

# Cryptanalysis of the Faure-Loidreau PKE, a rank-metric code-based cryptosystem with short keys

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# Outline

- 1 Introduction
- 2 Gabidulin codes and the Faure-Loidreau PKE
- 3 Contribution 1: Alternative attack on the Faure-Loidreau PKE
- 4 Contribution 2: Attack on the repaired version

# Code based cryptography

- *McEliece* : Based on decoding an error of **small** Hamming weight in a (look-alike) **random** code. → Usually **huge keys**.
- *Reducing key size ?*

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  - (1) Large automorphism group → Quasi-cyclic, quasi-dyadic . . . .

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  - (1) Large automorphism group.
  - (2) Rank metric → e.g. *GPT* (Eurocrypt 1991) **broken by Overbeck in 2005**.

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  - (3) Another setting → *Augot-Finiasz*.



D. Augot, M. Finiasz, *A Public-Key Encryption Scheme based on the Polynomial Reconstruction Problem*, Eurocrypt, 2003



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  - (3) Using another setting → *Augot-Finiasz* **Message recovery attack**.



J.S. Coron, *Cryptanalysis of a Public-Key Encryption Scheme Based on the Polynomial Reconstruction Problem*, PKC, 2004

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- (1) Large automorphism group.
- (2) **Rank metric**.
- (3) **Using another setting** → *Faure-Loidreau*.



C. Faure, P. Loidreau, *A new public-key cryptosystem based on the problem of reconstructing  $q$ -polynomials*, WCC 2005

# Error correcting codes

## General linear code

- Linear subspace  $\mathcal{C} \subset \mathbb{F}_q^n$ , dimension  $k$ ,  $\mathbb{F}_q$  finite field.
- $(\mathbb{F}_q^n, d)$  metric space.

## Bounding distance decoding problem (BDD)

Given a word  $\mathbf{y} \in \mathbb{F}_q^n$ , and a bound  $t$ , find (if exists) a codeword  $\mathbf{c}$ , and  $\mathbf{e} \in \mathbb{F}_q^n$  such that  $\mathbf{y} = \mathbf{c} + \mathbf{e}$  and  $d(\mathbf{y}, \mathbf{c}) \leq t$ .

## Unique decoding radius

- $\delta := d_{\min}(\mathcal{C}) := \min_{x \neq y \in \mathcal{C}} d(x, y)$
- $t \leq \lfloor \frac{\delta-1}{2} \rfloor \Rightarrow$  the BDD problem has at most **one solution**.

# Rank metric error correcting codes

Want to see a vector  $\mathbf{x} \in (\mathbb{F}_{q^m})^n$  as a **matrix**  $\mathbf{X}$  over  $\mathbb{F}_q$ .

## $\mathbb{F}_{q^m}$ -linear rank metric codes

- $\mathcal{C} \subset \mathbb{F}_{q^m}^n$  linear code of dimension  $k$ .
- Rank distance:  $d(\mathbf{x}, \mathbf{y}) := \mathbf{Rank}(\mathbf{X} - \mathbf{Y})$ .

$\mathcal{B} = (b_1, \dots, b_m)$  basis of  $\mathbb{F}_{q^m}/\mathbb{F}_q$ ,  $x_i = \sum_{j=1}^m x_{i,j} b_j$

Extension map

$$\mathbf{ext}_{\mathcal{B}} : \begin{cases} \mathbb{F}_{q^m}^n & \rightarrow \\ \mathbf{x} := (x_1, \dots, x_n) & \mapsto \mathbf{X} := \begin{bmatrix} x_{1,1} & \dots & x_{n,1} \\ \vdots & \ddots & \vdots \\ x_{1,m} & \dots & x_{n,m} \end{bmatrix} \end{cases} \in \mathbb{F}_q^{m \times n}.$$

**Remark.** The rank distance doesn't depend on the chosen basis.

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# Non commutative ring of $q$ -polynomials

$\mathbb{F}_{q^m}/\mathbb{F}_q$  algebraic extension of degree  $m$ .

- $P = p_0X + p_1X^q + \cdots + p_tX^{q^t}$ ,  $p_i \in \mathbb{F}_{q^m}$ ,  $p_t \neq 0$ .
- $\deg_q(P) := t$ .
- Addition of classical polynomials.
- ~~Multiplication~~  $\rightarrow$  Composition of  $q$ -polynomials.

