## **Federated Learning for Intrusion Detection and Mitigation**

### Hands-on Machine Learning for Security, CIDRE

Léo LAVAUR, IMT Atlantique, IRISA, Cyber CNI

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#### Advisors:

- Marc-Oliver Pahl, IMT Atlantique, IRISA, Cyber CNI
- Yann Busnel, IMT Atlantique, IRISA
- Fabien Autrel, IMT Atlantique, IRISA, Cyber CNI

🖹 🔝 AIRBUS 🛆 AMOSSYS 💽 BNP PARIBAS 🛠 edf NOKIA. Bell Labs 🔛

chaireCyber-cni.org Chaire Cyber CNI 5 industrial partners 8+ associated researchers 12 PhD students (2020/5)

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# Contents



### Context

Introduction to federated learning, and how can it be applied to



### State of the art

Existing works applying federated learning to intrusion detection



## Future work

System comparison and use cases

# 1. Context

Introduction to federated learning, and how can it be applied to

## **Thesis objective**

### **Caveats of collaborative security\***

\*From ~200 reviewed papers, including 15 surveys

- (a)
  - Lack of collective knowledge

There is a lack of collective knowledge in cybersecurity, and more particularly in the OT. [1]

- (b) Lack of incentives Trust and privacy are major hurdle for stakeholders to share data. [1]
- (c) Architectural isolation The siloed architecture of detection systems is an obstacle to their effectiveness. [3]
- (d) Insuffisant resiliency

Centralized systems represent a Single Point of Failure and can induce a communication overhead. [2] **R.Q**: How to federate knowledge and defense between non-trusting parties?

- What to collect?
- What to share?
- How to share it?

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**Collaborative IDS** 

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#### Federated Learning for IDSs

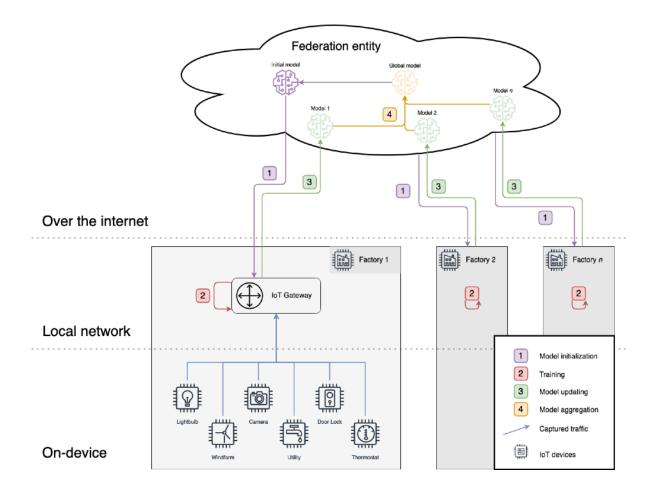


Fig. 1. FL-based detection in smart factory

- Horizontal FL: aggregation of homogeneous models
  - Local collection and analysis of data
  - Better privacy, reduced bandwidth
- Note: collection of additional data could be performed using a *Honeypot Factory*

# 2. State of the Art

Existing works applying federated learning to intrusion detection

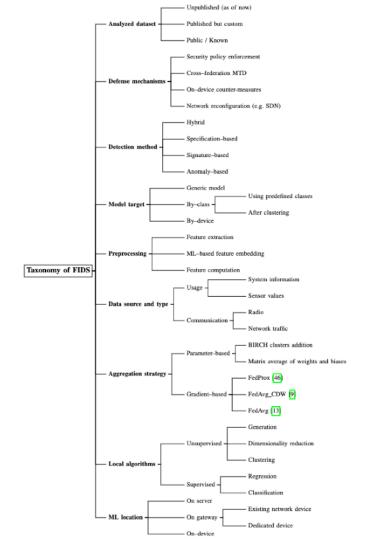


Fig. 2. Taxonomy of FIDS (provisional)

