

Around the Saint-Venant system

Course 2

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Course materials available at
<https://team.inria.fr/ange/course-materials/>

From Navier-Stokes to Saint-Venant

- The Navier-Stokes or Euler system

$$\frac{\partial u}{\partial x} + \frac{\partial w}{\partial z} = 0$$

$$\rho_0 \left(\frac{\partial u}{\partial t} + u \frac{\partial u}{\partial x} + w \frac{\partial u}{\partial z} \right) + \frac{\partial p}{\partial x} = \frac{\partial \sigma_{xx}}{\partial x} + \frac{\partial \sigma_{xz}}{\partial z}$$

$$\rho_0 \left(\frac{\partial w}{\partial t} + u \frac{\partial w}{\partial x} + w \frac{\partial w}{\partial z} \right) + \frac{\partial p}{\partial z} = -\rho_0 g + \frac{\partial \sigma_{zx}}{\partial x} + \frac{\partial \sigma_{zz}}{\partial z}$$

$$\frac{\partial u}{\partial x} + \frac{\partial w}{\partial z} = 0$$

$$\rho_0 \left(\frac{\partial u}{\partial t} + u \frac{\partial u}{\partial x} + w \frac{\partial u}{\partial z} \right) + \frac{\partial p}{\partial x} = 0$$

$$\rho_0 \left(\frac{\partial w}{\partial t} + u \frac{\partial w}{\partial x} + w \frac{\partial w}{\partial z} \right) + \frac{\partial p}{\partial z} = -\rho_0 g$$

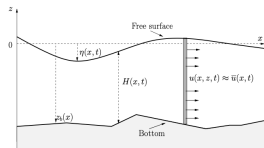
+ Kinematic (and dynamic) boundary conditions

$$\frac{\partial z_b}{\partial x} u_b - w_b = 0, \quad \frac{\partial \eta}{\partial t} + \frac{\partial \eta}{\partial x} u_s - w_s = 0 \quad \dots$$

- Asymptotic expansion for $\varepsilon = \frac{h}{\lambda}$
- The Saint-Venant system

$$\frac{\partial H}{\partial t} + \frac{\partial(H\bar{u})}{\partial x} = 0$$

$$\frac{\partial(H\bar{u})}{\partial t} + \frac{\partial}{\partial x} \left(H\bar{u}^2 + \frac{g}{2} H^2 \right) = -gH \frac{\partial z_b}{\partial x}$$

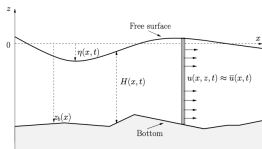


The Saint-Venant system

- Formulation (up to $\mathcal{O}(\varepsilon^2)$) terms

$$\frac{\partial H}{\partial t} + \frac{\partial}{\partial x}(H\bar{u}) = 0,$$

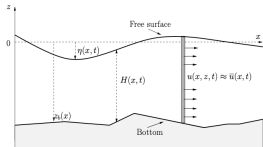
$$\frac{\partial(H\bar{u})}{\partial t} + \frac{\partial(H\bar{u}^2)}{\partial x} + \frac{g}{2} \frac{\partial H^2}{\partial x} = -gH \frac{\partial z_b}{\partial x} + \frac{\partial}{\partial x} \left(4\nu H \frac{\partial \bar{u}}{\partial x} \right) - \frac{\kappa \bar{u}}{1 + \frac{\kappa}{3\nu} H},$$



- Friction laws
 - Navier $S_f = \kappa \bar{u}$, Manning-Strickler $S_f = C_f \frac{\bar{u}|\bar{u}|}{H^{3/2}}$,
 - Darcy-Weisbach $S_f = C_f \frac{\bar{u}|\bar{u}|}{H}$
- Energy balance, vertical velocity, passive tracer
- In 2d
- Why the Saint-Venant system is only valid for $\frac{\partial z_b}{\partial x} \ll 1$?

Do not forget the validity domain !

Energy balance for the Saint-Venant system



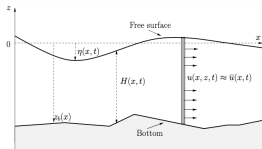
$$\frac{\partial H}{\partial t} + \frac{\partial}{\partial x} (H\bar{u}) = 0,$$

$$\frac{\partial(H\bar{u})}{\partial t} + \frac{\partial(H\bar{u}^2)}{\partial x} + \frac{g}{2} \frac{\partial H^2}{\partial x} = -gH \frac{\partial z_b}{\partial x} + \frac{\partial}{\partial x} (4\nu H \frac{\partial \bar{u}}{\partial x}) - \frac{\kappa \bar{u}}{1 + \frac{\kappa}{3\nu}} H \quad (*)$$

- Energy balance = (*) $\times \bar{u}$
- Advection terms

$$\begin{aligned} \bar{u} \times \left(\frac{\partial(H\bar{u})}{\partial t} + \frac{\partial(H\bar{u}^2)}{\partial x} \right) &= \frac{\partial(H\bar{u}^2)}{\partial t} + \frac{\partial(\bar{u}H\bar{u}^2)}{\partial x} - H\bar{u} \frac{\partial \bar{u}}{\partial t} - H\bar{u}^2 \frac{\partial \bar{u}}{\partial x} \\ &= \frac{\partial(H\bar{u}^2)}{\partial t} + \frac{\partial(\bar{u}H\bar{u}^2)}{\partial x} - \frac{H}{2} \frac{\partial \bar{u}^2}{\partial t} - \frac{H\bar{u}}{2} \frac{\partial \bar{u}^2}{\partial x} \\ &= \frac{\partial(H\bar{u}^2)}{\partial t} + \frac{\partial(\bar{u}H\bar{u}^2)}{\partial x} - \frac{\partial}{\partial t} \left(\frac{H}{2} \bar{u}^2 \right) - \frac{\partial}{\partial x} \left(\frac{\bar{u}H\bar{u}^2}{2} \right) \\ &\quad + \frac{\bar{u}^2}{2} \frac{\partial H}{\partial t} + \frac{\bar{u}^2}{2} \frac{\partial(H\bar{u})}{\partial x} \end{aligned}$$

Energy balance for Saint-Venant (cont'd)



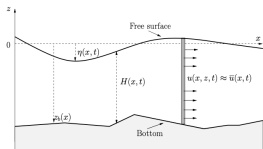
$$\frac{\partial H}{\partial t} + \frac{\partial}{\partial x} (H\bar{u}) = 0,$$

$$\frac{\partial (H\bar{u})}{\partial t} + \frac{\partial (H\bar{u}^2)}{\partial x} + \frac{g}{2} \frac{\partial H^2}{\partial x} = -gH \frac{\partial z_b}{\partial x} + \frac{\partial}{\partial x} (4\nu H \frac{\partial \bar{u}}{\partial x}) - \frac{\kappa \bar{u}}{1 + \frac{\kappa}{3\nu} H} \quad (*)$$