## Notations.

$\mathcal{L}\mathbb{F}_{q^m}[X]$  set of  $q$ -polynomials.

$\mathcal{L}\mathbb{F}_{q^m}[X]_{\leq t}$  set of  $q$ -polynomials of  $q$ -degree bounded by  $t$ .

# Non commutative ring of $q$ -polynomials

**Theorem :**  $(\mathcal{L}\mathbb{F}_{q^m}[X], +, \circ)$  is a **non commutative ring**.

**Example.**  $aX \cdot X^q = aX^q$  while  $X^q \cdot aX = a^qX^q$ .

## A left and right euclidean ring

Let  $A, B$  be two  $q$ -polynomials.

- $\exists!(Q, R), \quad A = B \circ Q + R$  and  $\deg_q(R) < \deg_q(B)$ .
- $\exists!(S, T), \quad A = S \circ B + T$  and  $\deg_q(S) < \deg_q(B)$ .

# Roots and interpolation of $q$ -polynomials

A  $q$ -polynomial induces an  $\mathbb{F}_q$ -linear map of  $\mathbb{F}_{q^m}$ .

## Roots of a $q$ -polynomial

- $\text{Ker}(P)$  is linear subspace of dimension at most  $\deg_q(P)$ .
- For any linear subspace of dimension  $t$  there exists a (unique) monic  $q$ -polynomial  $V$  of  $q$ -degree  $t$  such that  $E = \text{Ker}(V)$ .

## Lagrange interpolation

Let  $\mathbf{g} = (g_1, \dots, g_n) \in \mathbb{F}_{q^m}^n$  be linearly independent. Let  $\mathbf{y} = (y_1, \dots, y_n) \in \mathbb{F}_{q^m}^n$ . There exists a **unique**  $q$ -polynomial  $P$  of  $q$ -degree  $< n$  such that:

$$\forall 1 \leq i \leq n, \quad P(g_i) = y_i.$$



# Gabidulin codes

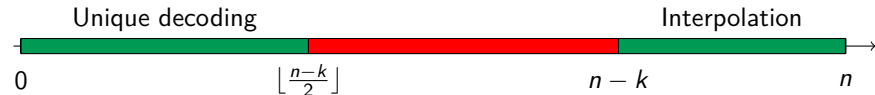
## Definition

Let  $\mathbf{g} = (g_1, \dots, g_n) \in \mathbb{F}_{q^m}^n$  whose coordinates are linearly independent. The **Gabidulin code** of dimension  $k$  and evaluation vector  $\mathbf{g}$  is

$$Gab_k(\mathbf{g}) = \{(P(g_1), \dots, P(g_n)) \mid \deg_q(P) < k\}.$$

$Gab_k(\mathbf{g})$  has minimum distance  $n - k + 1$ .

Decoding error of rank  $t$  in  $Gab_k(\mathbf{g})$  :



# Faure-Loidreau PKE

A PKE based on the hardness of decoding a Gabidulin code above half the minimum distance.

## Public parameters

$n, k, u \in \mathbb{N}^*$  ;  $\mathbf{G}$  a generator matrix of  $Gab_k(\mathbf{g}) \subset (\mathbb{F}_{q^n})^n$ ,  $\lfloor \frac{n-k}{2} \rfloor < w < n - k$ .

$\mathbb{F}_{q^{nu}}$  |  $u$   
 $\mathbb{F}_{q^n}$  |  $n$   
 $\mathbb{F}_q$

$Tr(x) := x + x^{q^n} + \dots + x^{q^{n(u-1)}} \in \mathbb{F}_{q^n}$  is the trace of  $\mathbb{F}_{q^{nu}}/\mathbb{F}_{q^n}$ ,  
with notation  $Tr(x_1, \dots, x_l) := (Tr(x_1), \dots, Tr(x_l))$ .

Rank distance is over  $\mathbb{F}_q$ .

