterns} \end{array}$$

# Simultaneous Cauchy Interpolation with Errors

## Simultaneous Interpolation with Errors

Reconstruct a **vector of polynomials**  
by its evaluations, some erroneous

I

decoding IRS codes



## Simultaneous Cauchy Interpolation

# Simultaneous Cauchy Interpolation with Errors

## Simultaneous Interpolation with Errors

Reconstruct a **vector of polynomials**  
by its evaluations, some erroneous



decoding IRS codes

## Simultaneous Cauchy Interpolation with Errors

Reconstruct a **vector of rational functions**  
by its evaluations, some erroneous

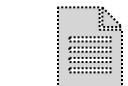


## Simultaneous Cauchy Interpolation

# Number of Evaluations - Outline of this work

		uniqueness	uniqueness <i>almost always</i>
no-errors	$(v(x), d(x))$	$L = N + D - 1$ Cauchy Interpolation	 $L = N + \left\lceil \frac{(D-1)}{n} \right\rceil$
	$A(x) \frac{v(x)}{d(x)} = b(x)$	$L = \max\{\deg(A) + N, \deg(b) + D\}$ [CABAY, 1971]	
with errors	$(v(x), d(x))$	$d$ constant ( $D = 0$ )	$L = N - 1 + 2\tau$ Unique Decoding Capability Theorem (IRS codes)  $L = N - 1 + \left\lceil \frac{\tau}{n} \right\rceil + \tau$ [BLEICHENBACHER, KIAYIAS, YUNG, 2003]
		$D > 0$	[BOYER, KALTOFEN, 2014 ]   [GUERRINI, LEBRETON, Z., 2019]
	$A(x) \frac{v(x)}{d(x)} = b(x)$		 [GUERRINI, LEBRETON, Z., 2020]

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		uniqueness	uniqueness <i>almost always</i>
no-errors	$(v(x), d(x))$	$L = N + D - 1$ Cauchy Interpolation	 $L = N + \left\lceil \frac{(D-1)}{n} \right\rceil$
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	$A(x) \frac{v(x)}{d(x)} = b(x)$		 [GUERRINI, LEBRETON, Z., 2019]   [GUERRINI, LEBRETON, Z., 2020]