- Pressure terms

$$\begin{aligned} \bar{u} \times \left(gH \frac{\partial (H + z_b)}{\partial x} \right) &= \frac{\partial}{\partial x} (gH\bar{u}(H + z_b)) - g(H + z_b) \frac{\partial (H\bar{u})}{\partial x} \\ &= \frac{\partial}{\partial x} (gH\bar{u}(H + z_b)) + g(H + z_b) \frac{\partial H}{\partial t} \\ &= \frac{\partial}{\partial x} \left(\bar{u} \left(gH \left(\frac{H}{2} + z_b \right) + \frac{g}{2} H^2 \right) \right) + g\eta \frac{\partial \eta}{\partial t} \\ &= \frac{\partial}{\partial x} \left(\bar{u} \left(\frac{g}{2} (\eta^2 - z_b^2) + \frac{g}{2} H^2 \right) \right) + \frac{\partial}{\partial t} \left(\frac{g}{2} (\eta^2 - z_b^2) \right) \end{aligned}$$

Energy balance for Saint-Venant (cont'd)



$$\frac{\partial H}{\partial t} + \frac{\partial}{\partial x}(H\bar{u}) = 0,$$

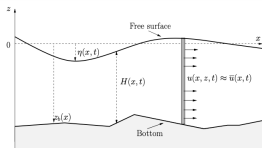
$$\frac{\partial(H\bar{u})}{\partial t} + \frac{\partial(H\bar{u}^2)}{\partial x} + \frac{g}{2} \frac{\partial H^2}{\partial x} = -gH \frac{\partial z_b}{\partial x} + \frac{\partial}{\partial x}(4\nu H \frac{\partial \bar{u}}{\partial x}) - \frac{\kappa \bar{u}}{1 + \frac{\kappa}{3\nu} H} \quad (*)$$

- Energy balance = $(*) \times \bar{u}$
- Advection + pressure terms

$$\bar{u} \times \left(\frac{\partial(H\bar{u})}{\partial t} + \frac{\partial(H\bar{u}^2)}{\partial x} + gH \frac{\partial(H + z_b)}{\partial x} \right) =$$

$$\frac{\partial}{\partial t} \left(\frac{H\bar{u}^2}{2} + \frac{g}{2}(\eta^2 - z_b^2) \right) + \frac{\partial}{\partial x} \left(\bar{u} \left(\frac{H\bar{u}^2}{2} + \frac{g}{2}(\eta^2 - z_b^2) + \frac{g}{2}H^2 \right) \right)$$

Energy balance for Saint-Venant (cont'd)



$$\frac{\partial H}{\partial t} + \frac{\partial}{\partial x} (H\bar{u}) = 0,$$

$$\frac{\partial(H\bar{u})}{\partial t} + \frac{\partial(H\bar{u}^2)}{\partial x} + \frac{g}{2} \frac{\partial H^2}{\partial x} = -gH \frac{\partial z_b}{\partial x} + \frac{\partial}{\partial x} (4\nu H \frac{\partial \bar{u}}{\partial x}) - \frac{\kappa \bar{u}}{1 + \frac{\kappa}{3\nu} H} \quad (*)$$

- Rheology (*) $\times \bar{u}$

$$\bar{u} \times \left(\frac{\partial}{\partial x} (4\nu H \frac{\partial \bar{u}}{\partial x}) - \frac{\kappa \bar{u}}{1 + \frac{\kappa}{3\nu} H} \right) =$$

$$\frac{\partial}{\partial x} (4\nu H \bar{u} \frac{\partial \bar{u}}{\partial x}) - 4\nu H \left(\frac{\partial \bar{u}}{\partial x} \right)^2 - \frac{\kappa \bar{u}^2}{1 + \frac{\kappa}{3\nu} H}$$

- Sum

$$\frac{\partial(H\bar{E})}{\partial t} + \frac{\partial}{\partial x} \left(\bar{u} \left(H\bar{E} + \frac{g}{2} H^2 - 4\nu H \frac{\partial \bar{u}}{\partial x} \right) \right) = -4\nu H \left(\frac{\partial \bar{u}}{\partial x} \right)^2 - \frac{\kappa \bar{u}^2}{1 + \frac{\kappa}{3\nu} H}$$

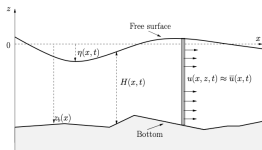
$$\text{with } H\bar{E} = \frac{H\bar{u}^2}{2} + \frac{g}{2} (\eta^2 - z_b^2)$$

Energy balance for Saint-Venant (cont'd)

- What if

$$\frac{\partial H}{\partial t} + \frac{\partial}{\partial x}(H\bar{u}) = 0,$$

$$\frac{\partial(H\bar{u})}{\partial t} + \frac{\partial(H\bar{u}^2)}{\partial x} + \frac{g}{2} \frac{\partial H^2}{\partial x} = -gH \frac{\partial z_b}{\partial x} + H \frac{\partial}{\partial x} (4\nu \frac{\partial \bar{u}}{\partial x}) - \frac{\kappa \bar{u}}{1 + \frac{\kappa}{3\nu}} H$$



- Rheology terms

$$\begin{aligned} \bar{u} \times H \frac{\partial}{\partial x} (4\nu \frac{\partial \bar{u}}{\partial x}) &= \frac{\partial}{\partial x} (4\nu H \bar{u} \frac{\partial \bar{u}}{\partial x}) - 4\nu \frac{\partial(H\bar{u})}{\partial x} \frac{\partial \bar{u}}{\partial x} \\ &= \frac{\partial}{\partial x} (4\nu H \bar{u} \frac{\partial \bar{u}}{\partial x}) - 4\nu H \left(\frac{\partial \bar{u}}{\partial x} \right)^2 - 4\nu \bar{u} \frac{\partial H}{\partial x} \frac{\partial \bar{u}}{\partial x} \end{aligned}$$

- What if any coefficient is modified !

