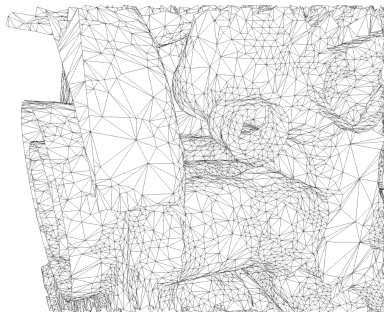
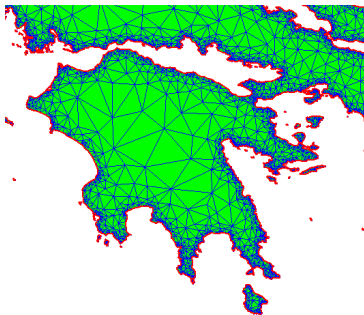
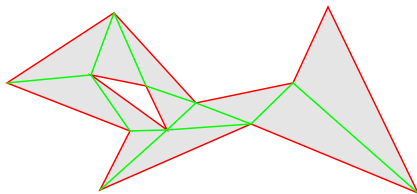
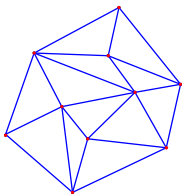


Simplicial Complexes

Jean-Daniel Boissonnat
Geometrica, INRIA

<http://www-sop.inria.fr/geometrica>

Examples of simplicial complexes



Geometric simplices

A k -simplex σ is the convex hull of $k + 1$ points of \mathbb{R}^d that are affinely independent

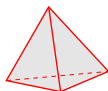
$$\sigma = \text{conv}(p_0, \dots, p_k) = \left\{ x \in \mathbb{R}^d, x = \sum_{i=0}^k \lambda_i p_i, \lambda_i \in [0, 1], \sum_{i=0}^k \lambda_i = 1 \right\}$$

$k = \dim(\text{aff}(\sigma))$ is called the dimension of σ

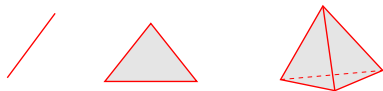
1-simplex = line segment

2-simplex = triangle

3-simplex = tetrahedron



Faces of a simplex



$V(\sigma)$ = set of vertices of a k -simplex σ

$\forall V' \subseteq V(\sigma)$, $\text{conv}(V')$ is a **face** of σ

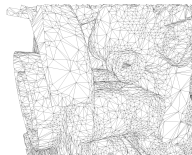
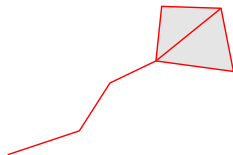
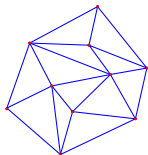
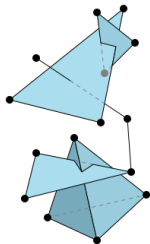
a k -simplex has $\binom{k+1}{i+1}$ faces of dimension i

$$\text{total nb of faces} = \sum_{i=0}^d \binom{k+1}{i+1} = 2^{k+1} - 1$$

Geometric simplicial complexes

A finite collection of simplices K called the **faces** of K such that

- $\forall \sigma \in K$, σ is a simplex
- $\sigma \in K$, $\tau \subset \sigma \Rightarrow \tau \in K$
- $\forall \sigma, \tau \in K$, either $\sigma \cap \tau = \emptyset$ or $\sigma \cap \tau$ is a common face of both



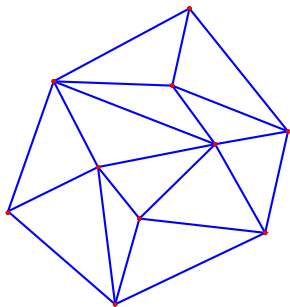
Geometric simplicial complexes

The **dimension** of a simplicial complex K is the max dimension of its simplices

A subset of K which is a complex is called a **subcomplex** of K

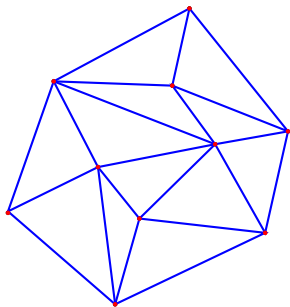
The **underlying space** $|K| \subset \mathbb{R}^d$ of K is the union of the simplices of K

Example 1 : Triangulation of a finite point set of \mathbb{R}^d



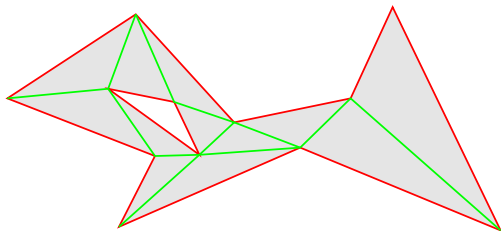
- A simplicial d -complex K is **pure** if every simplex in K is the face of a d -simplex.
- A **triangulation** of a finite point set $P \in \mathbb{R}^d$ is a pure geometric simplicial complex K s.t. $\text{vert}(K) = P$ and $|K| = \text{conv}(P)$.