# Simultaneous Cauchy Interpolation with Errors

## Simultaneous Cauchy Interpolation with Errors

Given  $y_1, \dots, y_L$

- $y_j = \frac{v(\alpha_j)}{d(\alpha_j)}$  correct evaluations

- $y_j \neq \frac{v(\alpha_j)}{d(\alpha_j)}$  erroneous evaluations

the degree bounds  $N > \deg(v), D > \deg(d)$

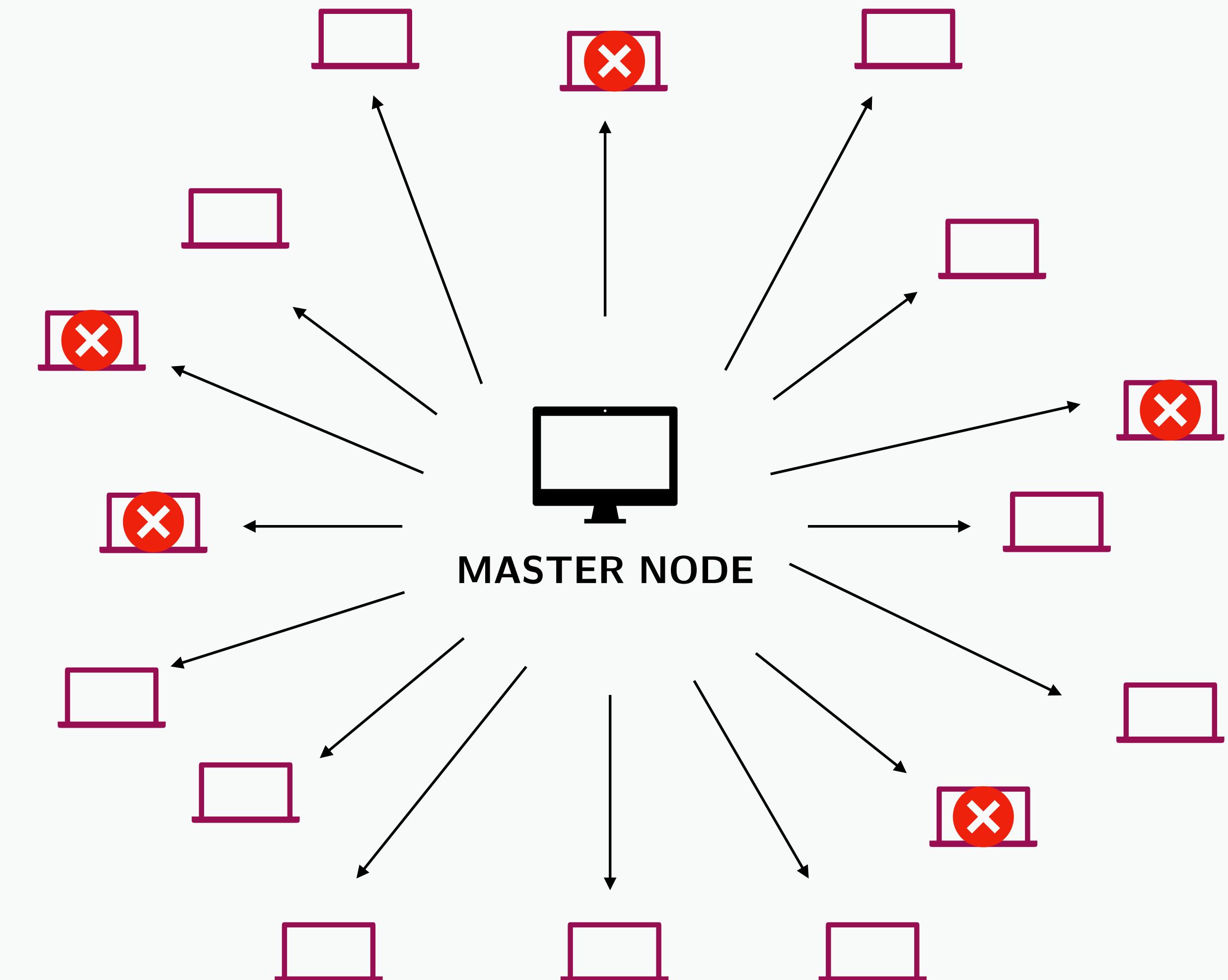
and an *upper bound  $\tau$  on the number of errors.*

GOAL: **reconstruct**  $(v(x), d(x)) \rightarrow y(x)$



**Simultaneous Cauchy Interpolation**

**Simultaneous Rational Function Reconstruction**



# Simultaneous Cauchy Interpolation with Errors

## Simultaneous Cauchy Interpolation with Errors

Given  $\mathbf{y}_1, \dots, \mathbf{y}_L$

- $y_j = \frac{v(\alpha_j)}{d(\alpha_j)}$  correct evaluations

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the degree bounds  $N > \deg(v)$ ,  $D > \deg(d)$

and an *upper bound  $\tau$  on the number of errors.*

GOAL: **reconstruct**  $(v(x), d(x)) \rightarrow y(x)$



## Simultaneous Cauchy Interpolation

Given the vectors  $\mathbf{y}_1, \dots, \mathbf{y}_L$

and the *degree bounds  $N + \tau$ ,  $D + \tau$*

GOAL: **find**  $(\varphi_1(x), \dots, \varphi_n(x), \psi(x))$  s.t.

- $\varphi_i(\alpha_j) = y_{i,j} \psi(\alpha_j)$
- $\deg(\varphi_i) < N + \tau$
- $\deg(\psi) < D + \tau$

$(\Lambda(x)v(x), \Lambda(x)d(x))$

solution

$$\Lambda(x) = \prod_{\alpha_j \text{ erroneous}} (x - \alpha_j)$$

Error Locator Polynomial

roots = erroneous evaluation points

$\deg(\Lambda)$  = nb errors

# Simultaneous Cauchy Interpolation with Errors

## Simultaneous Cauchy Interpolation with Errors

Given  $\mathbf{y}_1, \dots, \mathbf{y}_L$

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the degree bounds  $N > \deg(v)$ ,  $D > \deg(d)$

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GOAL: **reconstruct**  $(v(x), d(x)) \rightarrow \mathbf{y}(x)$



## Simultaneous Cauchy Interpolation

Given the vectors  $\mathbf{y}_1, \dots, \mathbf{y}_L$

and the *degree bounds  $N + \tau$ ,  $D + \tau$*

GOAL: **find**  $(\varphi_1(x), \dots, \varphi_n(x), \psi(x))$  s.t.