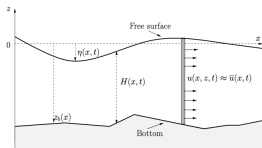
A passive tracer

- A sort of “ink” whose concentration is $T(x, z, t)$
run:anim/upwelling_aeres1.avi
- Governing equation

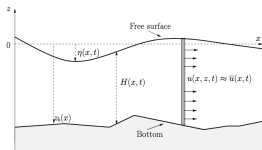
$$\frac{\partial T}{\partial t} + u \frac{\partial T}{\partial x} + w \frac{\partial T}{\partial z} = \mu_T \left(\frac{\partial^2 T}{\partial x^2} + \frac{\partial^2 T}{\partial z^2} \right)$$

or equivalently $(+T \nabla \cdot \mathbf{u})$

$$\frac{\partial T}{\partial t} + \frac{\partial (uT)}{\partial x} + \frac{\partial (wT)}{\partial z} = \mu_T \left(\frac{\partial^2 T}{\partial x^2} + \frac{\partial^2 T}{\partial z^2} \right)$$



A passive tracer (cont'd)



- Governing equation

$$\frac{\partial T}{\partial t} + \frac{\partial(uT)}{\partial x} + \frac{\partial(wT)}{\partial z} = \mu_T \left(\frac{\partial^2 T}{\partial x^2} + \frac{\partial^2 T}{\partial z^2} \right)$$

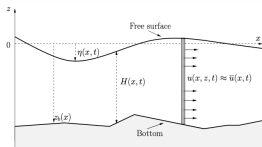
- A vertical integration from z_b to η

$$0 = \int_{z_b}^{\eta} \left(\frac{\partial T}{\partial t} + \frac{\partial(uT)}{\partial x} + \frac{\partial(wT)}{\partial z} \right) dz =$$
$$\frac{\partial}{\partial t} \int_{z_b}^{\eta} T dz + \frac{\partial}{\partial x} \int_{z_b}^{\eta} (uT) dz - T_s \left(\frac{\partial \eta}{\partial t} + u_s \frac{\partial \eta}{\partial x} - w_s \right) + T_b \left(u_b \frac{\partial z_b}{\partial x} - w_b \right)$$

- The shallow water assumption ($\mu_T = 0$)

$$\frac{\partial(H\bar{T})}{\partial t} + \frac{\partial(H\bar{u}\bar{T})}{\partial x} = 0 \quad \text{with } H\bar{T} = \int_{z_b}^{\eta} T dz$$

A passive tracer (cont'd)



- Governing equation

$$\frac{\partial T}{\partial t} + \frac{\partial(uT)}{\partial x} + \frac{\partial(wT)}{\partial z} = \mu_T \left(\frac{\partial^2 T}{\partial x^2} + \frac{\partial^2 T}{\partial z^2} \right)$$

- Boundary conditions

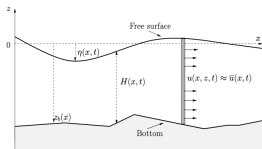
$$\frac{\partial T}{\partial \underline{n}} \Big|_s = \frac{\partial T}{\partial \underline{n}} \Big|_b = 0 \quad (\alpha(T_0 - T))$$

or equivalently $\nabla T|_s \cdot \underline{n}_s = \nabla T|_b \cdot \underline{n}_b = 0$

- A vertical integration from z_b to z

$$\begin{aligned} \mu_T \int_{z_b}^{\eta} \left(\frac{\partial^2 T}{\partial x^2} + \frac{\partial^2 T}{\partial z^2} \right) dz &= \mu_T \frac{\partial}{\partial x} \int_{z_b}^{\eta} \left(\frac{\partial T}{\partial x} \right) dz \\ -\mu_T \frac{\partial \eta}{\partial x} \frac{\partial T}{\partial x} \Big|_s + \mu_T \frac{\partial T}{\partial z} \Big|_s + \mu_T \frac{\partial z_b}{\partial x} \frac{\partial T}{\partial x} \Big|_b - \mu_T \frac{\partial T}{\partial z} \Big|_b & \end{aligned}$$

A passive tracer (cont'd)



- Governing equation

$$\frac{\partial T}{\partial t} + \frac{\partial(uT)}{\partial x} + \frac{\partial(wT)}{\partial z} = \mu_T \left(\frac{\partial^2 T}{\partial x^2} + \frac{\partial^2 T}{\partial z^2} \right)$$

- Finally

$$\frac{\partial(H\bar{T})}{\partial t} + \frac{\partial(H\bar{u}\bar{T})}{\partial x} = \frac{\partial}{\partial x} \left(\mu_T H \frac{\partial \bar{T}}{\partial x} \right)$$

or equivalently

$$\frac{\partial \bar{T}}{\partial t} + \bar{u} \frac{\partial \bar{T}}{\partial x} = \frac{1}{H} \frac{\partial}{\partial x} \left(\mu_T H \frac{\partial \bar{T}}{\partial x} \right)$$

The vertical velocity

- The divergence free condition

$$\frac{\partial u}{\partial x} + \frac{\partial w}{\partial z} = 0$$

- After an integration in z over $[z_b, z]$

$$\int_{z_b}^z \frac{\partial u}{\partial x} dx + w - w_b = 0$$

and using the Leibniz rule

$$w = w_b - \int_{z_b}^z \frac{\partial u}{\partial x} dx = w_b - u_b \frac{\partial z_b}{\partial x} - \frac{\partial}{\partial x} \int_{z_b}^z u dx = -\frac{\partial}{\partial x} \int_{z_b}^z u dx$$

The vertical velocity (cont'd)

- The divergence free condition is equivalent to

$$w = -\frac{\partial}{\partial x} \int_{z_b}^z u dx$$

- The shallow water regime $u = \bar{u} + \mathcal{O}(\varepsilon)$

$$\begin{aligned} w &= -\frac{\partial}{\partial x} \int_{z_b}^z \bar{u} dx + \mathcal{O}(\varepsilon) = -\frac{\partial}{\partial x} ((z - z_b)\bar{u}) + \mathcal{O}(\varepsilon) \\ &= -(z - z_b) \frac{\partial \bar{u}}{\partial x} + \bar{u} \frac{\partial z_b}{\partial x} + \mathcal{O}(\varepsilon) \end{aligned}$$

- An integration from z_b to η gives

$$\int_{z_b}^{\eta} w dz = -\frac{H^2}{2} \frac{\partial \bar{u}}{\partial x} + H\bar{u} \frac{\partial z_b}{\partial x} + \mathcal{O}(\varepsilon)$$

- A choice

$$H\bar{w} = -\frac{H^2}{2} \frac{\partial \bar{u}}{\partial x} + H\bar{u} \frac{\partial z_b}{\partial x} \quad \text{i.e.} \quad \bar{w} = -\frac{H}{2} \frac{\partial \bar{u}}{\partial x} + \bar{u} \frac{\partial z_b}{\partial x}$$