Example 1 : Triangulation of a finite point set of \mathbb{R}^d



- A simplicial d -complex K is **pure** if every simplex in K is the face of a d -simplex.
- A **triangulation** of a finite point set $P \in \mathbb{R}^d$ is a pure geometric simplicial complex K s.t. $\text{vert}(K) = P$ and $|K| = \text{conv}(P)$.

Example 2 : triangulation of a polygonal domain of \mathbb{R}^2

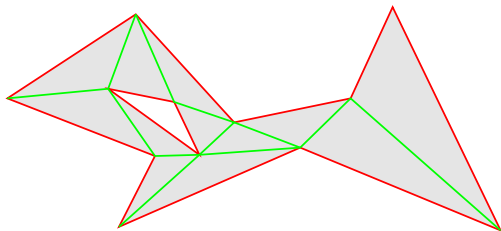


A **triangulation** of a polygonal domain $\Omega \subset \mathbb{R}^2$ is a pure geometric simplicial complex K s.t. $\text{vert}(K) = \text{vert}(\Omega)$ and $|K| = \Omega$.

Exercises

- ▶ Show that such a triangulation exists for any Ω
- ▶ Propose an algorithm of complexity $O(n \log n)$ to compute it where $n = \#\text{vert}(\Omega)$
- ▶ Show that some polyhedral domains of \mathbb{R}^3 do not admit a triangulation

Example 2 : triangulation of a polygonal domain of \mathbb{R}^2

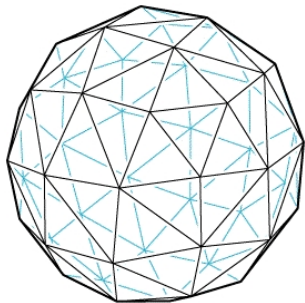


A **triangulation** of a polygonal domain $\Omega \subset \mathbb{R}^2$ is a pure geometric simplicial complex K s.t. $\text{vert}(K) = \text{vert}(\Omega)$ and $|K| = \Omega$.

Exercises

- ▶ Show that such a triangulation exists for any Ω
- ▶ Propose an algorithm of complexity $O(n \log n)$ to compute it where $n = \#\text{vert}(\Omega)$
- ▶ Show that some polyhedral domains of \mathbb{R}^3 do not admit a triangulation

Example 3 : the boundary complex of the convex hull of a finite set of points in general position



Polytope

$$\text{conv}(P) = \left\{ x \in \mathbb{R}^d, x = \sum_{i=0}^k \lambda_i p_i, \right. \\ \left. \lambda_i \in [0, 1], \sum_{i=0}^k \lambda_i = 1 \right\}$$

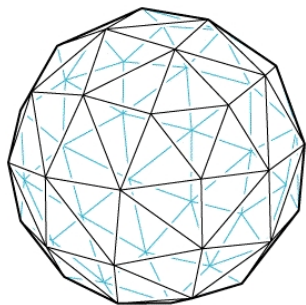
Supporting hyperplane H :

$H \cap P \neq \emptyset$, P on one side of H

Faces : $\text{conv}(P) \cap H$, H supp. hyp.

- P is in **general position** iff no subset of $k + 2$ points lie in a k -flat
- If P is in general position, all faces of $\text{conv}(P)$ are simplices

Example 3 : the boundary complex of the convex hull of a finite set of points in general position



Polytope

$$\text{conv}(P) = \left\{ x \in \mathbb{R}^d, x = \sum_{i=0}^k \lambda_i p_i, \right. \\ \left. \lambda_i \in [0, 1], \sum_{i=0}^k \lambda_i = 1 \right\}$$

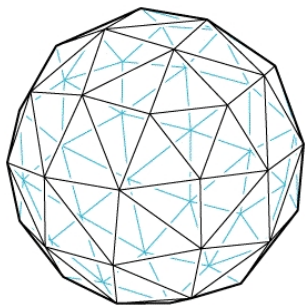
Supporting hyperplane H :

$H \cap P \neq \emptyset$, P on one side of H

Faces : $\text{conv}(P) \cap H$, H supp. hyp.

- P is in **general position** iff no subset of $k + 2$ points lie in a k -flat
- If P is in general position, all faces of $\text{conv}(P)$ are simplices

Example 3 : the boundary complex of the convex hull of a finite set of points in general position



Polytope

$$\text{conv}(P) = \left\{ x \in \mathbb{R}^d, x = \sum_{i=0}^k \lambda_i p_i, \right. \\ \left. \lambda_i \in [0, 1], \sum_{i=0}^k \lambda_i = 1 \right\}$$

Supporting hyperplane H :

$H \cap P \neq \emptyset$, P on one side of H

Faces : $\text{conv}(P) \cap H$, H supp. hyp.

- P is in **general position** iff no subset of $k + 2$ points lie in a k -flat
- If P is in general position, all faces of $\text{conv}(P)$ are simplices

Abstract simplicial complexes

Given a finite set of elements P , an abstract simplicial complex K with vertex set P is a set of subsets of P s.t.