- $\underbrace{\Lambda(\alpha_j)v_i(\alpha_j)}_{\varphi(x)} = y_{i,j} \Lambda(\alpha_j)$
- $\deg(\Lambda v_i) < N + \tau$
- $\deg(\Lambda d) < D + \tau$

$(\Lambda(x)v(x), \Lambda(x)d(x))$

solution

$$\Lambda(x) = \prod_{\alpha_j \text{ erroneous}} (x - \alpha_j)$$

Error Locator Polynomial

roots = erroneous evaluation points

$\deg(\Lambda) = \text{nb errors}$

# Simultaneous Cauchy Interpolation with Errors

## Simultaneous Cauchy Interpolation with Errors

Given  $\mathbf{y}_1, \dots, \mathbf{y}_L$

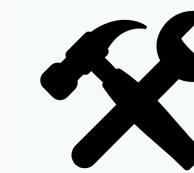
- $y_j = \frac{v(\alpha_j)}{d(\alpha_j)}$  correct evaluations

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the degree bounds  $N > \deg(v)$ ,  $D > \deg(d)$

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## Simultaneous Cauchy Interpolation

Given the vectors  $\mathbf{y}_1, \dots, \mathbf{y}_L$

and the *degree bounds  $N + \tau$ ,  $D + \tau$*

GOAL: **find**  $(\varphi_1(x), \dots, \varphi_n(x), \psi(x))$  s.t.

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- $\deg(\psi) < D + \tau$



uniqueness

$(\Lambda(x)v(x), \Lambda(x)d(x))$

# Simultaneous Cauchy Interpolation with Errors

## Simultaneous Cauchy Interpolation

Given the vectors  $\mathbf{y}_1, \dots, \mathbf{y}_L$

and the degree bounds  $N + \tau, D + \tau$

GOAL: find  $(\underbrace{\varphi_1(x), \dots, \varphi_n(x)}_{\varphi(x)}, \psi(x))$  s.t.

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- $\deg(\varphi_i) < N + \tau$
- $\deg(\psi) < D + \tau$

generalizing and re-elaborating the  
result of [BLEICHENBACHER, KIAYIAS, YUNG, 2003]  
for IRS codes

1. Cauchy Interpolation component-wise

$$L = N + D + 2\tau - 1 \rightarrow \text{uniqueness}$$

[BOYER, KALTOFEN, 2014]

2. If we want to recover a solution of a PLS

$$L = \max\{\deg(A) + N, \deg(b) + D\} + 2\tau \rightarrow \text{uniqueness}$$

[CABAY, 1971] —> [KALTOFEN, PERNET, STORJOHANN, WADDEL, 2017]

☞  $L = N + D - 1 + \left\lceil \frac{\tau}{n} \right\rceil + \tau \rightarrow$  uniqueness  
for almost all error patterns

☞ If we want to recover a solution of a PLS

$$L = \max\{\deg(A) + N, \deg(b) + D\} + \left\lceil \frac{\tau}{n} \right\rceil + \tau \rightarrow \text{uniqueness}$$

for almost all error patterns

The proportion of error patterns leading to non-uniqueness  $\leq (D + \tau)/q$

# Number of Evaluations - Outline of this work

		uniqueness	uniqueness <i>almost always</i>
no-errors	$(v(x), d(x))$	$L = N + D - 1$ Cauchy Interpolation	 $L = N + \left\lceil \frac{(D-1)}{n} \right\rceil$
	$A(x) \frac{v(x)}{d(x)} = b(x)$	$L = \max\{\deg(A) + N, \deg(b) + D\}$ [CABAY, 1971]	
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		$D > 0$	 $L = N + D - 1 + \left\lceil \frac{\tau}{n} \right\rceil + \tau$ $L = N + D - 1 + 2\tau$ [BOYER, KALTOFEN, 2014 ]
	$A(x) \frac{v(x)}{d(x)} = b(x)$		 $L = \max\{\deg(A) + N, \deg(b) + D\} + 2\tau$ [KALTOFEN, PERNET, STORJOHANN, WADDEL, 2017] $L = \max\{\deg(A) + N, \deg(b) + D\} + \left\lceil \frac{\tau}{n} \right\rceil + \tau$

# Number of Evaluations - Outline of this work

		uniqueness	uniqueness <i>almost always</i>
no-errors	$(v(x), d(x))$	$L = N + D - 1$ Cauchy Interpolation	 $L = N + \left\lceil \frac{(D-1)}{n} \right\rceil$
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	$A(x) \frac{v(x)}{d(x)} = b(x)$		 $L = \max\{\deg(A) + N, \deg(b) + D\} + 2\tau$ [KALTOFEN, PERNET, STORJOHANN, WADDEL, 2017] $L = \max\{\deg(A) + N, \deg(b) + D\} + \left\lceil \frac{\tau}{n} \right\rceil + \tau$