A physical limitation

$$\frac{\partial H}{\partial t} + \frac{\partial}{\partial x}(H\bar{u}) = 0$$

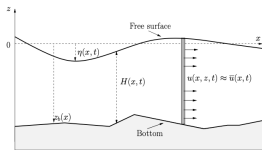
$$\frac{\partial(H\bar{u})}{\partial t} + \frac{\partial(H\bar{u}^2)}{\partial x} + \frac{g}{2} \frac{\partial H^2}{\partial x} = -gH \frac{\partial z_b}{\partial x}$$

- The slope of the topography

$$\tilde{x} = \frac{x}{\lambda}, \quad \tilde{z}_b = \frac{z_b}{h}$$

leading to

$$\frac{\partial z_b}{\partial x} = \varepsilon \frac{\partial \tilde{z}_b}{\partial \tilde{x}} = \mathcal{O}(\varepsilon)$$



Analytical solutions

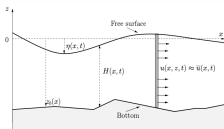
- Stationary analytical solutions (anim)
 - continuous
 - with shocks
- Time-dependent analytical solutions
 - dam-break
 - double rarefaction (anim)
 - parabolic bowl (Thacker) (anim)

A nonlinear system of cons. laws

- The Saint-Venant system

$$\frac{\partial H}{\partial t} + \frac{\partial}{\partial x}(H\bar{u}) = 0$$

$$\frac{\partial(H\bar{u})}{\partial t} + \frac{\partial(H\bar{u}^2)}{\partial x} + \frac{g}{2} \frac{\partial H^2}{\partial x} = -gH \frac{\partial z_b}{\partial x} + \frac{\partial}{\partial x} \left(4\nu H \frac{\partial \bar{u}}{\partial x} \right) - \frac{\kappa \bar{u}}{1 + \frac{\kappa}{3\nu} H}$$



- A rewriting $\frac{\partial X}{\partial t} + \frac{\partial}{\partial x} F(X) = S_b(X) + S_{f,\nu}(X)$

$$\text{where } F(X) = \begin{pmatrix} H\bar{u} \\ H\bar{u}^2 + \frac{g}{2} H^2 \end{pmatrix}, \quad X = \begin{pmatrix} H \\ H\bar{u} \end{pmatrix}$$

- Non conservative form

$$\frac{\partial H}{\partial t} + \frac{\partial}{\partial x}(H\bar{u}) = 0$$

$$\frac{\partial \bar{u}}{\partial t} + \bar{u} \frac{\partial \bar{u}}{\partial x} + g \frac{\partial H}{\partial x} = -g \frac{\partial z_b}{\partial x} + \frac{1}{H} \frac{\partial}{\partial x} \left(4\nu H \frac{\partial \bar{u}}{\partial x} \right) - \frac{\kappa \bar{u}}{H \left(1 + \frac{\kappa}{3\nu} H \right)}$$

- Quasi-linear form $\frac{\partial Y}{\partial t} + A(Y) \frac{\partial Y}{\partial x} = \tilde{S}_b(Y) + \tilde{S}_f(Y)$

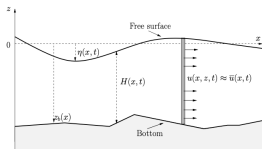
$$\text{with } A(Y) = \begin{pmatrix} \bar{u} & H \\ g & \bar{u} \end{pmatrix}, \quad Y = \begin{pmatrix} H \\ \bar{u} \end{pmatrix}$$

A nonlinear system of cons. laws (cont'd)

- Non conservative form

$$\frac{\partial H}{\partial t} + \frac{\partial}{\partial x}(H\bar{u}) = 0$$

$$\frac{\partial \bar{u}}{\partial t} + \bar{u} \frac{\partial \bar{u}}{\partial x} + g \frac{\partial H}{\partial x} = -g \frac{\partial z_b}{\partial x} + \frac{1}{H} \frac{\partial}{\partial x} \left(4\nu H \frac{\partial \bar{u}}{\partial x} \right) - \frac{\kappa \bar{u}}{H \left(1 + \frac{\kappa}{3\nu} H \right)}$$



- Quasi-linear form

$$\frac{\partial Y}{\partial t} + A(Y) \frac{\partial Y}{\partial x} = \cancel{\check{S}_b(Y)} + \cancel{\check{S}_f(Y)}$$

$$\text{with } A(Y) = \begin{pmatrix} \bar{u} & H \\ g & \bar{u} \end{pmatrix}$$

- Eigenvalues/eigenvectors

$$\begin{vmatrix} \bar{u} - \lambda & H \\ g & \bar{u} - \lambda \end{vmatrix} = 0 \quad \rightarrow \quad \lambda_{\pm} = \bar{u} \pm \sqrt{gH}$$

Riemann invariants

- A very simple case

$$\frac{\partial Y}{\partial t} + A_0 \frac{\partial Y}{\partial x} = 0 \quad (*)$$

- If A_0 is diagonalizable over \mathbb{R} then

$$P^{-1}A_0P = A'_0 = \text{diag}(\lambda_1, \dots, \lambda_N)$$

- First step $P^{-1} \times (*)$ gives

$$\frac{\partial(P^{-1}Y)}{\partial t} + P^{-1}A_0 \frac{\partial Y}{\partial x} = 0$$

- Second step $P^{-1}P = I_N$ hence

$$\frac{\partial(P^{-1}Y)}{\partial t} + P^{-1}A_0P \frac{\partial(P^{-1}Y)}{\partial x} = 0 \quad \Leftrightarrow \quad \frac{\partial \tilde{Y}}{\partial t} + A'_0 \frac{\partial \tilde{Y}}{\partial x} = 0$$

- Finally

$$\frac{\partial \tilde{Y}_i}{\partial t} + \lambda_i \frac{\partial \tilde{Y}_i}{\partial x} = 0 \quad \Leftrightarrow \quad \tilde{Y}_i = f_i(x - \lambda_i t)$$

Riemann invariants (cont'd)

- In practice

$$\frac{\partial Y}{\partial t} + \begin{pmatrix} \bar{u} & H \\ g & \bar{u} \end{pmatrix} \frac{\partial Y}{\partial x} = 0 \quad (*)$$

- Let us assume $\frac{\partial R_{\pm}}{\partial t} + \lambda_{\pm} \frac{\partial R_{\pm}}{\partial x} = 0$ with $R_{\pm} = R_{\pm}(Y)$ then

$$\nabla_Y R_{\pm} \cdot \frac{\partial Y}{\partial t} + \lambda_{\pm} \nabla_Y R_{\pm} \cdot \frac{\partial Y}{\partial x} = 0$$