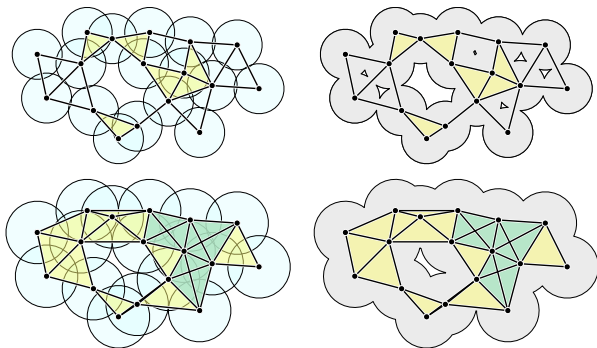
- 1 $\forall p \in P, \quad p \in K$
- 2 if $\sigma \in K$ and $\tau \subseteq \sigma$, then $\tau \in K$

The elements of K are called the (abstract) simplices or faces of K

The dimension of a simplex σ is $\dim(\sigma) = \#\text{vert}(\sigma) - 1$

Nerve of a finite cover $\mathcal{U} = \{U_1, \dots, U_n\}$ of X

An example of an abstract simplicial complex



The **nerve** of \mathcal{U} is the simplicial complex $K(\mathcal{U})$ defined by

$$\sigma = [U_{i_0}, \dots, U_{i_k}] \in K(\mathcal{U}) \iff \bigcap_{i=0}^k U_{i_i} \neq \emptyset$$

Realization of an abstract simplicial complex

- A **realization** of an abstract simplicial complex K is a geometric simplicial complex K_g whose corresponding abstract simplicial complex is **isomorphic** to K , i.e.

$$\exists \text{ bijective } f : \text{vert}(K) \rightarrow \text{vert}(K_g) \quad \text{s.t.} \quad \sigma \in K \Rightarrow f(\sigma) \in K_g$$

- Any abstract simplicial complex K can be realized in \mathbb{R}^n

$$\begin{aligned} \text{Hint : } v_i &\rightarrow p_i = (0, \dots, 0, 1, 0, \dots, 0) \in \mathbb{R}^n && (n = \#\text{vert}(K)) \\ \sigma &= \text{conv}(p_1, \dots, p_n) && \text{(canonical simplex)} \\ K_g &\subseteq \sigma \end{aligned}$$

- Realizations are not unique but are all **topologically equivalent** (homeomorphic)

Topological equivalence

Two subsets X and Y of \mathbb{R}^d are said to be **topologically equivalent** or **homeomorphic** if there exists a continuous, bijective map $f : X \rightarrow Y$ with continuous inverse f^{-1}



Topological disks



Not a topological disk

No need for the condition f^{-1} to be continuous
if X is compact and Y is Hausdorff (e.g. a metric
space)

Topological equivalence

Two subsets X and Y of \mathbb{R}^d are said to be **topologically equivalent** or **homeomorphic** if there exists a continuous, bijective map $f : X \rightarrow Y$ with continuous inverse f^{-1}



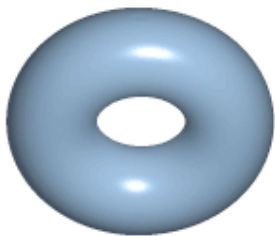
Topological disks



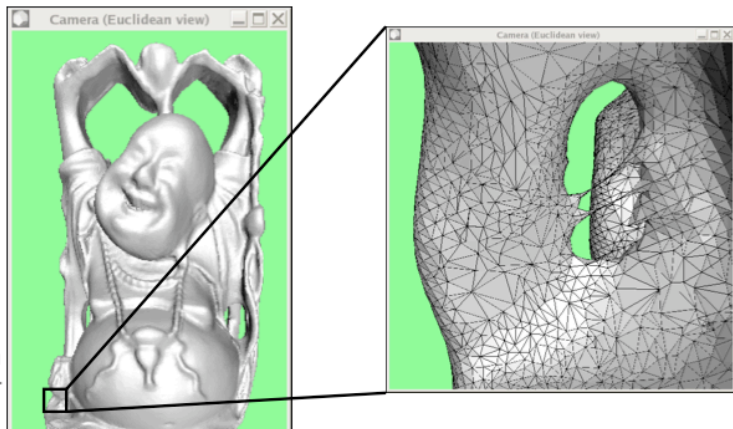
Not a topological disk

No need for the condition f^{-1} to be continuous
if X is compact and Y is Hausdorff (e.g. a metric
space)

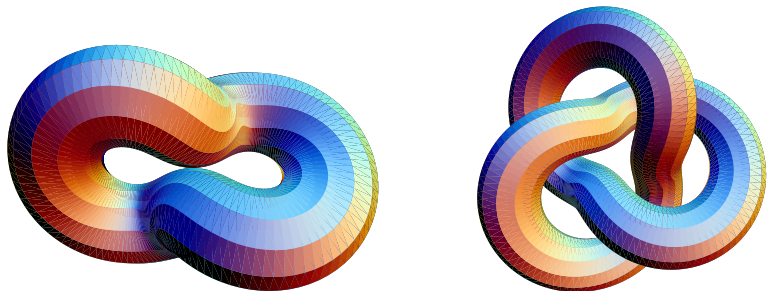
Are these objects homeomorphic ?



Are these objects homeomorphic ?



Are these objects homeomorphic ?