# Faure-Loidreau PKE

**Keys:**  $\mathbf{x} \in (\mathbb{F}_{q^{nu}})^k$ ,  $\mathbf{z} \in (\mathbb{F}_{q^{nu}})^n$  and  $\lfloor \frac{n-k}{2} \rfloor < \text{Rank}(\mathbf{z}) := w < n - k$ .  
with  $(x_{k-u+1}, \dots, x_u)$  a basis of  $\mathbb{F}_{q^{nu}}/\mathbb{F}_{q^n}$ .

$$\mathbf{k}_{pub} = \mathbf{xG} + \mathbf{z} \in (\mathbb{F}_{q^{nu}})^n$$

public                      private

**Originality:** Short public key, linear in security level.

**Encrypt:** Plaintext is some  $\mathbf{m} = (m_1, \dots, m_{k-u}, 0, \dots, 0) \in (\mathbb{F}_{q^n})^k$ .

- Pick  $\alpha \in \mathbb{F}_{q^{nu}}$  at random and  $\mathbf{e} \in \mathbb{F}_{q^n}^n$  of rank  $t := \lfloor \frac{n-k-w}{2} \rfloor$ .
- Ciphertext is  $\mathbf{c} := \mathbf{mG} + \text{Tr}(\alpha \mathbf{k}_{pub}) + \mathbf{e}$ .

# Faure-Loidreau PKE

$$\mathbf{k}_{pub} = \mathbf{x}\mathbf{G} + \mathbf{z} \in (\mathbb{F}_{q^{nu}})^n$$

public                      private

**Encrypt:** Note that

$$\mathbf{c} := \mathbf{m}\mathbf{G} + \text{Tr}(\alpha\mathbf{k}_{pub}) + \mathbf{e} = \underbrace{(\mathbf{m} + \text{Tr}(\alpha\mathbf{x}))}_{\mathbf{m}'}\mathbf{G} + (\text{Tr}(\alpha\mathbf{z}) + \mathbf{e}).$$

**Decrypt:**

- “Projection” to remove  $\mathbf{z}$  dependencies and decode  $\rightarrow \mathbf{m}'$
- Knowledge of  $\mathbf{x} \rightarrow$  Recover  $\alpha$  with linear algebra  $\rightarrow \mathbf{m}$ .

# Attack and repair

P. Gaborit, A. Otmani, H. Talé-Kalachi (2016)

$(\mathbf{x}, \mathbf{z})$  can be efficiently recovered from  $\mathbf{k}_{pub}$  provided that  $w \leq \frac{u}{u+1}(n-k)$ .



P. Gaborit, A. Otmani, H. Talé Kalachi *Polynomial-time key recovery attack on the Faure-Loidreau scheme base on Gabidulin codes*, Designs, Codes and Cryptography 2016.

A. Wachter-Zeh, S. Puchinger, J. Renner (2018)

Let  $\zeta := \mathbf{Rank}_{\mathbb{F}_{q^n}}(\mathbf{z})$ .

- Attack fails if  $\zeta < \frac{w}{n-k-w}$ .
- Repair: Choose  $\zeta = 1$ .

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# Attack on Faure-Loidreau PKE

Let  $\gamma = (\gamma_1, \dots, \gamma_u)$  be a basis of  $\mathbb{F}_{q^{nu}}/\mathbb{F}_{q^n}$ , and  $\gamma^*$  be its dual basis :  
 $\text{Tr}(\gamma_i \gamma_j^*) = \delta_{i,j}$ .

## Interleaving

$$\mathbf{K}_{pub} := \begin{pmatrix} \text{Tr}(\gamma_1 \mathbf{k}_{pub}) \\ \vdots \\ \text{Tr}(\gamma_u \mathbf{k}_{pub}) \end{pmatrix}, \mathbf{C} := \begin{pmatrix} \text{Tr}(\gamma_1 \mathbf{x} \mathbf{G}) \\ \vdots \\ \text{Tr}(\gamma_u \mathbf{x} \mathbf{G}) \end{pmatrix}, \mathbf{Z} := \begin{pmatrix} \text{Tr}(\gamma_1 \mathbf{z}) \\ \vdots \\ \text{Tr}(\gamma_u \mathbf{z}) \end{pmatrix} \rightarrow \mathbf{K}_{pub} = \mathbf{C} + \mathbf{Z}.$$

## Same row support

**Claim 1.** There exists  $\mathcal{E} \subset (\mathbb{F}_q)^n$  of dimension  $w$  such that

$$\text{RowSpace}(\text{Tr}(\gamma_i \mathbf{z})) \subseteq \mathcal{E}$$

for all  $1 \leq i \leq u$ .