# Early Termination

$$\bar{L} = \min\{L_{BK}, L_{KPS}\}$$

- $L_{BK} = N + D + 2\tau - 1,$
- $L_{KPSW} = \max\{\deg(A) + N, \deg(\mathbf{b}) + D\} + 2\tau$

$\geq$

$$L_{new} = \min\{L_{GLZ19}, L_{GLZ20}\}$$

- $L_{GLZ19} = N + D - 1 + \tau + \left\lceil \frac{\tau}{n} \right\rceil,$
- $L_{GLZ20} = \max\{\deg(A) + N, \deg(\mathbf{b}) + D\} + \tau + \left\lceil \frac{\tau}{n} \right\rceil$

All these bounds depend on

- $N > \deg(v)$
- $D > \deg(d)$
- $\tau \geq \text{nb errors } |E|$

we don't know these quantities

If  $N, D, \tau$  are too big compared to  $\deg(v), \deg(d), |E| \rightarrow \bar{L}, L_{new}$  too big compared to the number we really need

**EARLY TERMINATION TECHNIQUE** [KALTOFEN, PERNET, STORJOHANN, WADDELL, 2017]

GOAL: decrease the number of evaluation points without knowing the real degrees

# Early termination strategy

$\deg(v)$   
 $\deg(d)$   
**nb errors**

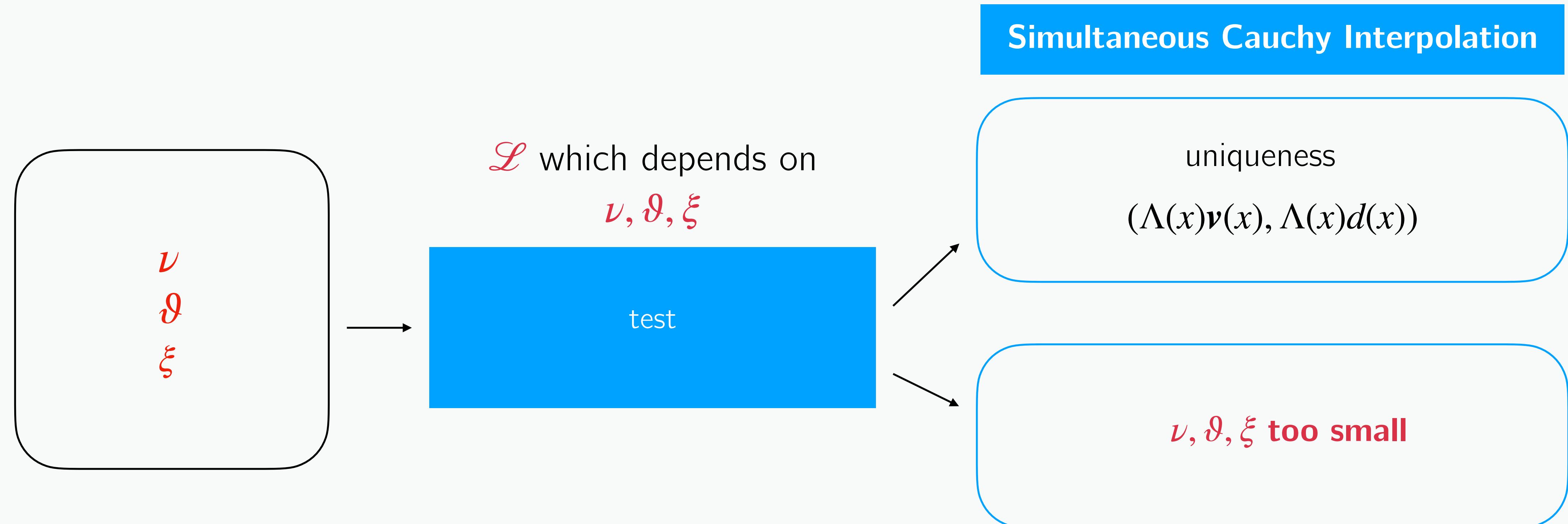
$\mathcal{L}$  which depends on  
 $\deg(v), \deg(d), \text{nb errors}$