- Using (*), it comes

$$\nabla_Y R_{\pm} \cdot (\lambda_{\pm} I_N - A) \frac{\partial Y}{\partial x} = 0$$

- Necessarily $\nabla_Y R_{\pm}$ is a left eigenvector of A

$$\lambda_{\pm} = u \pm \sqrt{gH}, \quad \nabla R_{\pm} = \begin{pmatrix} \pm \sqrt{g} \\ \sqrt{H} \end{pmatrix}.$$

Riemann invariants (cont'd)

- Quasilinear form for SV (without topography)

$$\frac{\partial H}{\partial t} + \bar{u} \frac{\partial H}{\partial x} + H \frac{\partial \bar{u}}{\partial x} = 0$$
$$\frac{\partial \bar{u}}{\partial t} + \bar{u} \frac{\partial \bar{u}}{\partial x} + g \frac{\partial H}{\partial x} = 0$$

- Equivalently ($c = \sqrt{gH}$)

$$\frac{\partial(2c)}{\partial t} + \bar{u} \frac{\partial(2c)}{\partial x} + c \frac{\partial \bar{u}}{\partial x} = 0$$
$$\frac{\partial \bar{u}}{\partial t} + \bar{u} \frac{\partial \bar{u}}{\partial x} + c \frac{\partial(2c)}{\partial x} = 0$$

- The sum/difference of the two above equations gives

$$\frac{\partial(\bar{u} + 2c)}{\partial t} + (\bar{u} + c) \frac{\partial(\bar{u} + 2c)}{\partial x} = 0$$
$$\frac{\partial(\bar{u} - 2c)}{\partial t} + (\bar{u} - c) \frac{\partial(\bar{u} - 2c)}{\partial x} = 0$$

Riemann invariants (cont'd)

- The Saint-Venant system

$$\frac{\partial Y}{\partial t} + A(Y) \frac{\partial Y}{\partial x} = 0$$

with eigenvalues λ_{\pm} , (right) eigenvectors r_{\pm}

- Definition** GNL (resp. LD) fields

$$\nabla \lambda_{\pm} \cdot r_{\pm} \neq 0 \quad (\text{resp. } \nabla \lambda_{\pm} \cdot r_{\pm} = 0) \quad \forall Y$$

- The Saint-Venant system with a tracer

$$\frac{\partial H}{\partial t} + \bar{u} \frac{\partial H}{\partial x} + H \frac{\partial \bar{u}}{\partial x} = 0$$

$$\frac{\partial \bar{u}}{\partial t} + \bar{u} \frac{\partial \bar{u}}{\partial x} + g \frac{\partial H}{\partial x} = 0$$

$$\frac{\partial T}{\partial t} + \bar{u} \frac{\partial T}{\partial x} = 0$$

- Eigenvalues $\bar{u} - \sqrt{gH}$, \bar{u} , $\bar{u} + \sqrt{gH}$ and $\nabla_Y \bar{u} \cdot r_{\bar{u}} = 0$ since

$$\nabla_Y \bar{u} = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \quad r_{\bar{u}} = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$

Particular solutions

- Discontinuous solutions

- shocks

$$X(x, t) = \begin{cases} X_l & \text{if } \frac{x}{t} < U \\ X_r & \text{if } \frac{x}{t} > U \end{cases}$$

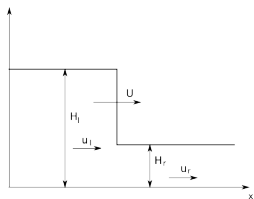
- the Rankine-Hugoniot condition

$$-U(X_r - X_l) = F(X_r) - F(X_l)$$

- dissipation

- Analytical solutions

- “lake at rest”
- stationary solutions
- time dependent (flat/non flat bottom) (anim)
- non unique analytical solutions



The topography

- Hydrodynamic regimes

$$|\bar{u}| < \sqrt{gH}, \quad |\bar{u}| > \sqrt{gH} \quad \text{and} \quad |\bar{u}| = \sqrt{gH}$$

- The topography as an unknow

$$\begin{aligned} \frac{\partial z_b}{\partial t} &= 0, \\ \frac{\partial H}{\partial t} + \frac{\partial H\bar{u}}{\partial x} &= 0, \\ \frac{\partial H\bar{u}}{\partial t} + \frac{\partial}{\partial x} (H\bar{u}^2 + gH^2/2) + gH \frac{\partial z_b}{\partial x} &= 0. \end{aligned}$$

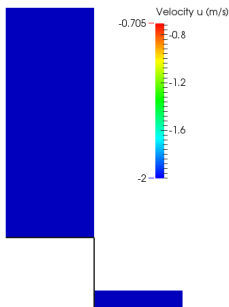
- Eigenvalues associated with $\lambda_0 = 0$ and $\lambda_{\pm} = \bar{u} \pm \sqrt{gH}$
- Strictly hyperbolic if $|\bar{u}| \neq \sqrt{gH}$ else *resonant* ... very complex

Multiple solutions : HR vs. modified HR

- Eigenelements (eigenvalues $\lambda_{\pm} = \bar{u} \pm \sqrt{gH}$, $\lambda_0 = 0$)

$$I_{\pm} = \begin{pmatrix} 0 \\ 1 \\ \bar{u} \pm \sqrt{gH} \end{pmatrix} \text{ and } I_0 = \begin{pmatrix} gH - \bar{u}^2 \\ -gH \\ 0 \end{pmatrix},$$

- Analytical solutions (Andrianov - Seguin)



Asymptotic expansion

- A model (typically a PDE)

$$\mathcal{P}(\underline{u}, \varepsilon) = 0$$

\underline{u} is the unknown and $\varepsilon \ll 1$ a small parameter

- An expansion $\underline{u} = \underline{u}_0 + \varepsilon \underline{u}_1 + \varepsilon^2 \underline{u}_2 + \dots$
- A cascade of models

$$\mathcal{P}(\underline{u}_0, 0) = 0, \quad \mathcal{P}_1(\underline{u}_1, \underline{u}_0) = 0, \quad \mathcal{P}_2(\underline{u}_2, \underline{u}_1, \underline{u}_0) = 0 \quad \dots$$

- In our domains : several small parameters
 - shallowness of the fluid domain $\frac{H_0}{L} \ll 1$
 - the Froude number $Fr = \frac{\bar{u}}{\sqrt{gH}} \ll 1$
 - the gravity waves & the acoustic waves $\frac{\sqrt{gH}}{c_{\text{sound}}} \ll 1$
 - ...