⇒ Want to work on the right side.



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# Right Berlekamp-Welch decoding algorithm

$\mathcal{G} = Gab_k(\mathbf{g})$ , with  $\mathbf{g} \in \mathbb{F}_{q^n}^n$  (here  $m = n$ ).

## Interpolation and decoding

$$\begin{array}{ccc} \mathbf{y} = \mathbf{c} + \mathbf{e}, & \xrightarrow{\text{Interpolation}} & \mathbf{Y} = \mathbf{C} + \mathbf{E}, \\ \mathbf{c} \in \mathcal{G}, \mathbf{e} \in \mathbb{F}_{q^n}^n \text{ of rank } t & & \deg_q(C) < k, \mathbf{Rank}(\mathbf{E}) = t. \end{array}$$

**Claim 2.** There exists a  $q$ -polynomial  $V$  with  $\deg_q(V) \leq t$  such that  $E \circ V = 0$ .

Systems of equations over  $\mathbb{F}_{q^n}$ .

$$\left\{ \begin{array}{l} Y \circ V = C \circ V \\ \deg_q V \leq t \\ \deg_q C \leq k - 1. \end{array} \right. \xrightarrow[\substack{\text{Linearization} \\ N := C \circ V}]{} \left\{ \begin{array}{l} Y \circ V = N \\ \deg_q V \leq t \\ \deg_q N \leq k + t - 1. \end{array} \right.$$

$n$  equations,  $k + t + 1$  unknowns  
Non linear

$n$  equations,  $k + 2t + 1$  unknowns  $\in \mathbb{F}_{q^n}$   
Linear over  $\mathbb{F}_q$

# Right Berlekamp-Welch decoding algorithm

**Claim 3.** If  $\text{Rank}(\mathbf{E}) \leq \lfloor \frac{n-k}{2} \rfloor$ , and if  $(V, N)$  is a (non-zero) solution of the linearized system, then  $N = \mathbf{C} \circ V$  where  $\mathbf{C} = \mathbf{Y} - \mathbf{E}$ .

⇒ Solve the system and recover  $\mathbf{C}$  by right euclidean division.

In fact, the system is **semi-linear** → Adjoint ( $\sim$  transpose) of a  $q$ -polynomial for bilinear form associated to  $\text{Tr}_{\mathbb{F}_{q^n}/\mathbb{F}_q}$ .

**Claim 4.** Let  $d \leq n$ , and  $P := \sum_{i=0}^d a_i X^{q^i}$ . Then  $P^* = \sum_{i=0}^d a_i^{q^{n-i}} X^{q^{n-i}}$ .  
(Almost) Same coefficients !

$$Y \circ V = N \xrightarrow{\text{Adjoint}} V^* \circ Y^* = N^* \xrightarrow{\text{Evaluation}} V^*(y_i^*) = N^*(g_i) \text{ for } 1 \leq i \leq n.$$

Implementation with **SageMath**.

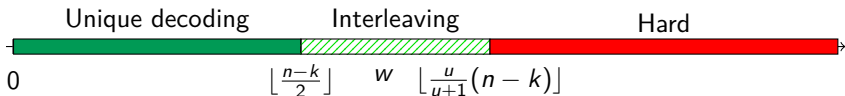
# Back to the attack on Faure-Loidreau PKE

$$\mathbf{k}_{pub} = \mathbf{xG} + \mathbf{z} \xrightarrow[\text{+ Interpolation}]{\text{Trace}} K_i = C_i + Z_i.$$

**Claim 5.**  $Z_i$  have a **common** annihilator of  $q$ -degree  $w$ .

$$\begin{cases} V^*(y_j^*) = N_i^*(g_j) \text{ for } 1 \leq i \leq u \text{ and } 1 \leq j \leq n \\ \deg_q V \leq t \\ \deg_q N_i \leq k + t - 1. \end{cases}$$

- $\mathbb{F}_{q^n}$ -Linear system
- $n \times u$  equations  $\longrightarrow$  correct up to  $\lfloor \frac{u}{u+1}(n-k) \rfloor$  errors.
- $t + 1 + u(k + t)$  unknowns



# Limits of the attack

- If all error patterns **are the same** → As if decoding **only one** codeword beyond unique decoding radius → Supposed to be **hard**.
- Need to count independent rows in  $\mathbf{Z} \rightarrow \zeta := \mathbf{Rank}_{\mathbb{F}_{q^n}}(\mathbf{z})$ .
- $\Rightarrow$  Attack fails if  $w > \lfloor \frac{\zeta}{\zeta+1}(n-k) \rfloor$ .