Triangulated balls and spheres

A triangulated d -ball ($(d - 1)$ -sphere) is a simplicial complex whose realization is homeomorphic to the unit d -ball ($(d - 1)$ -sphere) of \mathbb{R}^d

Examples

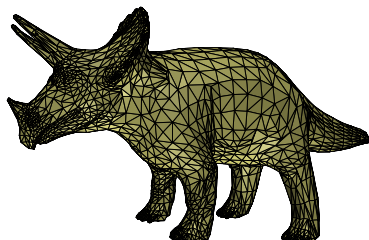
- ▶ a triangulated simple polygon
- ▶ the boundary complex of a simplicial d -polytope is a triangulated $(d - 1)$ -sphere
- ▶ a triangulated polyhedron without hole

Triangulated balls and spheres

A triangulated d -ball ($(d - 1)$ -sphere) is a simplicial complex whose realization is homeomorphic to the unit d -ball ($(d - 1)$ -sphere) of \mathbb{R}^d

Examples

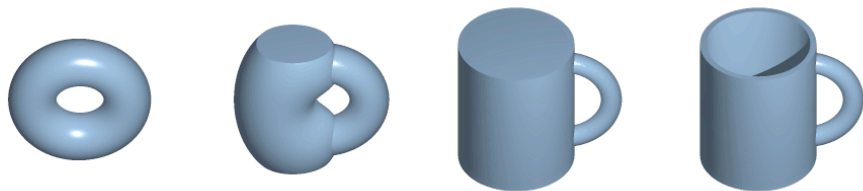
- ▶ a triangulated simple polygon
- ▶ the boundary complex of a simplicial d -polytope is a triangulated $(d - 1)$ -sphere
- ▶ a triangulated polyhedron without hole



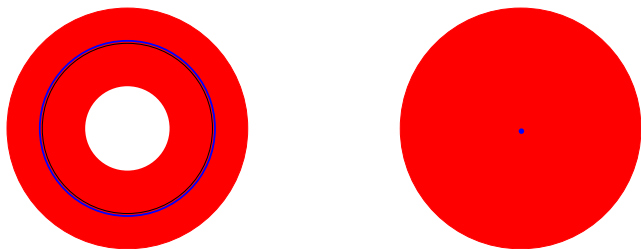
A weaker notion of topological equivalence

Let X and Y be two subsets of \mathbb{R}^d . Two maps $f_0, f_1 : X \rightarrow Y$ are said to be **homotopic** if there exists a continuous map $H : [0, 1] \times X \rightarrow Y$ s.t.

$$\forall x \in X, \quad H(0, x) = f_0(x) \quad \wedge \quad H(1, x) = f_1(x)$$



Homotopy equivalence

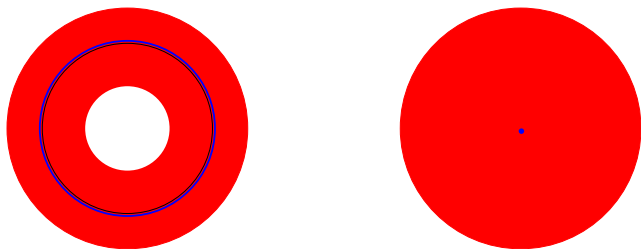


X and Y are said to be **homotopy equivalent** if there exist two continuous maps $f : X \rightarrow Y$ and $g : Y \rightarrow X$ such that $f \circ g$ ($g \circ f$) is homotopic to the identity map in Y (X)

Deformation retract : $r : X \rightarrow Y \subseteq X$ is a d.r. if it is homotopic to Id_X and X and Y then have the same homotopy type

X is said to be **contractible** if it has the same homotopy type as a point

Homotopy equivalence

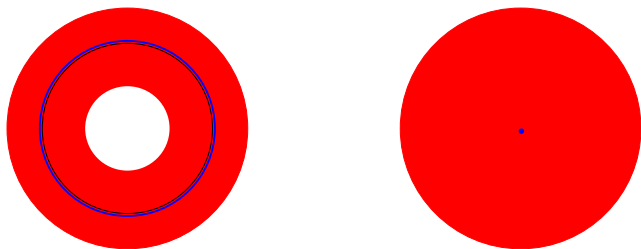


X and Y are said to be **homotopy equivalent** if there exist two continuous maps $f : X \rightarrow Y$ and $g : Y \rightarrow X$ such that $f \circ g$ ($g \circ f$) is homotopic to the identity map in Y (X)

Deformation retract : $r : X \rightarrow Y \subseteq X$ is a d.r. if it is homotopic to Id
 X and Y then have the same homotopy type

X is said to be **contractible** if it has the same homotopy type as a point

Homotopy equivalence

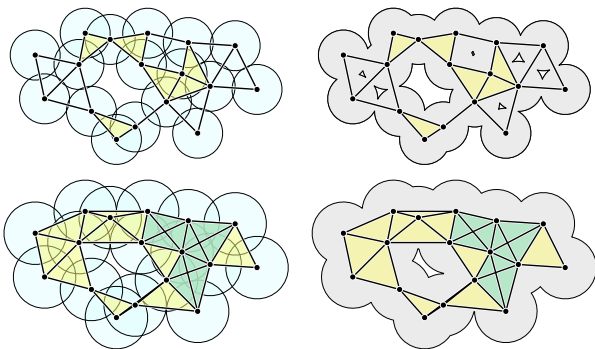


X and Y are said to be **homotopy equivalent** if there exist two continuous maps $f : X \rightarrow Y$ and $g : Y \rightarrow X$ such that $f \circ g$ ($g \circ f$) is homotopic to the identity map in Y (X)