Weaknesses of asymptotic expansions

- Existence of solutions for the cascade of models ?
 - analysis results required for the sequence of sub-problems

$$\mathcal{P}_i(\underline{u}_i, \{\underline{u}_j\}_{0 \leq j < i}) = 0$$

- loss of some properties (conservation, symmetry...) for the sub-problems
- Rate of convergence of the expansion ?

$$\left\| \underline{u} - \sum_{i=0}^N \varepsilon^i \underline{u}_i \right\| = \mathcal{O} \left(\frac{1}{N^p} \right)$$

A possible way : weak/variational asymptotic expansion

- An expansion $\underline{u}^w = \underline{u}_0^w + \underline{u}_1^w + \underline{u}_2^w + \dots$
- Weak form

$$\int_{\Omega} \varphi_i \mathcal{P}(\underline{u}^w, \varepsilon) d\omega = 0 \quad i \in \{0, 1, 2, \dots\}$$

Asymptotic expansion : 1st example

$$\frac{\partial u}{\partial t} + c \frac{\partial u}{\partial x} + \kappa u = 0$$

with $\kappa = \varepsilon \kappa_1$ and $\varepsilon \ll 1$

- General solution of the PDE (for any f_0 and \tilde{f}_0)

$$u(x, t) = f_0(x - ct)e^{-\frac{\kappa}{c}x} + \tilde{f}_0(x - ct)e^{-\kappa t}$$

- Using asymptotic expansion $u_0 + \varepsilon u_1$, the solutions have to satisfy

$$\begin{cases} \frac{\partial u_0}{\partial t} + c \frac{\partial u_0}{\partial x} = 0 \\ \frac{\partial u_1}{\partial t} + c \frac{\partial u_1}{\partial x} + \kappa_1 u_0 = 0 \end{cases}$$

- The solutions are

$$\begin{cases} u_0(x, t) = F_0(x - ct) \\ u_1(x, t) = -\frac{\kappa_1}{c} x F_0(x - ct) + \tilde{F}_0(x - ct) \end{cases}$$

- Only choice $\begin{cases} F_0(x - ct) = f_0(x - ct) + \tilde{f}_0(x - ct) \\ \tilde{F}_0(x - ct) = -\frac{\kappa_1}{c}(x - ct)\tilde{f}_0(x - ct) \end{cases}$

BUT $u \approx u_0 + \varepsilon u_1$ only for $\varepsilon \ll 1$, x, t small

Asymptotic expansion : 1st example (cont'd)

$$\frac{\partial u}{\partial t} + c \frac{\partial u}{\partial x} = -\kappa u, \quad \kappa = \varepsilon \kappa_1, \quad \varepsilon \ll 1$$

- A simple solution

$$u(x, t) = \sin(x - ct) e^{-\frac{\kappa}{c} x}$$

- Asymptotic expansion $u \approx u_0 + \varepsilon u_1 + \varepsilon^2 u_2 + \varepsilon^3 u_3 + \dots$
- Cascade of models

$$\frac{\partial u_i}{\partial t} + c \frac{\partial u_i}{\partial x} = -\kappa_1 u_{i-1}$$

- The solutions are

$$u_i = (-1)^i \frac{\kappa_1^i x^i}{i! c^i} \sin(x - ct) \quad (+f_i(x - ct))$$

- Leading to

$$\lim_{N \rightarrow +\infty} \sum_{i=0}^N \varepsilon^i u_i(x, t) = \lim_{N \rightarrow +\infty} \sin(x - ct) \sum_{i=0}^N (-1)^i \frac{\varepsilon^i \kappa_1^i x^i}{i! c^i} = u(x, t)$$

- BUT: the energy balances ! $(-\kappa_1 u_{i-1} u_i \geq 0)$

Asymptotic expansion : 2nd example

$$\frac{\partial u}{\partial t} + u \frac{\partial u}{\partial x} - \mu \frac{\partial^2 u}{\partial x^2} = 0 \quad (*)$$

with $\mu = \varepsilon \mu_1$ and $\varepsilon \ll 1$

- Using asymptotic expansion $u_0 + \varepsilon u_1$, the solutions have to satisfy

$$\begin{cases} \frac{\partial u_0}{\partial t} + u_0 \frac{\partial u_0}{\partial x} = 0, \\ \frac{\partial u_1}{\partial t} + \frac{\partial(u_0 u_1)}{\partial x} = \mu_1 \frac{\partial^2 u_0}{\partial x^2}, \end{cases}$$

- Some solutions are

$$\begin{cases} u_0(x, t) = \frac{x-x_0}{t-t_0} \\ u_1(x, t) = \frac{1}{x-x_0} f_1 \left(\frac{t-t_0}{x-x_0} \right) \end{cases}$$

for any function f_1

Conclusion

- u_0 is solution of the general equation (*)
- $u \not\approx u_0 + \varepsilon u_1$ because u_1 is not necessarily bounded when $t \rightarrow t_0$, $t \ll 1$, $x \rightarrow x_0$, $x \ll 1$

Asymptotic expansion : 3rd example

$$\frac{\partial H}{\partial t} + \frac{\partial q}{\partial x} = 0$$

$$\frac{\partial q}{\partial t} + \frac{\partial}{\partial x} \left(\frac{q^2}{H} \right) + gH \frac{\partial H}{\partial x} = 0$$

- Using asymp. expansion $q_0 + \varepsilon q_1 + \varepsilon^2 q_2$, $H_0 + \varepsilon H_1 + \varepsilon^2 H_2$, we get

- $H_0 = \text{cst}$, $q_0 = 0$
- in ε

$$\frac{\partial h_1}{\partial t} + \frac{\partial q_1}{\partial x} = 0$$

$$\frac{\partial q_1}{\partial t} + gH_0 \frac{\partial h_1}{\partial x} = 0$$

- in ε^2

$$\frac{\partial h_2}{\partial t} + \frac{\partial q_2}{\partial x} = 0$$

$$\frac{\partial q_2}{\partial t} + gH_0 \frac{\partial h_2}{\partial x} = -\frac{1}{H_0} \frac{\partial q_1^2}{\partial x} - \frac{g}{2} \frac{\partial h_1^2}{\partial x}$$

Asymptotic expansion : 3rd example (cont'd)

$$\frac{\partial H}{\partial t} + \frac{\partial q}{\partial x} = 0$$

$$\frac{\partial q}{\partial t} + \frac{\partial}{\partial x} \left(\frac{q^2}{H} \right) + gH \frac{\partial H}{\partial x} = 0$$

- Using asymp. expansion $u_0 + \varepsilon u_1 + \varepsilon^2 u_2$, $H_0 + \varepsilon H_1 + \varepsilon^2 H_2$, we get (with $c_0 = \sqrt{gH_0}$)