... which is exactly the setting used in the 2018 repair of Renner, Puchinger and Wachter-Zeh.

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# Our attack

- Remainder:  $\mathbf{c} = (\mathbf{m} + \text{Tr}(\alpha\mathbf{x}))\mathbf{G} + \text{Tr}(\alpha\mathbf{z}) + \mathbf{e}$  with small error  $\mathbf{e}$ .
- $\text{Rank}_{\mathbb{F}_{q^n}}(\mathbf{z}) = 1 \Rightarrow \mathbf{z} = \xi\mathbf{z}_0$ ,  $\xi \in \mathbb{F}_{q^{nu}}$  and  $\mathbf{z}_0 \in \mathbb{F}_{q^n}$ .

## Outline of the attack

- **Step 1** : Decode  $\mathbf{c}$  in some **computable** code and get rid of  $\mathbf{e}$ .
- **Step 2** : Find a linear system with  $\mathbf{m}$  as the only solution.
- **Step 3** : Recover  $\mathbf{m}$ .

## Practical experiments

- Implementation with **SageMath**.
- Intel<sup>®</sup> Core<sup>™</sup> i7-5600U 2.60GHz CPU.

$q$	$n$	$k$	$u$	$w$	Claimed security level	Time to recover $\mathbf{m}$
2	61	31	3	16	90	~ 4 min
2	62	31	3	17	128	~ 4 min
2	83	48	24	4	256	~ 8 min



## Step 1: Get rid of $\mathbf{e}$

$\mathbf{c} = \mathbf{m}'\mathbf{G} + \text{Tr}(\alpha\xi)\mathbf{z}_0 + \mathbf{e}$  is a noisy codeword of  $\mathcal{G} + \langle \mathbf{z}_0 \rangle =: \mathcal{C}$

### Claim.

If  $\text{Tr}(\xi) \neq 0$  then  $\mathcal{C} = \mathcal{G} \oplus \langle \text{Tr}(\mathbf{k}_{pub}) \rangle$ .

### Remark.

$$\mathbb{P}(\text{Tr}(\xi) = 0) = \frac{1}{q^n}.$$

# Decoding in the supercode

$$\mathcal{C} := \mathcal{G} \oplus \langle \text{Tr}(\mathbf{k}_{pub}) \rangle.$$

$\mathbf{y} := c_{\mathcal{G}} + \lambda \text{Tr}(\mathbf{k}_{pub}) + \mathbf{e}$  noisy codeword of  $\mathcal{C}$  with  $\mathbf{e}$  of **small** rank  $t$ .

A Berlekamp-Welch like decoding algorithm

- **Interpolation** :  $\mathbf{Y} = \mathbf{C} + \lambda \mathbf{T} + \mathbf{E}$  with  $\deg_q(\mathbf{C}) < k$ .

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- **Linearization** :  $\mathbf{V} \circ \mathbf{Y} = \mathbf{N}$  with  $\mathbf{N} \in \mathcal{L}\mathbb{F}_{q^n}[X]_{\leq t+k-1} + \mathcal{L}\mathbb{F}_{q^n}[X]_{\leq t} \cdot \mathbf{T}$

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What did we get ?

# Decoding in the supercode

$$\mathcal{C} := \mathcal{G} \oplus \langle \text{Tr}(\mathbf{k}_{pub}) \rangle.$$

$\mathbf{y} := c_{cg} + \lambda \text{Tr}(\mathbf{k}_{pub}) + \mathbf{e}$  noisy codeword of  $\mathcal{C}$  with  $\mathbf{e}$  of **small** rank  $t$ .