Deformation retract : $r : X \rightarrow Y \subseteq X$ is a d.r. if it is homotopic to Id_X and Y then have the same homotopy type

X is said to be **contractible** if it has the same homotopy type as a point

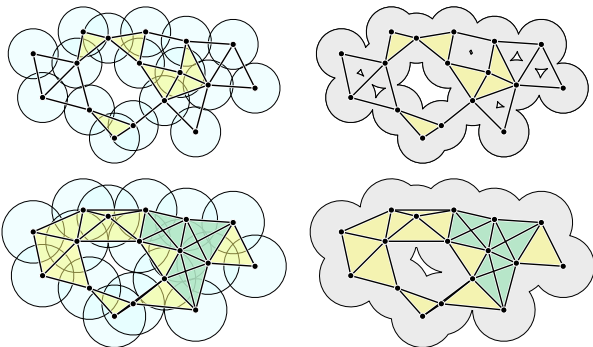
Nerve of a finite cover $\mathcal{U} = \{U_1, \dots, U_n\}$ of X



The **nerve** of \mathcal{U} is the simplicial complex $K(\mathcal{U})$ defined by

$$\sigma = [U_{i_0}, \dots, U_{i_k}] \in K(\mathcal{U}) \iff \bigcap_{i=1}^k U_{i_j} \neq \emptyset$$

Nerve of a cover

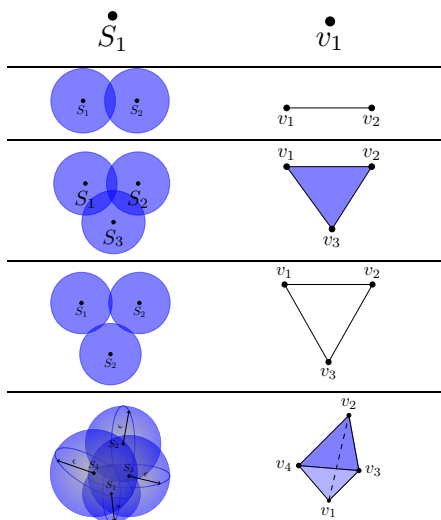


Nerve Theorem (Leray)

If any intersection of the U_i is either empty or contractible, then X and $K(U)$ have the same homotopy type

Example 1: Čech complex of a point set $P \subset \mathbb{R}^d$

$$\sigma \subseteq P \in C(P, \alpha) \Leftrightarrow \bigcap_{p \in \sigma} B(p, \alpha) \neq \emptyset$$

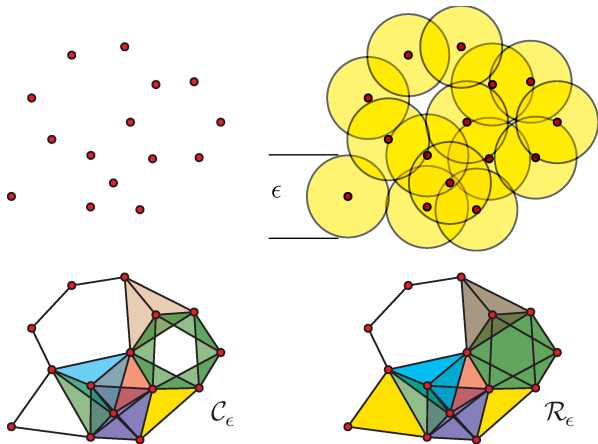


Exercises

- Show that $\sigma \in C(P, \alpha) \Leftrightarrow R(\text{minball}(P)) \leq \alpha$
- Propose an algorithm to compute $\text{minball}(P)$
($O(\#P)$ time complexity for fixed dimension d)
- Involves the computation of radii of spheres circumscribing d -simplices

Example 2 : Rips complex of P

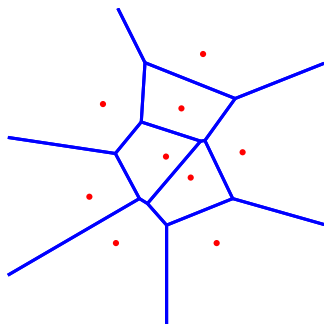
$$\sigma \subseteq P \in R(P, \alpha) \Leftrightarrow \forall p, q \in \sigma \ \|p - q\| \leq \alpha \Leftrightarrow B(p, \frac{\alpha}{2}) \cap B(q, \frac{\alpha}{2}) \neq \emptyset$$



Exercises

- Show that $R(P, \alpha) \subseteq C(P, \alpha) \subseteq R(P, 2\alpha)$
- Computing $R(P, \alpha)$ reduces to computing the graph G (vertices+edges) of $R(P, \alpha)$ and computing the cliques of G