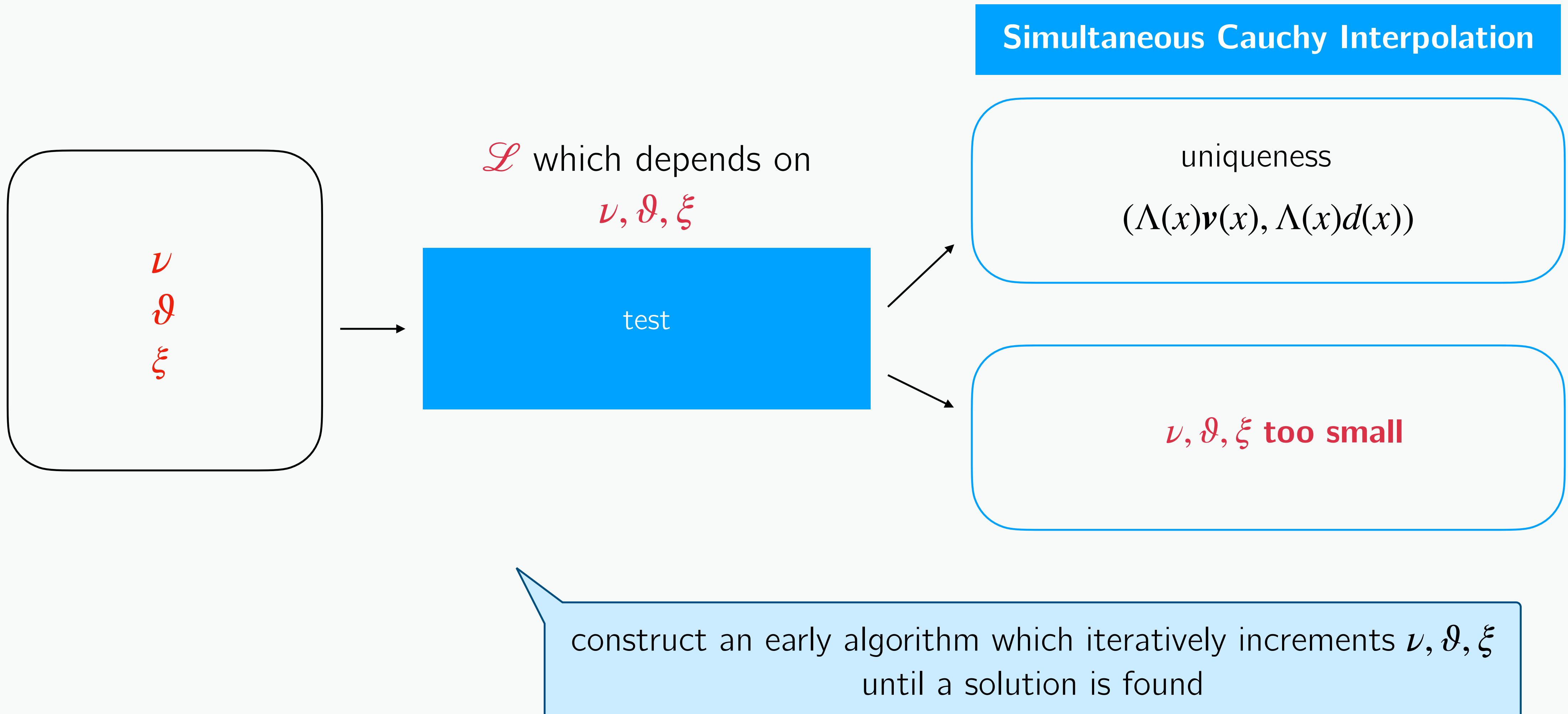
## Simultaneous Cauchy Interpolation

uniqueness  
 $(\Lambda(x)v(x), \Lambda(x)d(x))$

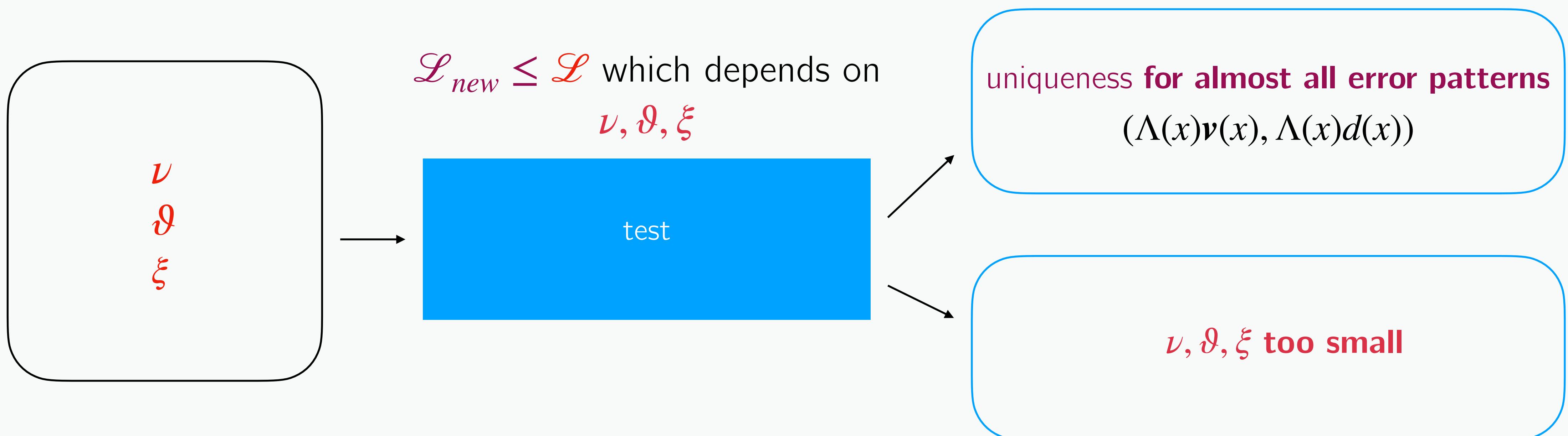
# Early termination strategy



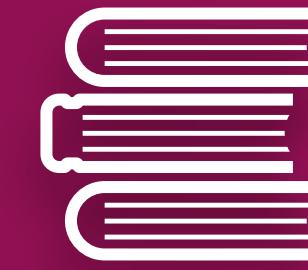
# Early termination strategy



# Early termination strategy



# Conclusions & Open Problems



GT de l'équipe GRACE

December 1, 2020

# Number of Evaluations - Outline of this work

		uniqueness	uniqueness <i>almost always</i>
no-errors	$(v(x), d(x))$	$L = N + D - 1$ Cauchy Interpolation	 $L = N + \left\lceil \frac{(D-1)}{n} \right\rceil$
	$A(x) \frac{v(x)}{d(x)} = b(x)$	$L = \max\{\deg(A) + N, \deg(b) + D\}$ [CABAY, 1971]	
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		$D > 0$	$L = N + D - 1 + 2\tau$ [BOYER, KALTOFEN, 2014 ] $L = N + D - 1 + \left\lceil \frac{\tau}{n} \right\rceil + \tau$
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 Early termination technique

## International conferences with proceedings

- 📄 ***Polynomial Linear System Solving with Errors by Simultaneous Polynomial Reconstruction of Interleaved Reed-Solomon codes.*** E.Guerrini, R.Lebreton, I.Zappatore. *In Proceedings of ISIT'19*, pages 1542-1546. IEEE, 2019