- $H_0 = \text{cst}$, $q_0 = 0$
- in ε

$$h_1(x, t) = f_1(x - c_0 t) + f_2(x + c_0 t)$$

$$q_1(x, t) = c_0 (f_1(x - c_0 t) - f_2(x + c_0 t))$$

- in ε^2 with $f_1(x) = \sin(x)$, $f_2(x) = 0$

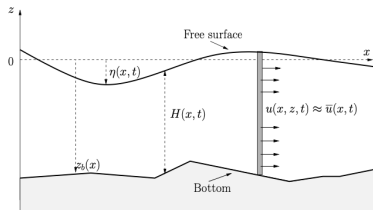
$$h_2 = f_3(x - c_0 t) + f_4(x + c_0 t) + \frac{3x}{4H_0} \sin(2(x - c_0 t))$$

$$q_2 = c_0 (f_3(x - c_0 t) - f_4(x + c_0 t)) + \frac{3g}{8c_0} (\cos(2(x - c_0 t)) + 2x \sin(2(x - c_0 t))) + f_5(t)$$

Models simpler than Saint-Venant

- The Saint-Venant system

$$\frac{\partial H}{\partial t} + \frac{\partial}{\partial x}(H\bar{u}) = 0,$$
$$\frac{\partial(H\bar{u})}{\partial t} + \frac{\partial}{\partial x}\left(H\bar{u}^2 + \frac{g}{2}H^2\right) = -gH\frac{\partial z_b}{\partial x}$$



- The wave equation

$$\frac{\partial^2 \eta}{\partial t^2} - \frac{\partial}{\partial x}\left(gH_0 \frac{\partial \eta}{\partial x}\right) = 0$$

- 3 simulations

The wave equation

- FPD

$$\rho dx \frac{\partial^2 y}{\partial t^2} = T(x+dx) \sin(\theta(x+dx, t)) - T(x) \sin(\theta(x, t))$$

- $T \approx T_0 = \text{cste}$ and $dx \rightarrow 0$

$$\rho dx \frac{\partial^2 y}{\partial t^2} = T_0 \frac{\partial \sin(\theta(x, t))}{\partial x} dx$$

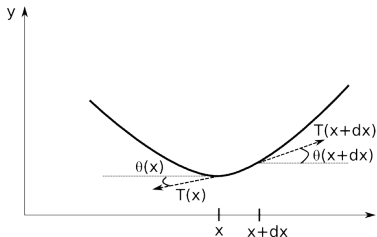
- But $\theta \ll 1$

$$\theta(x, t) \approx \frac{\delta y}{\delta x} = \frac{\partial y}{\partial x} \quad \text{and} \quad \sin \theta \approx \theta$$

- The obtained equation

$$\rho \frac{\partial^2 y}{\partial t^2} = T_0 \frac{\partial^2 y}{\partial x^2}$$

or equivalently $\frac{\partial^2 y}{\partial t^2} = c_0^2 \frac{\partial^2 y}{\partial x^2}$ with $c_0 = \sqrt{\frac{T_0}{\rho}}$



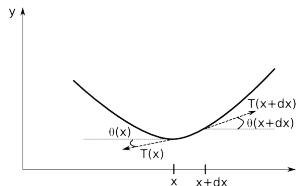
The wave equation (cont'd)

- Governing equation

$$\frac{\partial^2 y}{\partial t^2} = c_0^2 \frac{\partial^2 y}{\partial x^2}$$

- Fundamental solution (d'Alembert)

$$y(x, t) = f_1(x - c_0 t) + f_2(x + c_0 t)$$



- Dispersion relation / dispersive media

$$f(x, t) = Ae^{i(kx - \omega t)}$$

leading to the dispersion relation $\frac{\omega}{k} = c_0$ $((-\omega)^2 i^2 A - c_0^2 i^2 k^2) e^{i(kx - \omega t)}$

- Damped waves

$$\frac{\partial^2 y}{\partial t^2} + \lambda \frac{\partial y}{\partial t} - c_0^2 \frac{\partial^2 y}{\partial x^2} = 0 \quad (1)$$

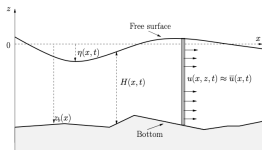
dispersive or not ? What are the solutions of Eq. (1) ?

Models simpler than Saint-Venant

- The Saint-Venant system

$$(i) \quad \frac{\partial H}{\partial t} + \frac{\partial}{\partial x} (H\bar{u}) = 0$$

$$(ii) \quad \frac{\partial(H\bar{u})}{\partial t} + \frac{\partial}{\partial x} \left(H\bar{u}^2 + \frac{g}{2} H^2 \right) = -gH \frac{\partial z_b}{\partial x}$$



- Froude number

$$Fr = \frac{\bar{u}}{\sqrt{gH}} \quad \left(\ll 1 \text{ for wave regime i.e. } \bar{u}^2 \ll gH \right)$$

- Approximate momentum equation

$$\frac{\partial(H\bar{u})}{\partial t} + \frac{\partial}{\partial x} \left(\frac{g}{2} H^2 \right) = -gH \frac{\partial z_b}{\partial x}$$

or equivalently

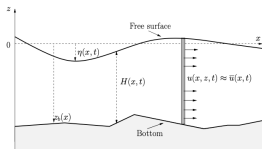
$$\frac{\partial(H\bar{u})}{\partial t} + gH \frac{\partial(H + z_b)}{\partial x} = 0$$

Models simpler than Saint-Venant (cont'd)

- The modified Saint-Venant system

$$(i) \quad \frac{\partial H}{\partial t} + \frac{\partial}{\partial x} (H\bar{u}) = 0$$

$$(ii) \quad \frac{\partial(H\bar{u})}{\partial t} + gH \frac{\partial(H + z_b)}{\partial x} = 0$$



- $\frac{\partial(i)}{\partial t} - \frac{\partial(ii)}{\partial x}$ gives

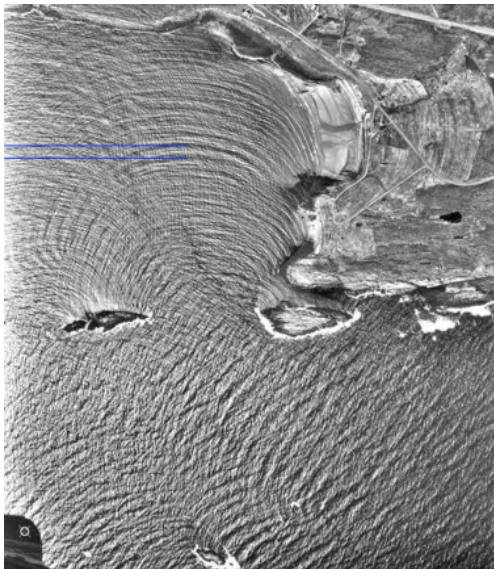
$$\frac{\partial^2 H}{\partial t^2} - \frac{\partial}{\partial x} \left(gH \frac{\partial(H + z_b)}{\partial x} \right) = 0$$