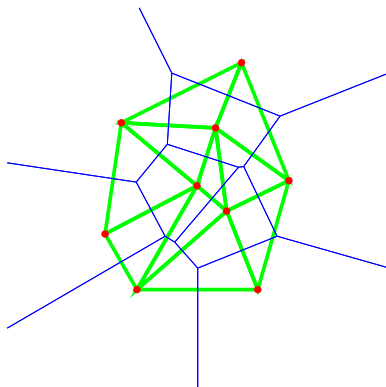
Nerves of Euclidean Voronoi diagrams



Voronoi cell $V(p_i) = \{x : \|x - p_i\| \leq \|x - p_j\|, \forall j\}$

Voronoi diagram $(\mathcal{P}) = \{ \text{collection of all cells } V(p_i), p_i \in \mathcal{P} \}$

Nerves of Euclidean Voronoi diagrams



The nerve of $\text{Vor}(P)$ is called the Delaunay complex $\text{Del}(P)$

$\text{Del}(P)$ cannot always be realized in \mathbb{R}^d

Triangulation of a finite point set of \mathbb{R}^d

- A simplicial k -complex K is **pure** if every simplex in K is the face of a k -simplex.
- A **triangulation** of a finite point set $P \in \mathbb{R}^d$ is a pure geometric simplicial complex K s.t. $\text{vert}(K) = P$ and $|K| = \text{conv}(P)$.

Problem : show that the Delaunay triangulation of a finite point set of \mathbb{R}^d is a triangulation under some mild genericity assumption

Triangulation of a finite point set of \mathbb{R}^d

- A simplicial k -complex K is **pure** if every simplex in K is the face of a k -simplex.
- A **triangulation** of a finite point set $P \in \mathbb{R}^d$ is a pure geometric simplicial complex K s.t. $\text{vert}(K) = P$ and $|K| = \text{conv}(P)$.

Problem : show that the Delaunay triangulation of a finite point set of \mathbb{R}^d is a triangulation under some mild genericity assumption

Triangulation of a finite point set of \mathbb{R}^d

- A simplicial k -complex K is **pure** if every simplex in K is the face of a k -simplex.
- A **triangulation** of a finite point set $P \in \mathbb{R}^d$ is a pure geometric simplicial complex K s.t. $\text{vert}(K) = P$ and $|K| = \text{conv}(P)$.

Problem : show that the Delaunay triangulation of a finite point set of \mathbb{R}^d is a triangulation under some mild genericity assumption

Stars and links

- Let K be a simplicial complex with vertex set P . The **star** of $p \in P$ is the set of simplices of K that have p as a vertex
- The **link** of p is the set of simplices $\tau \subset \sigma$ such that $\sigma \in \text{star}(p, K)$ but $\tau \notin \text{star}(p, K)$

If K is a triangulation of a point set

- the link of any vertex of $K \setminus \partial K$ is a triangulated $(k - 1)$ -sphere
- the link of any vertex of ∂K is a triangulated $(k - 1)$ -ball

Data structures to represent simplicial complexes

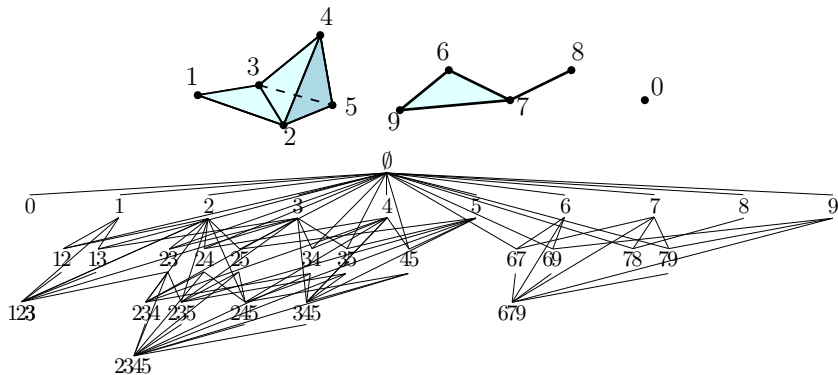
Atomic operations

- **Look-up/Insertion/Deletion** of a simplex
- The **facets** and **subfaces** of a simplex
- The **cofaces** of a simplex
- **Edge contractions**
- **Elementary collapses**

Explicit representation of all simplices ? of all incidence relations ?

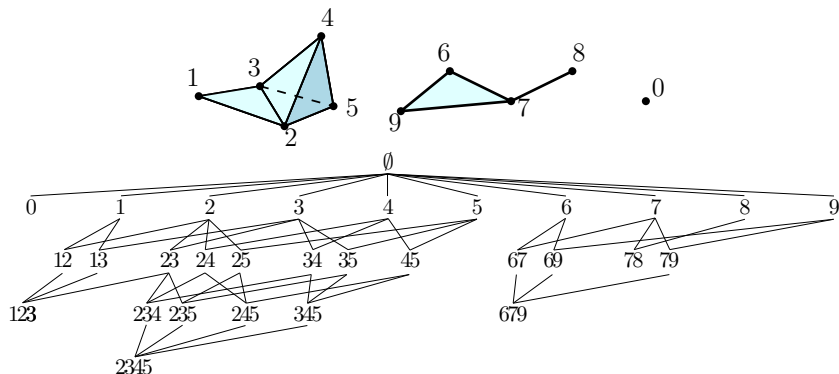
The incidence graph

$$G(V, E) \quad \sigma \in V \iff \sigma \in K$$
$$(\sigma, \tau) \in E \iff \sigma \subset \tau$$