- 📄 ***On the Uniqueness of Rational Function Reconstruction.*** E.Guerrini, R.Lebreton, I.Zappatore. *In Proceedings of ISSAC'20*. ACM, 2020

## Preprint, work in progress

- 📄 ***Enhancing Simultaneous Rational Function Recovery: adaptive error correction capability and new bounds for applications.*** E.Guerrini, R.Lebreton, I.Zappatore. *arXiv:2003.01793*

## Improving previous results

- On the uniqueness of the simultaneous rational function reconstruction
- Failure probability of Simultaneous Cauchy Interpolation with Errors

## Extending previous results

- Rational Function Codes
- Early termination techniques for decoding error correcting codes

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# On the uniqueness of SRFR

## Simultaneous Rational Function Reconstruction

Given two vector of polynomials  $\mathbf{u}(x), \mathbf{a}(x)$  and the degree bounds  $N, D$

GOAL: **find**  $(\underbrace{v_1(x), \dots, v_n(x)}_{v(x)}, d(x))$  s.t.

- $v_i(x) = u_i(x)d(x) \bmod a_i(x)$
- $\deg(v_i) < N$
- $\deg(d) < D$

$$\frac{v_i(x)}{d(x)} = u_i(x) \bmod a_i(x), \gcd(a_i, d) = 1$$

If  $L = N + (D - 1)/n$ , for almost all instances  $u_i = v_i/d \implies$  uniqueness?

 **Theorem** [GUERRINI, LEBRETON, Z., 2020]

If  $L = \deg(\mathbf{a}) = N + (D - 1)/n$ , for almost all instances  $\implies$  uniqueness.