- $H \approx H_0(x)$ and $\eta = H + z_b$

$$\frac{\partial^2 \eta}{\partial t^2} - \frac{\partial}{\partial x} \left(gH_0 \frac{\partial \eta}{\partial x} \right) = 0 \quad \left(\frac{\partial^2 \eta}{\partial t^2} - g\bar{H}_0 \frac{\partial^2 \eta}{\partial x^2} = 0 \right)$$

- 3 simulations

Gravity waves



Stationary waves

- For the wave equation (stationary_wave1.avi)

$$\frac{\partial^2 \eta}{\partial t^2} - c_0^2 \frac{\partial^2 \eta}{\partial x^2} = 0$$

- Explanation

$$\eta_0 \sin(x - c_0 t) + \eta_0 \sin(x + c_0 t) = 2\eta_0 \sin(x) \sin(c_0 t)$$

- For the wave equation (with topography)
(stationary_wave2.avi)

$$\frac{\partial^2 \eta}{\partial t^2} - \frac{\partial}{\partial x} \left(gH_0 \frac{\partial \eta}{\partial x} \right) = 0$$

- For the Saint-Venant system (stationary_wave3.avi)

Beyond shallow water type models

The Saint-Venant system is

- widely used in 1d and 2d
- a good approximation of NS for long wave phenomena
- a good model for river flows, . . .

It can be

- enriched with several terms (curvature, stiff topography, . . .)
- used in many context
 - blood flows
 - traffic flows

■ Some remaining difficulties around the Shallow Water syst. but **scientific challenges** and **real-life applications** often concern **more complex** models.

■ Applications to **social sciences**, **humanities**

Non-hydrostatic models

$$\left\{ \begin{array}{l} \frac{\partial u}{\partial x} + \frac{\partial w}{\partial z} = 0 \\ \rho_0 \left(\frac{\partial u}{\partial t} + u \frac{\partial u}{\partial x} + w \frac{\partial u}{\partial z} \right) + \frac{\partial p}{\partial x} = 0 \\ \rho_0 \left(\frac{\partial w}{\partial t} + u \frac{\partial w}{\partial x} + w \frac{\partial w}{\partial z} \right) + \frac{\partial p}{\partial z} = -\rho_0 g \end{array} \right.$$

- Illustrations
 - (Hydro vs. non-hydro), (Wave over a beach), (Mascaret)
- An extensive literature
 - many models/results (Bona, Boussinesq, Green-Nagdhi, Peregrine / Lannes, Saut, Duchêne, Kazerani)
 - no more hyperbolic systems
 - often based on irrotational flows
- Derivation of SW non-hydrostatic models
 - a classical tool : asymptotic expansion
 - but **strong limitations**
- No more **compressible** fluid mechanics
 - p : lagrange multiplier

Averaged Euler (M3AS 2011, DCDS 2015)

- The Euler system
$$\begin{cases} \frac{\partial u}{\partial x} + \frac{\partial w}{\partial z} = 0 \\ \frac{\partial u}{\partial t} + \frac{\partial u^2}{\partial x} + \frac{\partial uw}{\partial z} + \frac{\partial p}{\partial x} = 0 \\ \frac{\partial w}{\partial t} + \frac{\partial uw}{\partial x} + \frac{\partial w^2}{\partial z} + \frac{\partial p}{\partial z} = -g \end{cases}$$
- Boundary conditions
 - kinematic (bottom + free surface), dynamical ($p_s = p^a$)
- Energy equality: **a constraint**

$$\frac{\partial}{\partial t} \int_{z_b}^{\eta} E \, dz + \frac{\partial}{\partial x} \int_{z_b}^{\eta} u(E + p) \, dz = 0, \quad E = \frac{u^2 + w^2}{2} + gz$$

□ A dispersive shallow water system

$$\begin{cases} \frac{\partial H}{\partial t} + \frac{\partial(H\bar{u})}{\partial x} = 0 \\ \frac{\partial(H\bar{u})}{\partial t} + \frac{\partial}{\partial x} \left(H\bar{u}^2 + \frac{g}{2} H^2 + H\bar{p}_{nh} \right) = -(gH + 2\bar{p}_{nh}) \frac{\partial z_b}{\partial x} \\ \frac{\partial(H\bar{w})}{\partial t} + \frac{\partial(H\bar{w}\bar{u})}{\partial x} = 2\bar{p}_{nh} \\ \bar{w} = -\frac{H}{2} \frac{\partial \bar{u}}{\partial x} + \frac{\partial z_b}{\partial x} \bar{u} \end{cases}$$

The rotating shallow water system

- Saint-Venant + Coriolis

$$\frac{\partial h}{\partial t} + \nabla_{x,y} \cdot (hu) = 0,$$

$$\frac{\partial(hu)}{\partial t} + \nabla_{x,y} \cdot (hu \otimes u) + \nabla_{x,y} \left(\frac{g}{2} h^2 \right) = -gh \nabla_{x,y} z_b - \Omega hu^\perp$$

- A source term without any influence over the energy balance
- Some characteristic / analytical solutions

The rotating shallow water system (cont'd)

Let f be any real value function, h_0 a non negative constant and z_b^0 a constant. Then the variables h, u, v, w, p defined by

$$h(t, x, y) = h_0 + \frac{1}{2g} \int_0^{x^2+y^2} f(z)(f(z) + \Omega) dz$$

$$u(t, x, y, z) = yf(x^2 + yr)$$

$$v(t, x, y, z) = -xf(x^2 + y^2)$$

$$w(t, x, y, z) = -\partial_x((z - z_b)u) - \partial_y((z - z_b)v)$$

$$p(t, x, y, z) = \rho_0 g(h + z_b - z)$$

$$z_b(x, y) = z_b^0 - \frac{\Omega}{g} \int_0^{x^2+y^2} f(z) dz$$

are analytical solutions of the rotating hydrostatic Euler system. Since the expressions for u and v do not depend on the variable z , the proposed analytical solution is also an analytical solution for the Saint-Venant system with Coriolis.

The rotating shallow water system (cont'd)