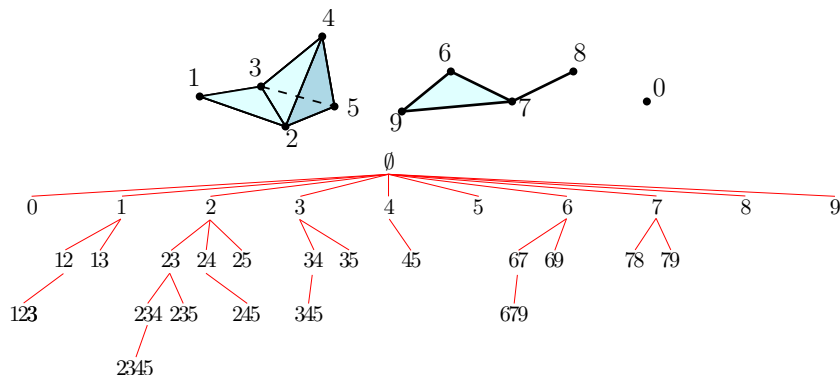


The Hasse diagram

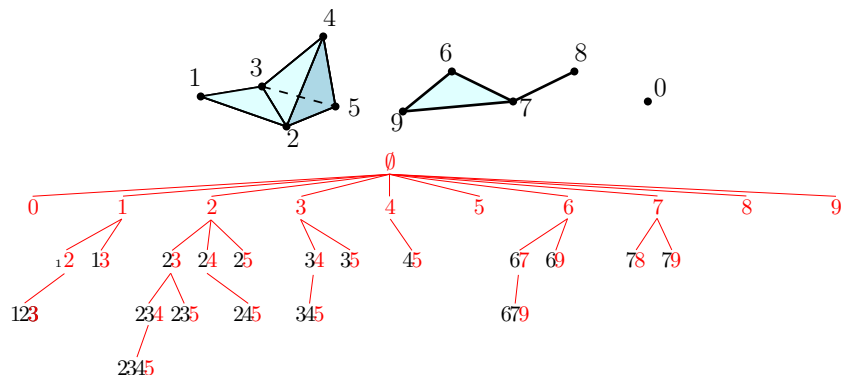
$$G(V, E) \quad \sigma \in V \iff \sigma \in K$$
$$(\sigma, \tau) \in E \iff \sigma \subset \tau \wedge \dim(\sigma) = \dim(\tau) - 1$$



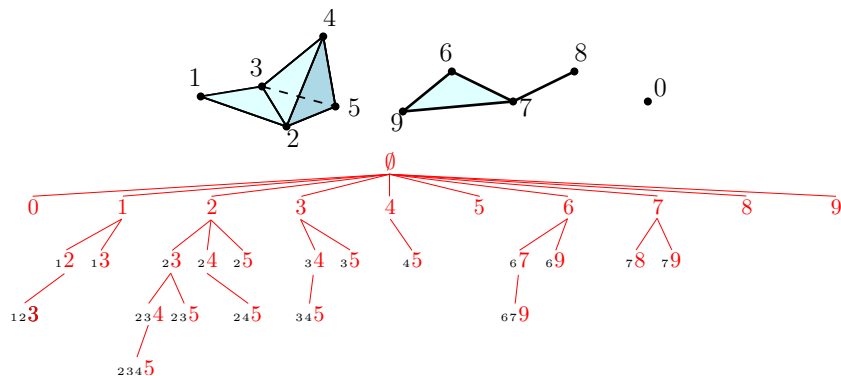
- 1 Select a specific spanning tree of the Hasse diagram s.t. the chosen incidences respect the lexicographic order
- 2 Keep only the biggest vertex in each simplex. The vertices of a simplex are encountered in the path from the root to its node



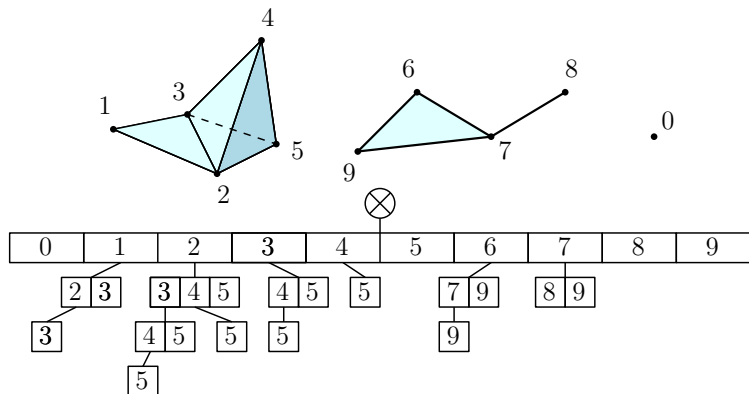
- 1 Select a specific spanning tree of the Hasse diagram s.t. the chosen incidences respect the lexicographic order
- 2 Keep only the biggest vertex in each simplex. The vertices of a simplex are encountered in the path from the root to its node



- 1 Select a specific spanning tree of the Hasse diagram s.t. the chosen incidences respect the lexicographic order
- 2 Keep only the biggest vertex in each simplex. The vertices of a simplex are encountered in the path from the root to its node