If  $\mathbb{K} = \mathbb{F}_q$ , the proportion of instances leading to non-uniqueness is  $\leq (D - 1)/q$

# Number of Evaluations - Outline of this work

		uniqueness	uniqueness <i>almost always</i>
no-errors	$\frac{v(x)}{d(x)}$	$L = N + D - 1$ Cauchy Interpolation	? $L = N + \left\lceil \frac{(D-1)}{n} \right\rceil$
	$A(x) \frac{v(x)}{d(x)} = b(x)$	$L = \max\{\deg(A) + N, \deg(b) + D\}$ [CABAY, 1971]	? $L = N + \left\lceil \frac{(D-1)}{n} \right\rceil$
with errors	$(v(x), d(x))$	$d$ constant ( $D = 0$ )	$L = N - 1 + 2\tau$ Unique Decoding Capability Theorem (IRS codes)  $L = N - 1 + \left\lceil \frac{\tau}{n} \right\rceil + \tau$ [BLEICHENBACHER, KIAYIAS, YUNG, 2003]
		$D > 0$	$L = N + D - 1 + 2\tau$ [BOYER, KALTOFEN, 2014 ]   $L = N + D - 1 + \left\lceil \frac{\tau}{n} \right\rceil + \tau$
	$A(x) \frac{v(x)}{d(x)} = b(x)$		 $L = \max\{\deg(A) + N, \deg(b) + D\} + 2\tau$ [KALTOFEN, PERNET, STORJOHANN, WADDEL, 2017]   $L = \max\{\deg(A) + N, \deg(b) + D\} + \left\lceil \frac{\tau}{n} \right\rceil + \tau$

# Simultaneous Cauchy Interpolation with Errors

## Simultaneous Cauchy Interpolation

Given the vectors  $\mathbf{y}_1, \dots, \mathbf{y}_L$

and the degree bounds  $N + \tau, D + \tau$

GOAL: find  $(\varphi_1(x), \dots, \varphi_n(x), \psi(x))$  s.t.

$$\underbrace{\varphi(x)}_{\varphi_i(x) = y_{i,j} \psi(\alpha_j)}$$

- $\varphi_i(\alpha_j) = y_{i,j} \psi(\alpha_j)$
- $\deg(\varphi_i) < N + \tau$
- $\deg(\psi) < D + \tau$



uniqueness

$$(\Lambda(x)\mathbf{v}(x), \Lambda(x)\mathbf{d}(x))$$

1. Cauchy Interpolation component-wise (RFR)

$$L = N + D + 2\tau - 1 \rightarrow \text{uniqueness}$$

[BOYER, KALTOFEN, 2014 ]

2. If we want to recover a solution of a PLS

$$L = \max\{\deg(A) + N, \deg(b) + D\} + 2\tau \rightarrow \text{uniqueness}$$

[CABAY, 1971] —> [KALTOFEN, PERNET, STORJOHANN, WADDEL, 2014]

### Common denominator constraint

$$L = N + \left\lceil \frac{D - 1 + \tau}{n} \right\rceil + \tau \rightarrow \begin{array}{l} \text{uniqueness} \\ \text{for almost all error patterns} \\ \text{for almost all } \mathbf{v}/d ? \end{array}$$

## Improving previous results

- On the uniqueness of the simultaneous rational function reconstruction
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## Extending previous results

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# Number of Evaluations - Outline of this work

		uniqueness	uniqueness <i>almost always</i>
no-errors	$(v(x), d(x))$	$L = N + D - 1$ Cauchy Interpolation	 $L = N + \left\lceil \frac{(D-1)}{n} \right\rceil$
	$A(x) \frac{v(x)}{d(x)} = b(x)$	$L = \max\{\deg(A) + N, \deg(b) + D\}$ [CABAY, 1971]	?
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		$D > 0$	 $L = N + D - 1 + \left\lceil \frac{\tau}{n} \right\rceil + \tau$
	$A(x) \frac{v(x)}{d(x)} = b(x)$		 $L = \max\{\deg(A) + N, \deg(b) + D\} + 2\tau$ [KALTOFEN, PERNET, STORJOHANN, WADDEL, 2017]

# Simultaneous Cauchy Interpolation with Errors

## Simultaneous Cauchy Interpolation

Given the vectors  $\mathbf{y}_1, \dots, \mathbf{y}_L$

and the degree bounds  $N + \tau, D + \tau$

GOAL: find  $(\varphi_1(x), \dots, \varphi_n(x), \psi(x))$  s.t.