## A Berlekamp-Welch like decoding algorithm

- **Interpolation** :  $\mathbf{Y} = \mathbf{C} + \lambda \mathbf{T} + \mathbf{E}$  with  $\deg_q(\mathbf{C}) < k$ .
- **Vanishing polynomial** :  $\mathbf{V} \circ \mathbf{Y} = \mathbf{V} \circ \mathbf{C} + \mathbf{V} \circ (\lambda \mathbf{T})$  and  $\deg_q(\mathbf{V}) = t$ .
- **Linearization** :  $\mathbf{V} \circ \mathbf{Y} = \mathbf{N}$  with  $\mathbf{N} \in \mathcal{L}\mathbb{F}_{q^n}[X]_{\leq t+k-1} + \mathcal{L}\mathbb{F}_{q^n}[X]_{\leq t} \cdot \mathbf{T}$
- $3t + k + 2 < n$  equations  $\rightarrow$  recover  $(\mathbf{V}, \mathbf{N})$ .

What did we get ?

- We have  $\mathbf{V} \mid \mathbf{N}$  but left division won't give much information about  $\mathbf{C} \dots$

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- $\dots$  However  $\mathbf{V}$  vanishes on **Supp**( $\mathbf{e}$ ) !  $\Rightarrow$  Enables to recover  $\mathbf{e}$  efficiently.



## Step 2: Recover the plaintext $m$

$$\mathbf{c} = \mathbf{m}\mathbf{G} + \text{Tr}(\alpha\mathbf{k}_{pub}) + \mathbf{e}$$

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## Step 2: Recover the plaintext $m$

$$\mathbf{c}' := \mathbf{m}\mathbf{G} + \text{Tr}(\alpha\mathbf{k}_{pub}) = (\mathbf{m} + \text{Tr}(\alpha\mathbf{x}))\mathbf{G} + \text{Tr}(\alpha\xi)\mathbf{z}_0.$$

$\mathbf{m} = (m_1, \dots, m_{k-u}, 0, \dots, 0)$  and  $(x_{k-u+1}, \dots, x_k)$  is a basis of  $\mathbb{F}_{q^{nu}}/\mathbb{F}_{q^n}$ .

- $\{\beta \in \mathbb{F}_{q^{nu}} \mid \mathbf{c}' - \text{Tr}(\beta\mathbf{k}_{pub}) \in \mathcal{G}\} = \alpha + \langle \xi \rangle^\perp \xrightarrow{\text{unencode}} \mathbf{m} + \{\text{Tr}(\gamma\mathbf{x}) \mid \gamma \in \langle \xi \rangle^\perp\}$
- The last  $u$  components of  $\mathbf{m} + \text{Tr}(\gamma\mathbf{x})$  are 0 iff  $\gamma = 0$ .

## Step 2: Recover the plaintext $m$

$$\mathbf{c}' = (\mathbf{m} + \text{Tr}(\alpha\mathbf{x}))\mathbf{G} + \text{Tr}(\alpha\xi)\mathbf{z}_0.$$

- (i) Take a random element  $\mathbf{s} = \mathbf{m} + \text{Tr}(\gamma\mathbf{x}), \gamma \in \langle \xi \rangle^\perp$ .
- (ii) Find a generating set  $(\mathbf{e}_1, \dots, \mathbf{e}_{u-1})$  of  $\{\text{Tr}(\gamma\mathbf{x}) \mid \gamma \in \langle \xi \rangle^\perp\}$ .

$m$  is the **only solution** of

$$\left\{ \begin{array}{l} \mathbf{m} + \sum_{i=1}^{u-1} \lambda_i \mathbf{e}_i = \mathbf{s} \\ m_{k-u+1} = \dots = m_k = 0 \end{array} \right.$$

$k + u$  equations and  $k + u - 1$  unknowns  $\Rightarrow$  recover  $\mathbf{m}$ .

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- RAMESSES cryptosystem (J. Lavauzelle, P. Loidreau, B.-D. Pham) on arxiv another encryption scheme with short keys can be attacked by a similar method (need right hand side decoding).



# Conclusion and perspectives

## Contributions.

- Alternative decoding algorithm for (interleaved) Gabidulin codes.
- Alternative attack on the original Faure-Loidreau PKE.
- A new message recovery attack on the repair.

## Open question.

- Build a provably secure PKE based on decoding Gabidulin codes above unique decoding radius ?

# The End.

Thanks for your attention !