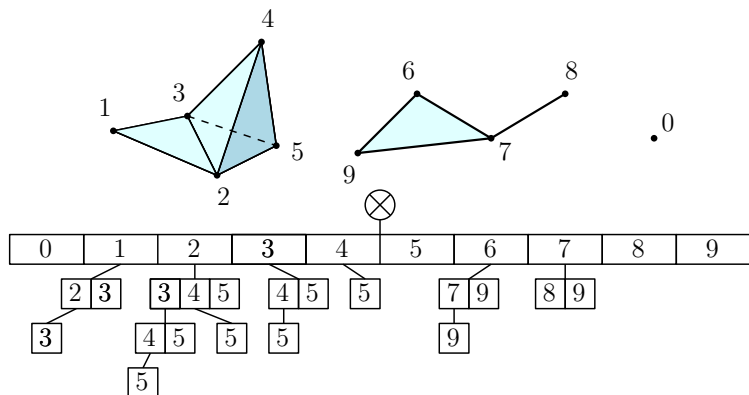


- 1 Select a specific spanning tree of the Hasse diagram s.t. the chosen incidences respect the lexicographic order
- 2 Keep only the biggest vertex in each simplex. The vertices of a simplex are encountered in the path from the root to its node



The simplex tree is a trie

- 1 index the vertices of K
- 2 associate to each simplex $\sigma \in K$, the sorted list of its vertices
- 3 store the simplices in a **trie**.



Performance of the simplex tree

- Explicit representation of all simplices
- $\#\text{nodes} = \#\mathcal{K}$
- **Memory complexity:** $O(1)$ per simplex.
- $\text{depth} = \dim(\mathcal{K}) + 1$
- $\#\text{children}(\sigma) \leq \#\text{cofaces}(\sigma) \leq \text{deg}(\text{last}(\sigma))$

Data	$ \mathcal{P} $	D	d	r	k	T_g	$ E $	T_{Rips}	$ \mathcal{K} $	T_{tot}	$T_{\text{tot}}/ \mathcal{K} $
Bud	49,990	3	2	0.11	3	1.5	1,275,930	104.5	354,695,000	104.6	$3.0 \cdot 10^{-7}$
Bro	15,000	25	?	0.019	25	0.6	3083	36.5	116,743,000	37.1	$3.2 \cdot 10^{-7}$
Cy8	6,040	24	2	0.4	24	0.11	76,657	4.5	13,379,500	4.61	$3.4 \cdot 10^{-7}$
K1	90,000	5	2	0.075	5	0.46	1,120,000	68.1	233,557,000	68.5	$2.9 \cdot 10^{-7}$
S4	50,000	5	4	0.28	5	2.2	1,422,490	95.1	275,126,000	97.3	$3.6 \cdot 10^{-7}$

- Show how to implement the atomic operations on a ST
[B., Maria 2014]
- Show how to represent a Rips complex

Computing the min. enclosing ball $\text{mb}(P)$ of $P \subset \mathbb{R}^d$

Properties

- $\text{mb}(P)$ is unique
- $\text{mb}(P)$ is determined by at most $d + 1$ points
- If $B = \text{mb}(P \setminus \{p\})$ and $p \notin B$, then $p \in \partial \text{mb}(P)$
- same results for $\text{mb}(P, Q)$, the min ball B such that
 $P \subset \text{int}B$ and $Q \in \partial B$ (if it exists)

If $B = \text{mb}(P \setminus \{p\}, Q)$ and $p \notin B$, then

- ▶ $p \in \partial \text{mb}(P, Q)$ (if it exists)
- ▶ $\Leftrightarrow \text{mb}(P, Q) = \text{mb}(P \setminus \{p\}, Q \cup \{p\})$

Computing the min. enclosing ball $\text{mb}(P)$ of $P \subset \mathbb{R}^d$

Algorithm

input P

$Q := \emptyset$ // points on $\partial \text{mb}(P)$

$\text{mb}(P) := \text{miniball}(P, Q)$

stop

Algorithm $\text{miniball}(P, Q)$ // $Q =$ points that have to be on $\partial \text{miniball}$

- 1 if $P = \emptyset$ then compute directly $B := \text{mb}(Q)$
- 2 else
 - 1 choose a random $p \in P$
 - 2 $B := \text{miniball}(P \setminus \{p\}, Q)$
 - 3 if $p \notin B$ then $B := \text{miniball}(P \setminus \{p\}, Q \cup \{p\})$ // $p \in \partial B$
- 3 return B

Complexity analysis

Let $T(n, j) =$ expected number of tests $p \notin B, p \in P$
with $\#P = n$ and $j = d + 1 - \#Q$

$$T(0, j) = 0 \text{ and } T(n, 0) = 0$$

since p is any point among P and $\#(P \cap \partial B) = j$,

$$\text{proba } (p \notin B = \text{miniball}(P \setminus \{p\}, Q)) \leq \frac{j}{n}$$

$$T(n, j) \leq T(n-1, j) + O(1) + \frac{j}{n} T(n-1, j-1)$$

$$\Rightarrow T(n, j) \leq (j+1)! n$$

Complexity of $\text{mb}(P) = O(d) T(n, d+1) = O(n)$ for fixed d