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- $\varphi_i(\alpha_j) = y_{i,j} \psi(\alpha_j)$
- $\deg(\varphi_i) < N + \tau$
- $\deg(\psi) < D + \tau$

generalizing and re-elaborating the  
result of [BLEICHENBACHER, KIAYIAS, YUNG, 2003]  
for IRS codes

1. Cauchy Interpolation component-wise

$$L = N + D + 2\tau - 1 \rightarrow \text{uniqueness}$$

[BOYER, KALTOFEN, 2014 ]

2. If we want to recover a solution of a PLS

$$L = \max\{\deg(A) + N, \deg(b) + D\} + 2\tau \rightarrow \text{uniqueness}$$

[CABAY, 1971] —> [KALTOFEN, PERNET, STORJOHANN, WADDEL, 2017]

☞  $L = N + D - 1 + \left\lceil \frac{\tau}{n} \right\rceil + \tau \rightarrow$  uniqueness  
for almost all error patterns

☞ If we want to recover a solution of a PLS

$$L = \max\{\deg(A) + N, \deg(b) + D\} + \left\lceil \frac{\tau}{n} \right\rceil + \tau \rightarrow \text{uniqueness}$$

for almost all error patterns

The proportion of error patterns leading to non-uniqueness  $\leq (D + \tau)/q$

# Simultaneous Cauchy Interpolation with Errors

## Simultaneous Cauchy Interpolation

Given the vectors  $\mathbf{y}_1, \dots, \mathbf{y}_L$

and the degree bounds  $N + \tau, D + \tau$

GOAL: find  $(\varphi_1(x), \dots, \varphi_n(x), \psi(x))$  s.t.

$$\underbrace{\varphi(x)}_{\varphi_i(x)}$$

- $\varphi_i(\alpha_j) = y_{i,j} \psi(\alpha_j)$
- $\deg(\varphi_i) < N + \tau$
- $\deg(\psi) < D + \tau$

generalizing and re-elaborating the result of [BLEICHENBACHER, KIAYIAS, YUNG, 2003] for IRS codes

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$$L = N + D + 2\tau - 1 \rightarrow \text{uniqueness}$$

[BOYER, KALTOFEN, 2014]

2. If we want to recover a solution of a PLS

$$L = \max\{\deg(A) + N, \deg(b) + D\} + 2\tau \rightarrow \text{uniqueness}$$

[CABAY, 1971] —> [KALTOFEN, PERNET, STORJOHANN, WADDEL, 2017]

$L = N + D + 1 + \lceil \tau \rceil$  uniqueness  
can we improve this bound?  
prove that it does not depend on errors as IRS?

If we want to recover a solution of a PLS  
 $L = \max\{\deg(A) + N, \deg(b) + D\} + 2\tau$

[BROWN, MINDER, SHOKROLLAHI, 2004]  
[SCHMIDT, SIDORENKO, BOSSERT, 2009]  
[PUCHINGER, ROSENKILDE, 2017]

error patterns

The proportion of error patterns leading to non-uniqueness  $\leq (D + \tau)/q$

## Improving previous results

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# Simultaneous Cauchy Interpolation with Errors

## Simultaneous Interpolation with Errors

Reconstruct a **vector of polynomials**  
by its evaluations, some erroneous



decoding IRS codes

## Simultaneous Cauchy Interpolation with Errors

Reconstruct a **vector of rational functions**  
by its evaluations, some erroneous



decoding specific  
Interleaved Rational Function codes  
[PERNET, 2017]



## Simultaneous Cauchy Interpolation

# Conclusions & Open Problems

## Rational Function Code [PERNET, 2017]

generalization of Reed-Solomon codes,  
**non linear**

Let  $N, D \leq L \leq q$  and  $\{\alpha_1, \dots, \alpha_L\}$  distinct *evaluation points*,

$$\mathcal{C}_{RF}(n, k) := \left\{ \left( \frac{v(\alpha_1)}{d(\alpha_1)}, \dots, \frac{v(\alpha_L)}{d(\alpha_L)} \right) \mid \frac{v}{d} \in \mathbb{F}_q(x), \deg(v) < N, \deg(d) < D, d(\alpha_j) \neq 0 \right\}$$

## Interleaved Rational Function Code [PERNET, 2017]

the minimum distance  $\geq L - (N + D + 2)$

Let  $N, D \leq L \leq q$  and  $\{\alpha_1, \dots, \alpha_L\}$  distinct *evaluation points*,

$$\mathcal{C}_{RF}(n, k) := \left\{ \left( \frac{v(\alpha_1)}{d(\alpha_1)}, \dots, \frac{v(\alpha_L)}{d(\alpha_L)} \right) \mid \frac{v}{d} \in \mathbb{F}_q(x)^{n \times 1}, \deg(v) < N, \deg(d) < D, d_i(\alpha_j) \neq 0 \right\}$$

## Improving previous results

- On the uniqueness of the simultaneous rational function reconstruction
- Failure probability of Simultaneous Cauchy Interpolation with Errors

## Extending previous results

- Rational Function Codes → determine parameters and other applications
- Early termination techniques for decoding error correcting codes  
[KHONJI, PERNET, ROCH, ROCHE, STALINSKI, 2010]



Thank you

GT de l'équipe GRACE

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