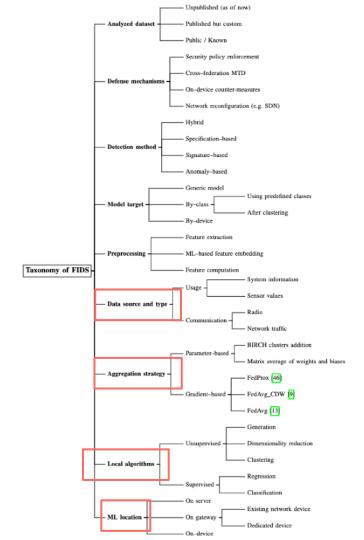
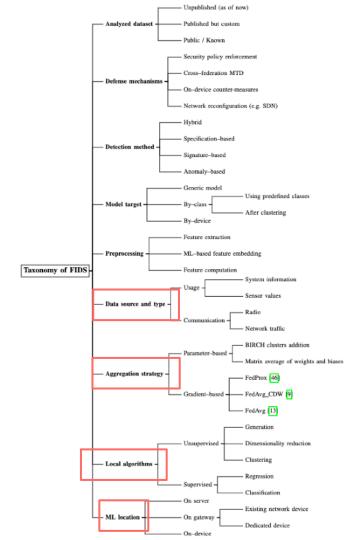
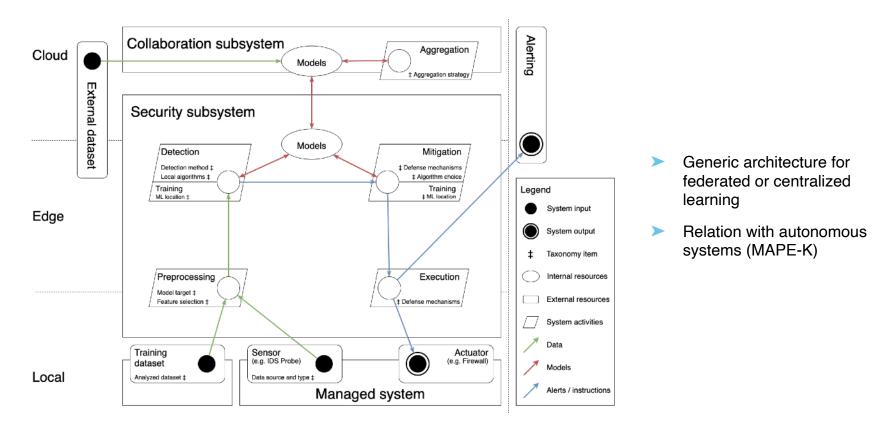


Fig. 2. Taxonomy of FIDS (provisional)



- Local algorithm is selected in accordance to the type of data
- The aggregation depends on the local strategy
- Architecture reflects the use case and its constraints

Fig. 2. Taxonomy of FIDS (provisional)



## State-of-the-Art

|                          | ML location      | Data type            | Local algorithm | Aggregation strategy |
|--------------------------|------------------|----------------------|-----------------|----------------------|
| Pahl and Aubet 2018 [9]  | On-device        | Network (middleware) | BIRCH / K-Means | Cluster addition     |
| Nguyen et al. 2019 [5]   | On-gateway       | Network              | NN (RNN)        | Gradient-based       |
| Rathore et al. 2019 [2]  | On-gateway (fog) | Network              | NN              | Matrix parameter avg |
| Schneble et al. 2019 [7] | On-gateway       | Sensors              | NN (MLP)        | Matrix parameter avg |
| Li et al. 2020 [6]       | On-gateway       | Network              | NN (RNN)        | Matrix parameter avg |
| Chen et al. 2020 [8]     | On-gateway       | Network              | NN              | Matrix parameter avg |
| Zhang et al. 2020 [10]   | On-gateway       | Sensors              | NN              | Gradient-based       |

# **Hypotheses**

- *I.* Periodicity-mining and other time–based techniques are only effective on constrained devices with predictable traffic.
- *II.* Performance decreases the closer the model is from the monitored device.
  - a. Classification can be used to reduce the number of generated models.
  - b. Ponderation can cope with heterogeneous data.
- *III. The model cannot target features that are specific to the local network.*

# 3. Future work

System comparison and use cases

## Reproducibility



## Implement

Reimplement the related works with one codebase, and one ML network.

### **Define dataset**

Run the experiments on the same datasets to get meaningful results.



### **Compare results**

Compare the announced results with the obtained ones, and draw conclusions.





## **Real-world use cases**



### **IT networks**

Detecting threats in typical IT networks with high traffic volume.

### AIRBUS



### **Smart factory**

Detecting attacks in constrained and heterogeneous context.





### **Smart building**

Detecting anomalies in sensor–focused environments.



# Conclusion

Federated architectures for knowledge & defense between nontrusting parties

#### Ongoing survey:

- Compare the related works, extract significative features and future research leads
- Next steps:
  - reproduce and compare the state-of-the-art
  - build the testbeds to host the experiments